



# PROTOCOL BASICS

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## Introduction

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- In chapter 3:
  - Circuits and techniques can be employed to transmit a frame of information between 2 DTEs
  - Error detection schemes which allow the receiving DTE to determine the presence of any errors in the transmitted bit stream.



## Introduction

- Best-try transmission: when a transmission error is detected, the complete data block must be discard → connectionless mode transmission
- Reliable transmission: in addition to detecting when errors are present, a defined set of rules or control procedures must be adopted by both communicating parties to ensure the reliable transfer of message → connection mode transmission

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## Introduction

- Data link control protocol:
  - The combined error detection/correction cycle is known as error control
  - Control mechanism (flow control and connection management) observed by two communicating parties
- Basic components of data link control protocols will be considered in this chapter

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## Error Control

- Receiver checks the received frame for possible transmission errors
    - returns a short control message to acknowledge its correct receipt
    - Returns a short control message to request another copy of the frame is sent
- Automatic Repeat Request (ARQ)

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## Error Control

- Automatic Repeat Request (ARQ)
  - Idle RQ (Stop and wait ARQ): used with character-oriented (or byte-oriented) data transmission schemes
  - Continuous RQ: used primarily with bit-oriented transmission schemes
    - Selective repeat
    - Go-back-N

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## Idle RQ (stop and wait ARQ)

- The idle RQ control scheme has been defined to enable blocks (frames) of printable and formatting control characters to be reliably transferred
- In idle RQ
  - The sender (source): primary (P)
  - The receiver (destination): secondary (S)
  - Information frames: I-frames

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## Idle RQ (stop and wait ARQ)

- The idle RQ protocols operates in a half-duplex mode since the primary, after sending and I-frame, must wait until it receives and indication from the secondary as to whether the frame was correctly received or not
- 2 ways of implementing this scheme:
  - Implicit retransmission
  - Explicit retransmission

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## Idle RQ (stop and wait ARQ)

- Implicit retransmission: S acknowledges only correctly received frame and P interprets the absence of an acknowledgement as an indication that the previous frame was corrupted

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## Idle RQ (stop and wait ARQ)

- Implicit retransmission:
  - P can have only one I-frame outstanding (awaiting an acknowledgement frame or ACK-frame) at a time
  - One receipt of an error-free I-frame, S returns an ACK frame to P
  - One receipt of an error-free ACK frame, P can transmit another I-frame
  - When P initiate the transmission of an I-frame it starts a timer

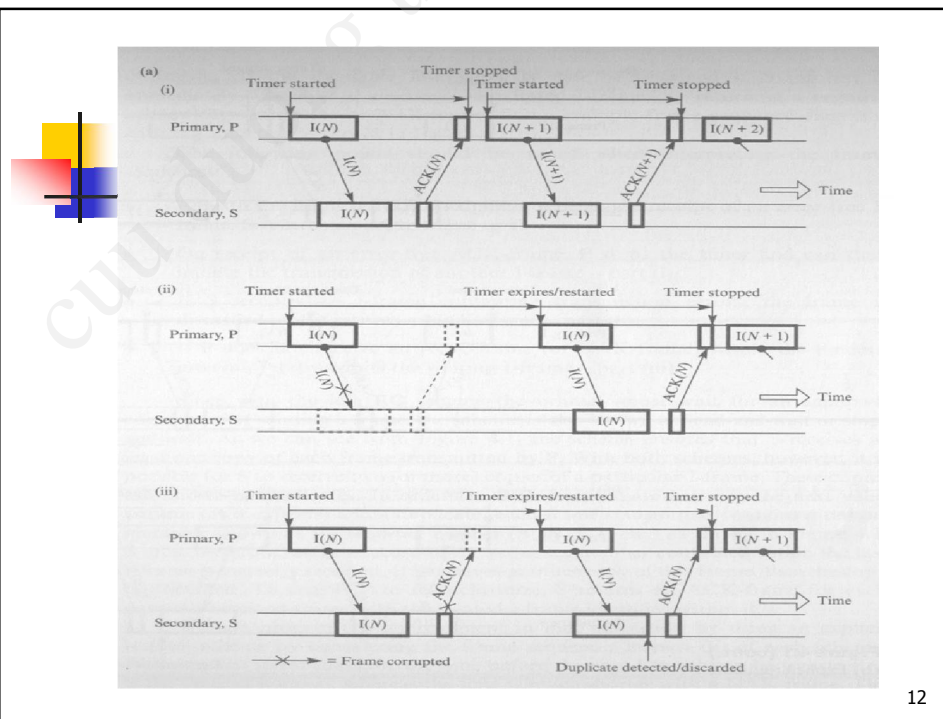
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## Idle RQ (stop and wait ARQ)

### ■ Implicit retransmission:

- If S receives an I-frame or P receives an ACK frame containing transmission errors, the frame is discarded
- If P does not receive an ACK frame within a predefined time interval (the timeout interval), then P retransmits the waiting I-frame
- If an ACK frame is corrupted, then S receives another copy of the frame and hence this is rejected by S

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## Idle RQ (stop and wait ARQ)

- Explicit request: when S detects that a frame has been corrupted, it returns a negative acknowledgement to request that another copy of the frame is transmitted

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## Idle RQ (stop and wait ARQ)

