

# Nanotechnology & Self-Assembly

4/28/2017

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## Today's Agenda

### Part 1.

- (1) What is Nano & Nanotechnology?
- (2) Some History about Nanotechnology
- (3) Why "Nanoscale" matter?
- (4) Benefit & Application of Nanotechnology

### Part 2.

- (1) Manufacturing Nanomaterials (Top down & Bottom up)
- (2) Self-assembly & Self-assembled systems
- (3) Self-assembly through force balance
- (4) General scheme for self-assembly process

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# Part I

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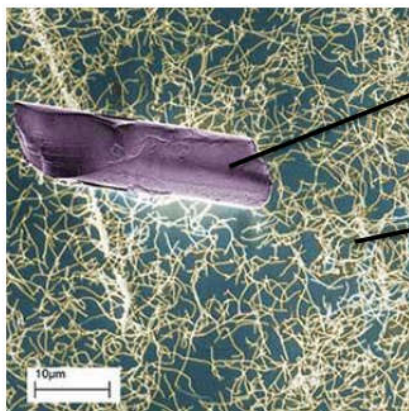
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## What is Nano?

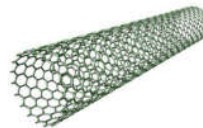
“**Nano**”: A Greek word for “dwarf”

1 nm =  $10^{-9}$  m

**Human hair fragment and a network of single-walled carbon nanotubes**



Hair: ~ 50 μm

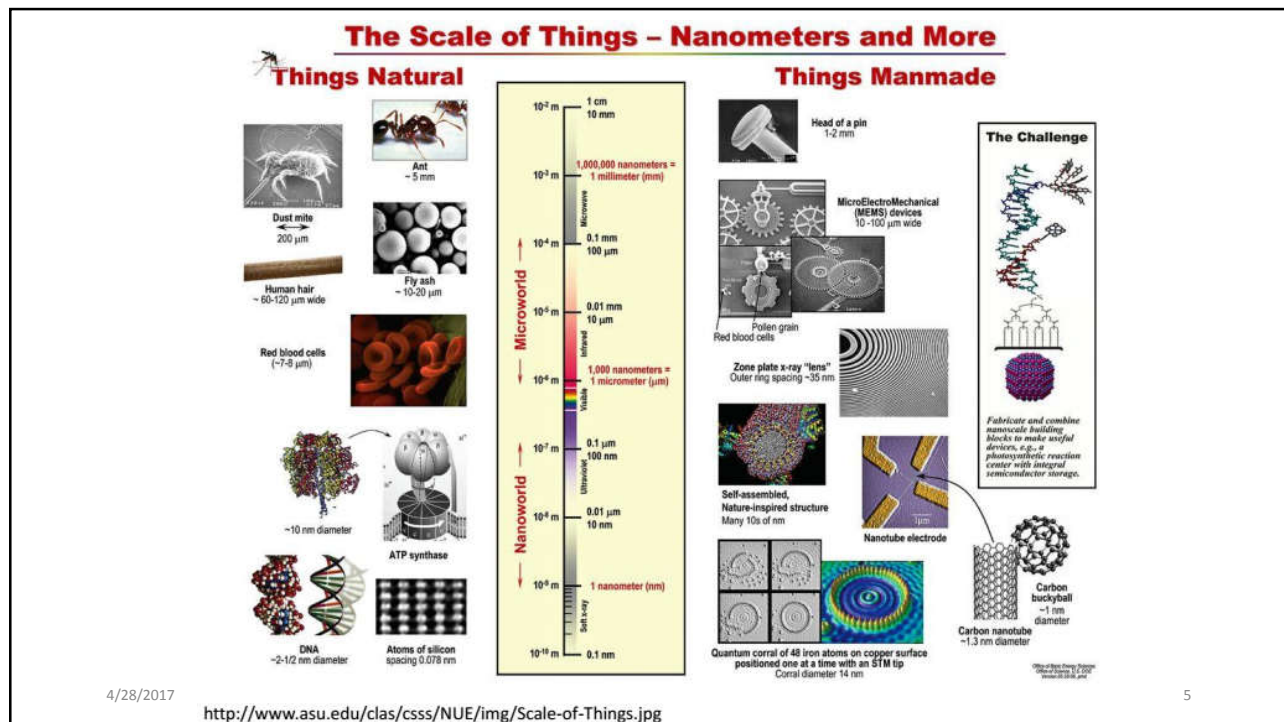


Single-wall carbon nanotube  
: Diameter (d) = ~ 1-5 nm

[http://www.nanowerk.com/nanotechnology/introduction/introduction\\_to\\_nanotechnology\\_1.php](http://www.nanowerk.com/nanotechnology/introduction/introduction_to_nanotechnology_1.php)

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## What is Nanotechnology?