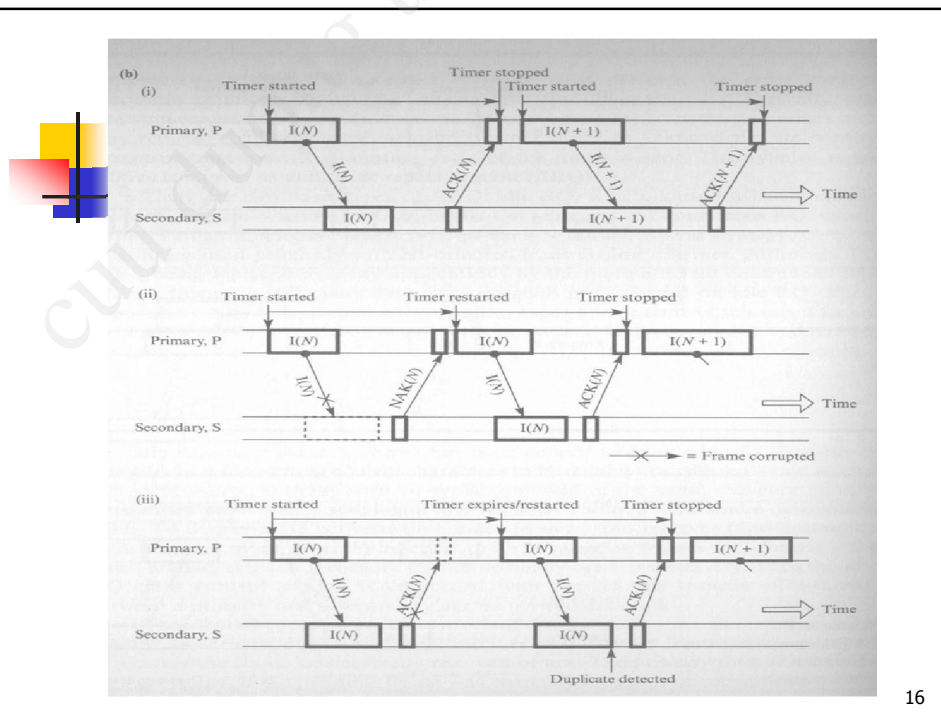
- Explicit request
  - On receipt of an error-free I-frame, S returns an ACK-frame to P
  - On receipt of an error-free ACK-frame, P stops the timer and can initiate the transmission of another I-frame

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## Idle RQ (stop and wait ARQ)

- Explicit request
  - If S receives an I-frame containing transmission errors, the frame is discarded and it returns a NAK-frame
  - If P does not receive an ACK-frame (or NAK-frame) within the timeout interval, P retransmits the waiting I-frame

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## Idle RQ (stop and wait ARQ)

- The scheme ensure that S receives at least one copy of each frame transmitted by P
  - It is possible for S to receive two (or more) copies of a particular I-frame – known as duplicates
- S must discriminate between the next valid I-frame and a duplicate

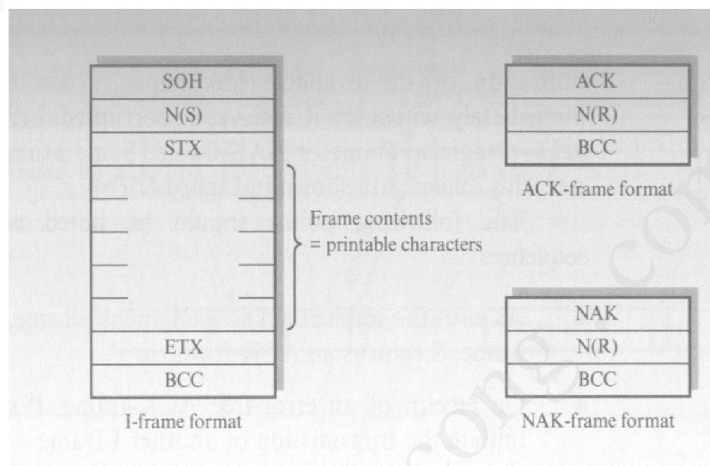
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## Idle RQ (stop and wait RQ)

- To discriminate between the next valid I-frame and a duplicate:
  - Each frame transmitted contains a unique identifier known as sequence number
  - S must retain a record of the sequence number contained within the last I-frame it correctly received
  - If S receives another copy of this frame, the copy is discarded
  - To enable P to resynchronize, S returns an ACK-frame for each correctly received frame with the related I-frame identifier within it

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## Idle RQ (stop and wait ARQ)



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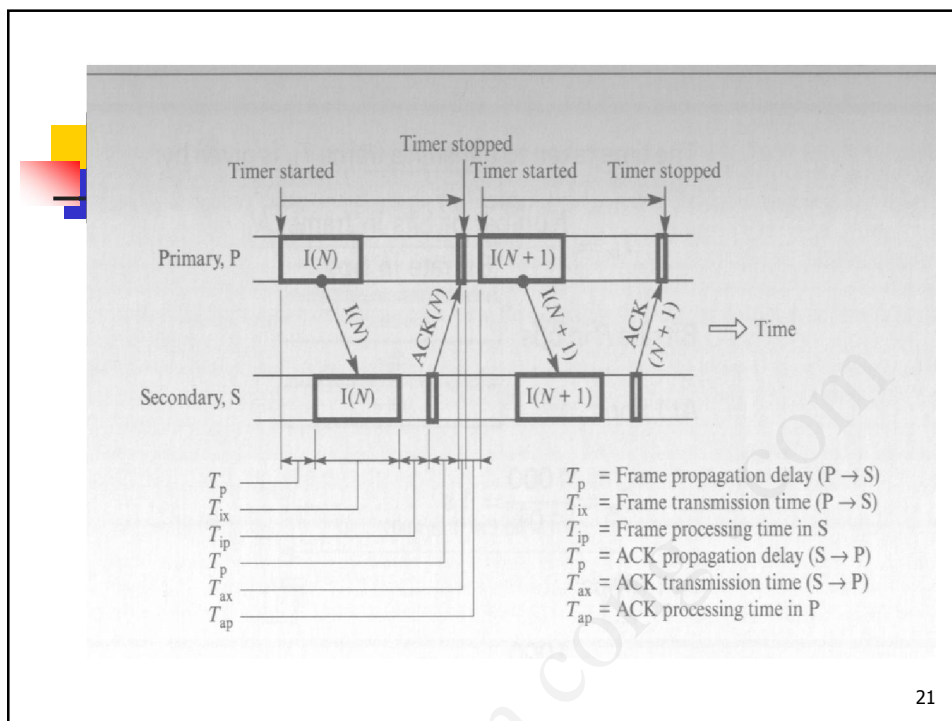
## Idle RQ (stop and wait ARQ)