- **Nanotechnology** is the understanding and control of matter at dimensions between approximately 1 and   nanometers, where *unique* phenomena enable novel applications.
- ...Nanotechnology involves imaging, measuring, modeling, and manipulating matter at this length scale.

- National Nanotechnology Initiative (NNI)

# What is Nanotechnology?



- *Unusual* physical, chemical, and biological properties can emerge in materials at the nanoscale. These properties may *differ* in important ways *from* the properties of bulk materials and single atoms or molecules
- Nanotechnology R&D is directed toward understanding and creating improved materials, devices, and systems that exploit *these new properties*.

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## Some History of Nanotechnology

### ◆ Introducing “Nano” to the World

“Nanotechnology”: First introduced in 1959 by **Richard Feynman** (Nobel Prize in Physics, 1965) at the American Physical Society (APS)

*“There’s Plenty of Room at the Bottom”*

He asked:

- 1) Why can’t we write the entire 24 volumes of the Encyclopedia Britannica on the head of a pin?
- 2) Why can’t we manipulate materials atom by atom?
- 3) Why can’t we control the synthesis of individual molecules?
- 4) Why can’t we build machines to accomplish these things?



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[https://www.youtube.com/watch?feature=player\\_embedded&v=eKj5IAmy9Wk](https://www.youtube.com/watch?feature=player_embedded&v=eKj5IAmy9Wk)

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## Some History of Nanotechnology

- The term “Nanotechnology” was first used by **Eric Drexler** (another pioneer of nanotechnology) in his book “*Engines of Creation: The Coming Era of Nanotechnology*” (1986)



### “Molecular Assemblers”

: devices capable of positioning atoms and molecules for precisely defined reactions in almost any environment

- A co-founder of The Foresight Institute (1986)
- ➔ To increase public awareness and understanding of nanotechnology concepts and implications

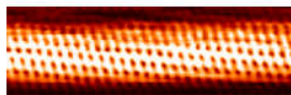


## Growth of Nanotechnology was enabled by...

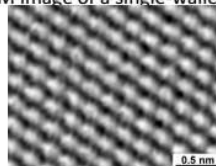
- Two major breakthroughs (in the 1980s)

### 1) Invention of Scanning Tunneling Microscope (STM, 1981)

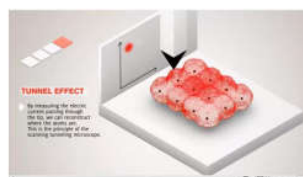
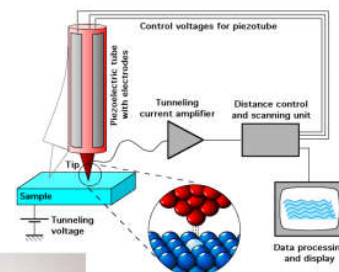
- By Gerd Binnig & Heinrich Rohrer (at IBM Zürich)
- Unprecedented visualization of individual atoms and bonds
- Nobel Prize in Physics (1986)
- Manipulated individual atoms (1989)



An STM image of a single-walled CNT



A graphite surface at an atomic level



- lateral resolution: 0.1 nm
- depth resolution: 0.01 nm

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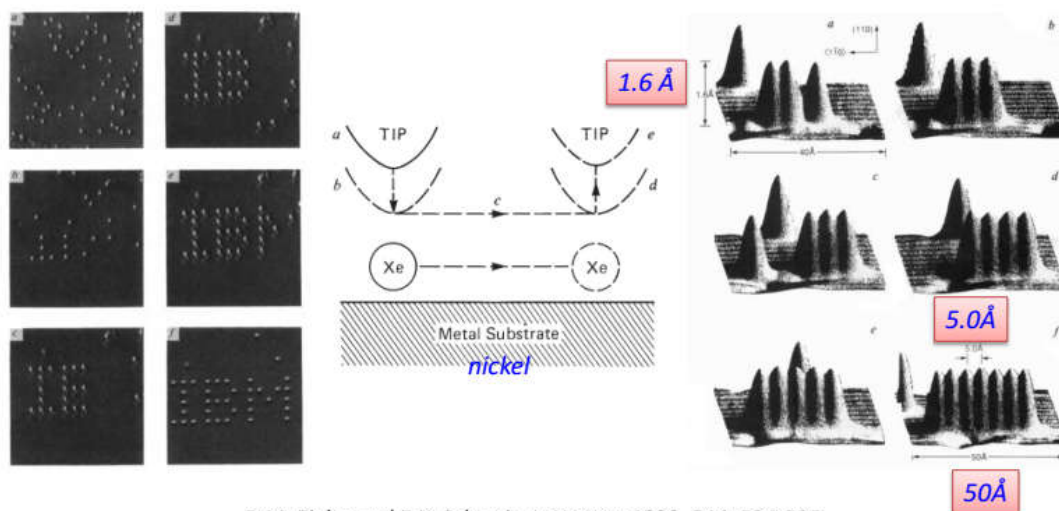
[https://en.wikipedia.org/wiki/Scanning\\_tunneling\\_microscope](https://en.wikipedia.org/wiki/Scanning_tunneling_microscope)

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## Positioning Single Atoms with a STM

We can manipulate materials atom by atom



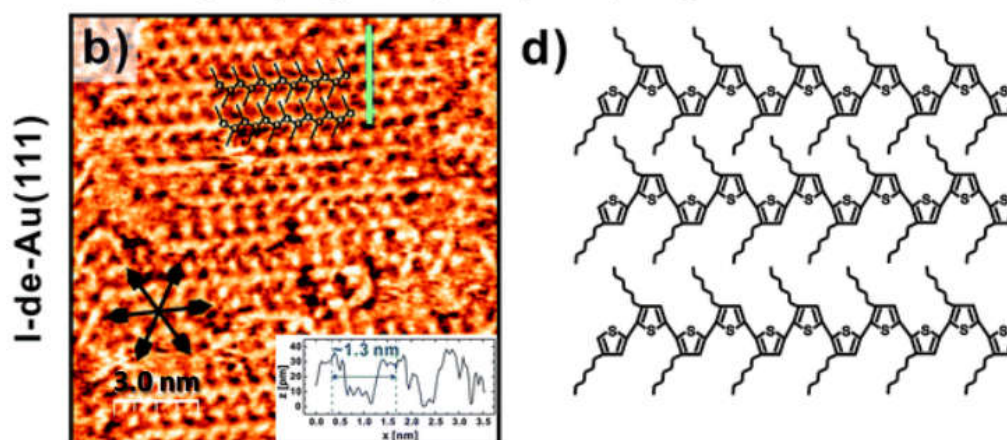
D.M. Eigler and E.K. Schweizer, *Nature*, **1990**, 344, 524-526

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## By the way, STM can also image some polymers

STM image of poly(3-hexylthiophene) on gold surface



Y Lee et al. *Nanoscale*, **2013**, 5, 7936-7941

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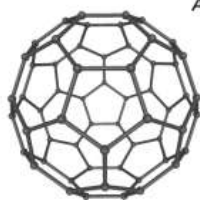
Only conductive polymers

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- **Two major breakthroughs (in the 1980s)**

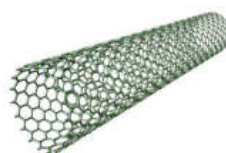
2) **Fullerenes** (one of the first nanomaterials) were discovered in 1985

- By Harry Kroto (UK), Richard Smalley, and Robert Curl (US, Rice University)
- Received Nobel Prize in Chemistry (1996)
- molecule of **carbon** in the form of a **hollow** sphere, tube, and many other shapes
- Produced by **laser vaporization** of **graphite** under an helium gas (the first method)
- Hydrophobicity, 3 dimensionality, electronic configuration:



Buckminsterfullerene  $C_{60}$ ,  
([buckyball](#))  
- Diameter : ~1nm

Architect "[Richard Buckminster Fuller](#)"



Carbon nanotubes  
([buckytube](#))  
- Diameter : ~1-5 nm



$C_{60}$  in solution  
(Ex) aromatic solvents

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**One of the leading universities for nanotechnology research and education**



The  
Richard E. Smalley  
Institute for  
Nanoscale Science  
and Technology

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- Smalley Institute Members
- Initiatives
- Nanotechnology Breakthroughs
- Post Doctoral Opportunities
- Resources
- Support and Membership
- Rice Quantum Institute
- SWCNT Graduate Workshop
- NorTex Nano Summit

Events Calendar  
No upcoming events.  
[Full Calendar >](#)

**Rice's Naomi Halas to direct Smalley Institute**  
*July 2011 - January 15, 2015*



Naomi Halas has been named director of Rice's Smalley Institute.