- Link utilization:
  - The efficiency of utilization  $U$  is a ratio of two times, each measured from the point in time the transmitter starts to send a frame

$$U = \frac{T_{ix}}{T_t}$$

- $T_{ix}$  is the time for transmitter to transmit a frame
- $T_t$  equals  $T_{ix}$  plus any time the transmitter spends waiting for an acknowledgement

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## Idle RQ (stop and wait ARQ)

- Error-free: no transmission errors
  - $T_p$  is propagation delay
  - $T_{ix}$  is frame transmission time
  - $T_{ip}$  is the time to process an I-frame
  - $T_{ax}$  is ACK transmission time
  - $T_{ap}$  is the time to process an ACK-frame
- Assume  $T_{ip}, T_{ax}, T_{ap} \ll T_{ix}, T_p$

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## Idle RQ (stop and wait ARQ)

$$U = \frac{T_{ix}}{T_{ix} + 2T_p} = \frac{1}{1 + 2 \frac{T_p}{T_{ix}}}$$

$$U = \frac{1}{1 + 2a} \quad \text{with} \quad a = \frac{T_p}{T_{ix}}$$

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## Idle RQ (stop and wait ARQ)

- Errors occurs in transmission
  - $N_r$  is an average transmission attempts will be required to transmit a frame successfully
  - $P_f$  is the probability that a frame is received with errors

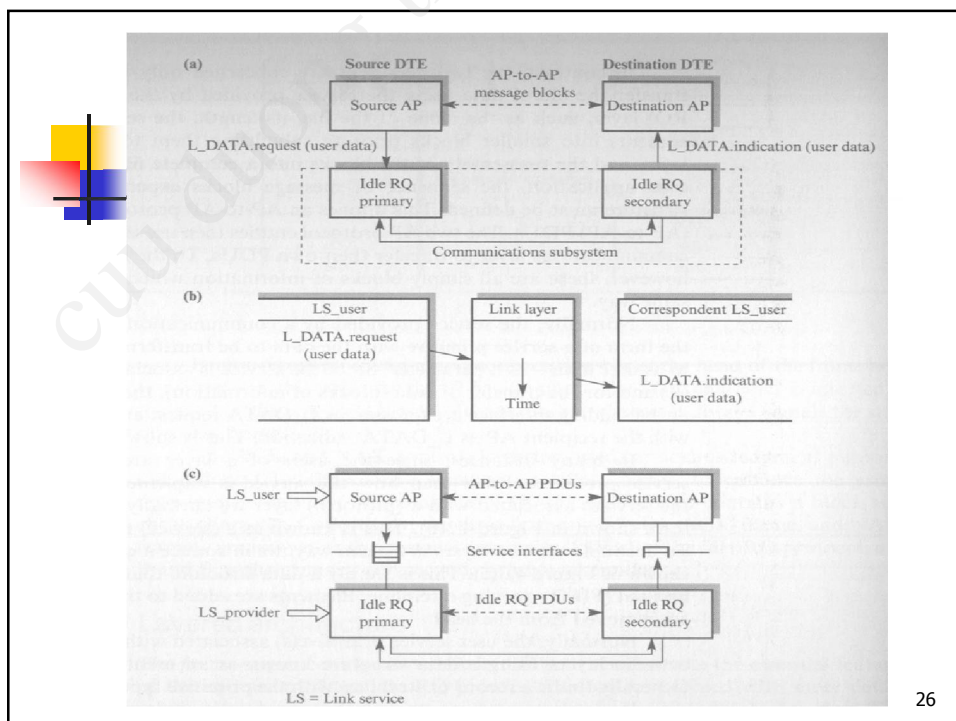
$$N_r = \frac{1}{1 - P_f}$$

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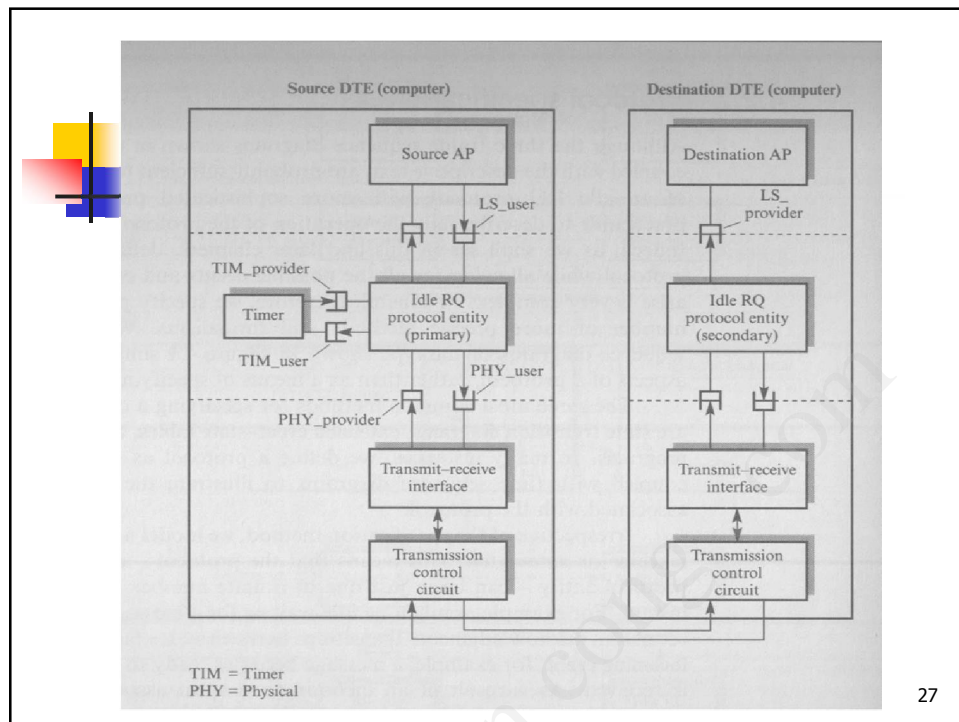
## Idle RQ (stop and wait ARQ)

$$U = \frac{1}{N_r(1+2a)} = \frac{1-P_f}{1+2a}$$

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## Continuous RQ

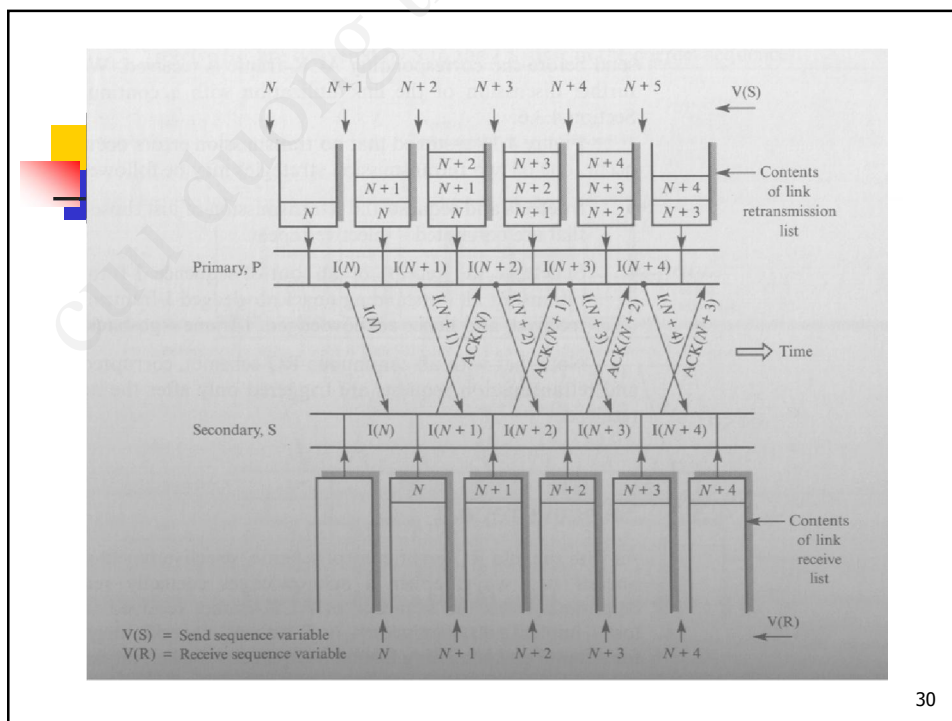
- P sends I-frames continuously without waiting for and ACK-frame to be returned
- Since more than one I-frame is waiting acknowledgement, P retains a copy of each I-frame transmitted in a retransmission list that operate on a FIFO queue disciplines
- S returns an ACK-frame for each correctly received I-frame
- Each I-frame contains a unique identifier which is returned in the corresponding ACK-frame

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## Continuous RQ

- On receipt of an ACK-frame, the corresponding I-frame is removed from the retransmission list by P
- Frames received free of errors are placed in the link receive list to await processing
- On receipt of the next in-sequence I-frame expected, S delivers the information content within the frame to the upper layer immediately it has processed the frame
- In the event of frames being received out of sequence, S retains these in the link receive list until the next in-sequence frame is received

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## Continuous RQ

- When an error does occur, one of two retransmission strategies may be followed:
  - S detects and requests the retransmission of just those frames in the sequence that are corrupted  
→ selective repeat
  - S detects the receipt of an out of sequence I-frame and request P to retransmit all outstanding unacknowledged I-frame from the last correctly received, and hence acknowledge, I-frame  
→ Go-back-N

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## Continuous RQ – Selective Repeat

- Selective repeat: can be implemented in one of two ways
  - Implicit retransmission: S acknowledges correctly received frames and P determines from the sequence of ACK-frames received that a frame has been lost
  - Explicit request: S returns a specific negative acknowledgement for a frame that is missing from the sequence

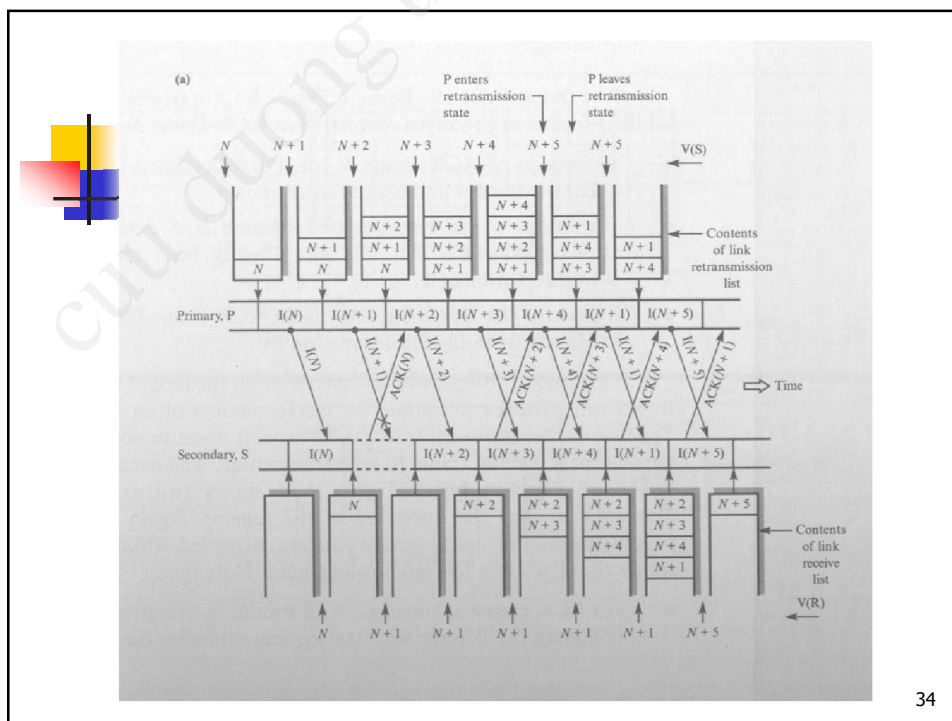
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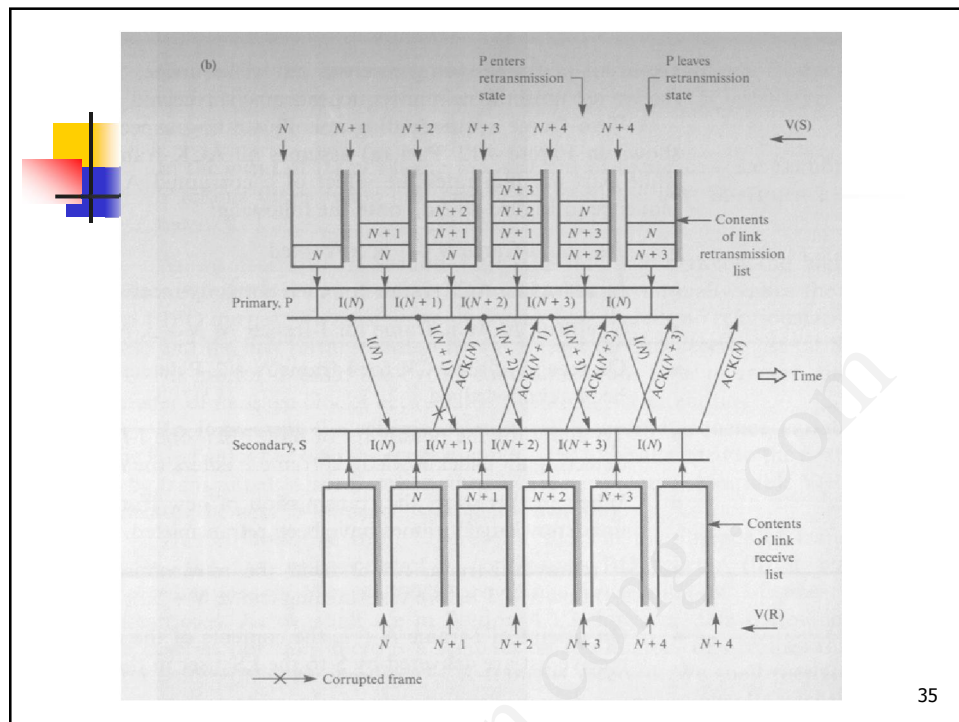
## Continuous RQ – Selective Repeat