[Learn More >](#)



"Our mission is to actively support and promote researchers using nanotechnology to tackle civilization's grand challenge" - *Dr. Rick E. Smalley*

[Learn More >](#)



On October 13th and 14th, the third annual NorTex Nanotechnology conference was held here at Rice.

[Learn More >](#)

**Faculty Spotlight**

Dr. Robert Curl



[Learn More >](#)

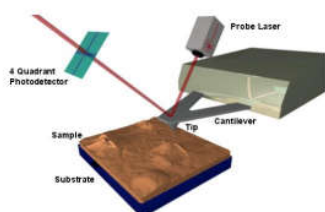


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## Advance of Nanotechnology is also enabled by...

- New tools for nanoscale **characterization**

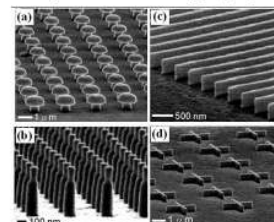
Ex) Atomic force microscopy (AFM)



<http://education.mrsec.wisc.edu/nanoquest/afm/>

- New capabilities for **fabricating** nanoscale structure

Ex) e-beam lithography



*Adv Mater*, 2003, 15, 49

- **Computational access** to large systems of atoms and long time scales

Ex) Supercomputer



molecular structure of six polymer NPs



[http://web.ornl.gov/info/ornlreview/v37\\_2\\_04/article06.shtml](http://web.ornl.gov/info/ornlreview/v37_2_04/article06.shtml)  
[https://en.wikipedia.org/wiki/Titan\\_\(supercomputer\)](https://en.wikipedia.org/wiki/Titan_(supercomputer))

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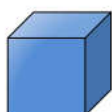
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## What's the BIG deal about something so SMALL?

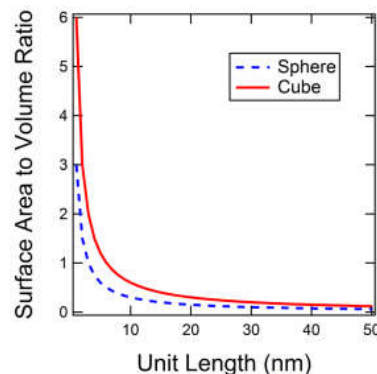
- Materials behave differently at the nanoscale.
- It's not just about miniaturization.
- At this scale---it's all about **Interfaces (or interfacial area)**
- **Surface-area-to-volume-ratio (SA:V)**



$$SA : V = \frac{4\pi a^2}{\frac{4}{3}\pi a^3} = \frac{3}{a}$$



$$SA : V = \frac{6a^2}{a^3} = \frac{6}{a}$$



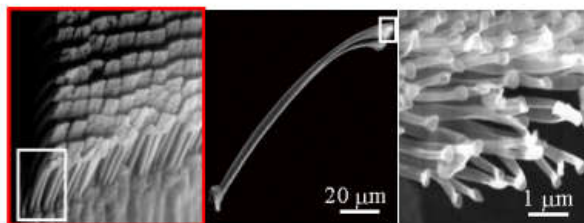
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**The smaller dimension, the larger interfacial area & shape also matters**



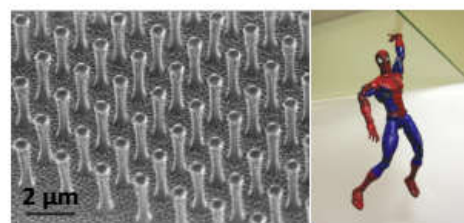
	Interaction	Example	Energy (kJ)
	Ion-Ion	$\text{Na}^+ \text{Cl}^-$	400-4000
	Covalent Bonds	H-H	150-1100
	Ion-Dipole	$\text{Na}^+ \text{HCl}$	40-600
Van der Waals (VDW) Forces	Dipole-Dipole	HCl HCl	5-25
	Dipole-Induced Dipole	HCl $\text{O}_2$	2-10
	London Dispersion	$\text{N}_2 \text{N}_2$	0.05-40