- Implicit retransmission
  - To allow for the possibility of more than one I-frame being corrupted, on detecting an unacknowledged frame P enters the retransmission state
  - When in this state, the transmission of new frames is suspended until all unacknowledged frame has been retransmitted

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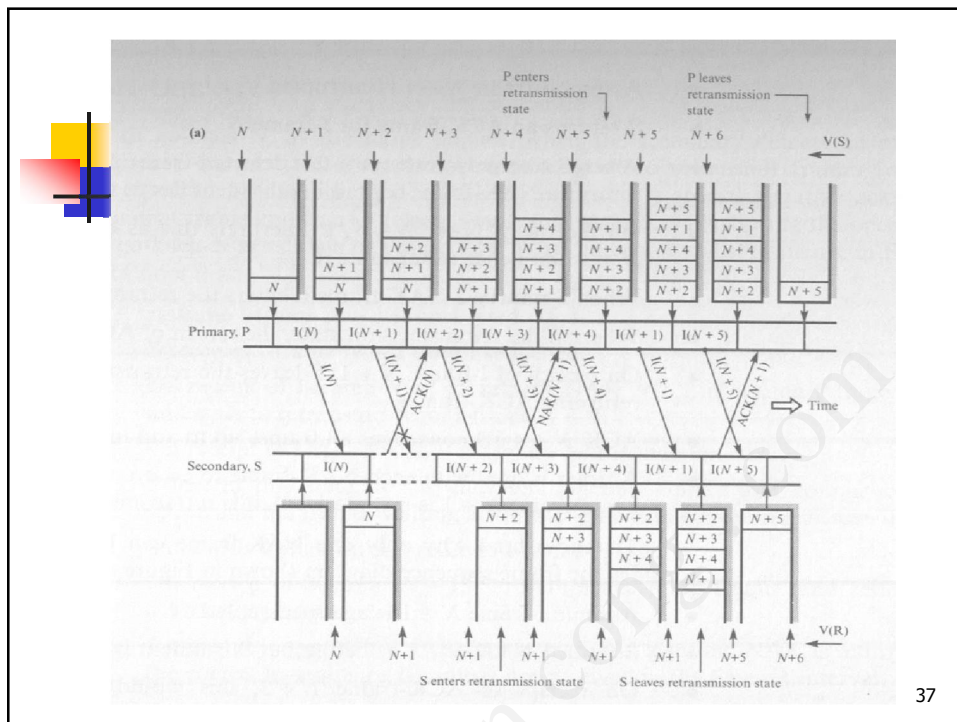
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## Continuous RQ – Selective Repeat

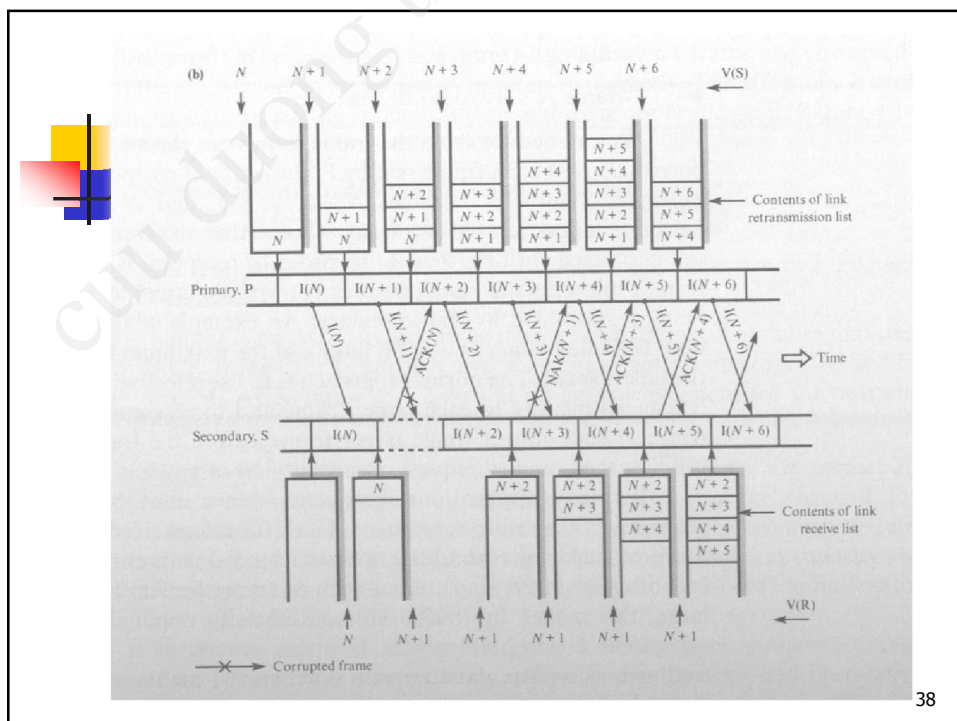
### ■ Explicit request

- An ACK-frame acknowledges all frames in the retransmission list up to and including the I-frame with the sequence number the ACK contains
- When S returns a NAK-frame it enters the retransmission state
- When in retransmission state, the return of ACK-frame is suspended
- On receipt of waiting I-frame, S leaves the retransmission state and resumes returning ACK-frame
- The timer is used with each NAK-frame to ensure that if it is corrupted, it is retransmitted

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## Continuous RQ – Go-back-N

- An ACK-frame acknowledges all frames in the retransmission list up to and including the I-frame with the sequence number the ACK contains
- When detecting an out-of-sequence frame, S returns NAK-frame informing P to go back and start to retransmit corrupted frame

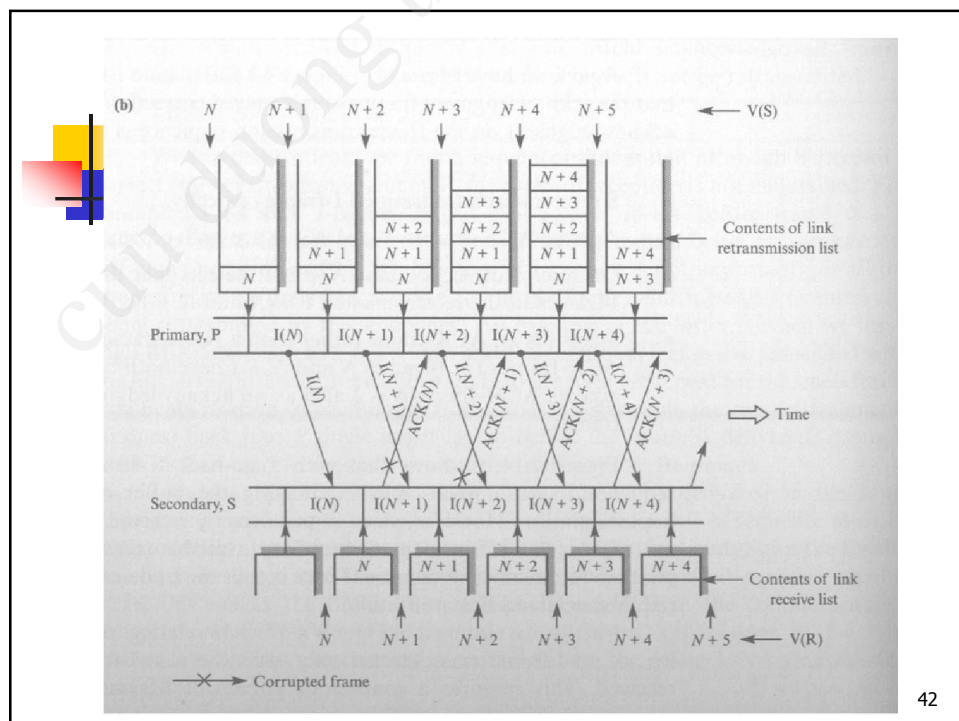
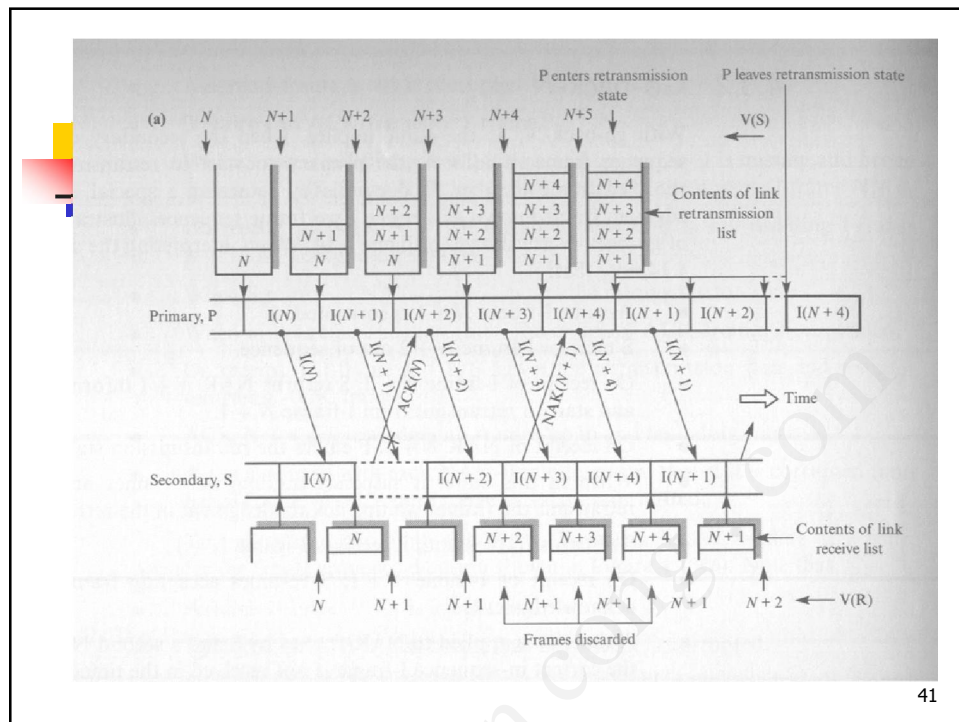
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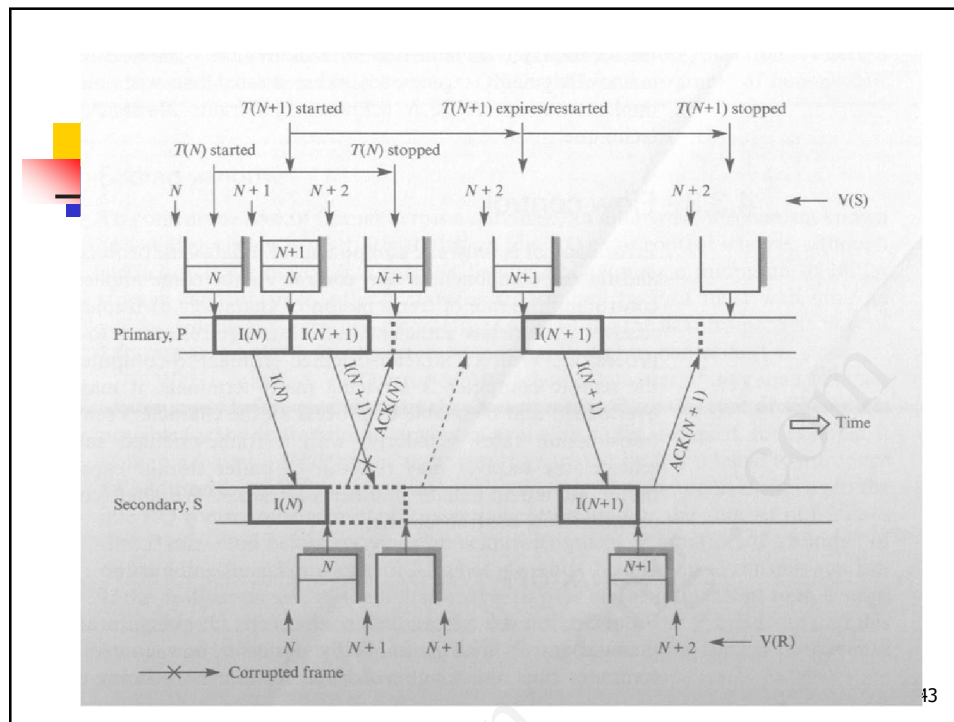


## Continuous RQ – Go-back-N

- On receipt of NAK-frame, P enters the retransmission state
- When in this state it suspends sending new frames and commences to retransmit the frames waiting acknowledgement in the retransmission list
- A timeout is applied to NAK-frames by S and a second NAK is returned if the correct in-sequence I-frame is not received in the timeout interval

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## Continuous RQ - Flow control

- Flow control is concerned with controlling the rate of transmission of characters or frames on a link so that the receiver always has sufficient buffer storage resources to accept them prior to processing.
- Two most common flow control schemes:
  - X-on / X-off
  - Sliding window



## Continuous RQ - Flow control

- X-on / X-off (in-band flow control)
  - X-off → cease transmission
  - X-on → restart transmission

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## Continuous RQ - Flow control

- Sliding window
  - A maximum limit is set on the number of I-frames that can be awaiting acknowledgement and hence are outstanding in the retransmission list → send window K
  - The maximum number of frame buffers required at S is known as the received window

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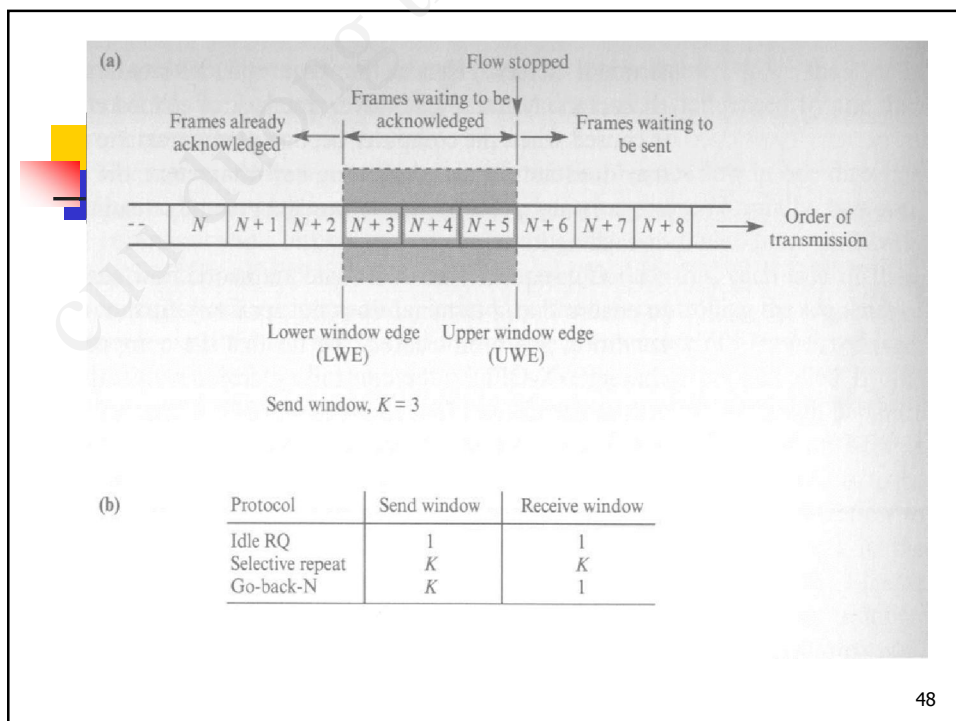


## Continuous RQ - Flow control

### ■ Sliding Window

- As each I-frame is transmitted, the upper window edge (UWE) is incremented by unity
- As each I-frame is acknowledge, the lower window edge (LWE) is incremented by unity
- The acceptance of any new frames is stopped if the difference between UWE and LWE becomes equal to the send window  $K$

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(b)

Protocol	Maximum number of frame identifiers
Idle RQ	2
Selective repeat	$2K$
Go-back-N	$K + 1$

(c)

Sequence numbers

Lower window edge (LWE)

Upper window edge (UWE)

Go-back-N,  $K = 7$   
Sequence numbers incremented modulo-8

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## Continuous RQ - Link Utilization

- $U$  - link utilization
- $K$  - send window
- $T_{ix}$  - frame transmitted time
- $T_p$  - frame propagation delay
- $N_r$  - an average transmission attempts will be required to transmit a frame successfully
- $P_f$  - the probability that a frame is received with errors
- and 
$$a = \frac{T_p}{T_{ix}}$$

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## Continuous RQ - Link Utilization

- Error-free

- $K \geq 1 + 2a$

$$U = 1$$

- $K < 1 + 2a$

$$U = \frac{KT_{ix}}{T_{ix} + T_p} = \frac{K}{1 + 2a}$$

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## Continuous RQ - Link Utilization

- Selective repeat, errors occur in transmission

- $K \geq 1 + 2a$

$$U = 1 - P_f$$

- $K < 1 + 2a$

$$U = \frac{K}{N_r(1 + 2a)} = \frac{K(1 - P_f)}{1 + 2a}$$

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## Continuous RQ - Link Utilization

- Go-back-N, errors occur in transmission

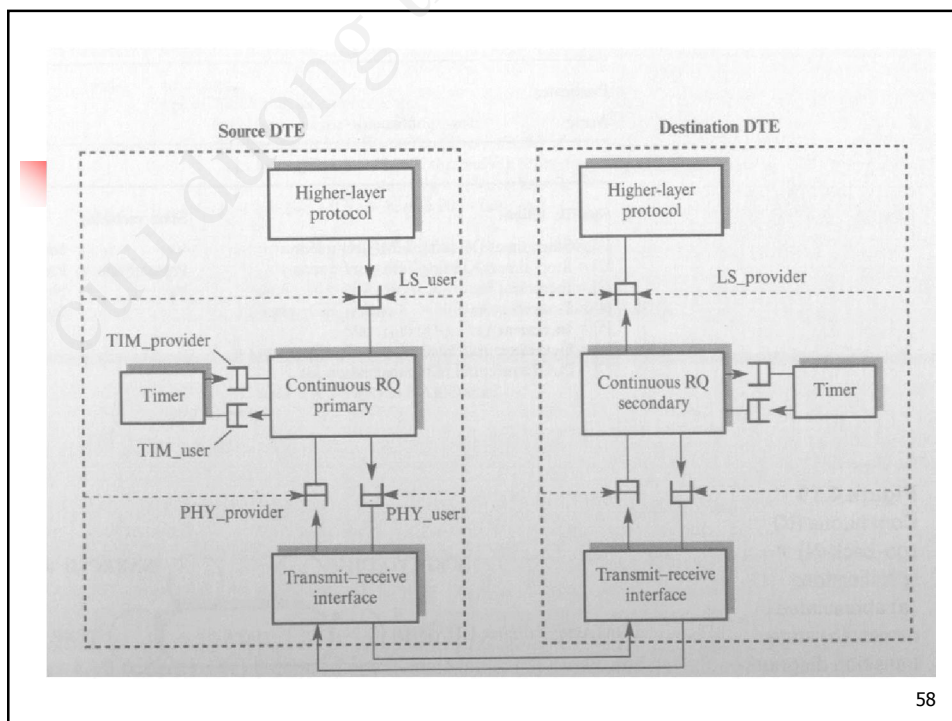
- $K \geq 1 + 2a$

$$U = \frac{1 - P_f}{1 + P_f(K - 1)}$$

- $K < 1 + 2a$

$$U = \frac{K(1 - P_f)}{(1 + 2a)(1 + P_f(K - 1))}$$

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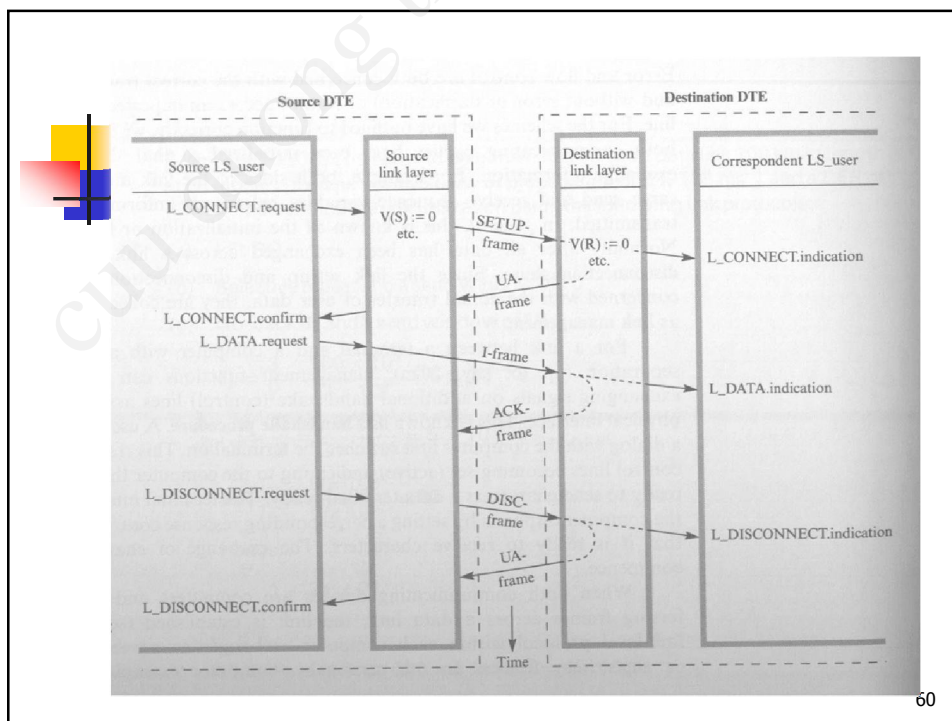


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## Link Management

- Handshake procedure
  - Link setup phase
  - Link disconnect phase

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