**Gecko's Foot:** create billions of weak VDW forces



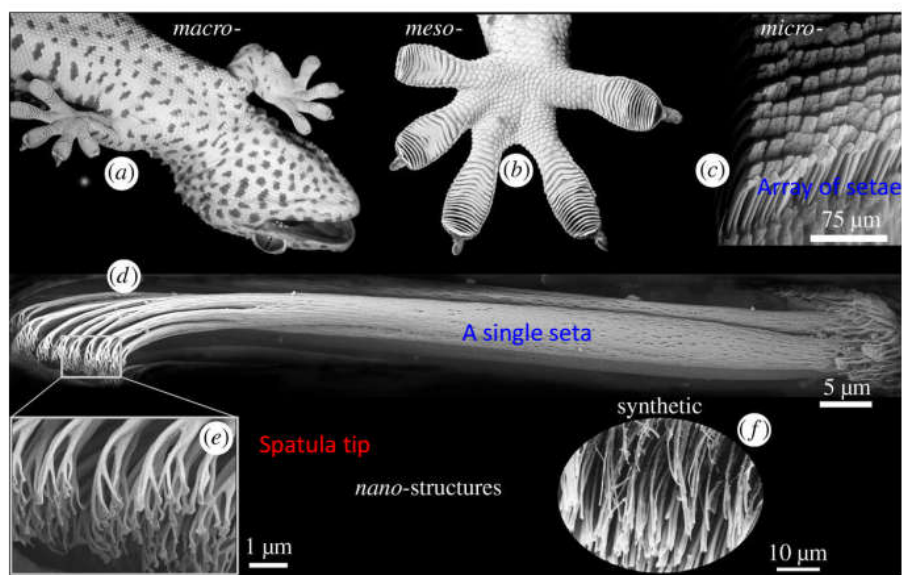
K. Autumn, Nature, 405, 681 (2000)

**Artificial Gecko's Foot**



A.K. Geim, Nature Mater., 2, 461 (2003)

Why SA : V ratio matters?



Structural **hierarchy** of the gecko adhesive system.

K. Autumn, Phil. Trans. R. Soc. A (2008) 366, 1575–1590

## What's the BIG deal about something so SMALL?

### ❖ Chemical Reactivity of Gold (Au)

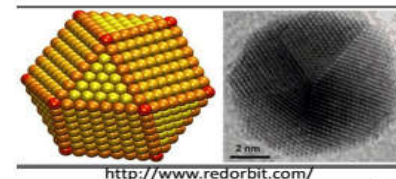
#### (1) Gold (Au) in bulk scale

- Inert materials (no corrosion or tarnished)
- Not useful as a catalyst for chemical reaction



#### (2) Gold (Au) at **nanoscale** (~5 nm)

- Can act as a catalyst (e.g,  $\text{CO}_2 \rightarrow \text{CO}$ )



<http://www.redorbit.com/>

- Smaller the nanoparticle  
 → the larger the proportion of atoms at the interface  
 → the larger the proportion of atoms at the corners of crystals
- Much larger % of gold atoms exist on the surface & the corners (vs. surface of bulk gold)

→ **chemically more reactive** → allows for catalysis

## What's the BIG deal about something so SMALL?

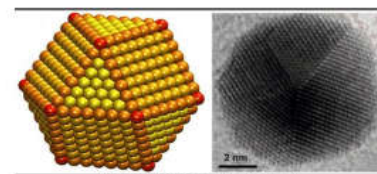
### ❖ Melting at            temperature

#### (1) Gold (Au) in bulk scale

:  $T_m = \sim 1064^\circ\text{C}$



#### (2) Gold (Au) nanoparticles (~2.5 nm)



<http://www.redorbit.com/>

### ❖ It is due to...

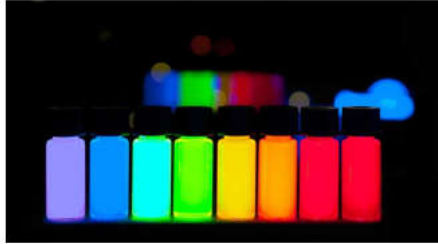
- Again, much larger % of gold atoms on the surface & the corners

→ (Au nanoparticles): Larger No. of atoms are exposed to heat  
 → the bond between atoms can easily be broken down

→ Smaller the particles → the lower  $T_m$

What's the BIG deal about something so SMALL?

### ❖ Quantum dots



[https://en.wikipedia.org/wiki/Quantum\\_dot](https://en.wikipedia.org/wiki/Quantum_dot)

## Size Matters!

Ex) Color depends on particle size

Quantum dots 3.2 nm in diameter have blue emission

Quantum dots 5 nm in diameter have red emission

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## Benefits of Nanotechnology

**“The power of nanotechnology is rooted in its potential to transform and revolutionize multiple technology and industry sectors, including aerospace, agriculture, biotechnology, homeland security and national defense, energy, environmental improvement, information technology, medicine, and transportation.**

- National Nanotechnology Initiative

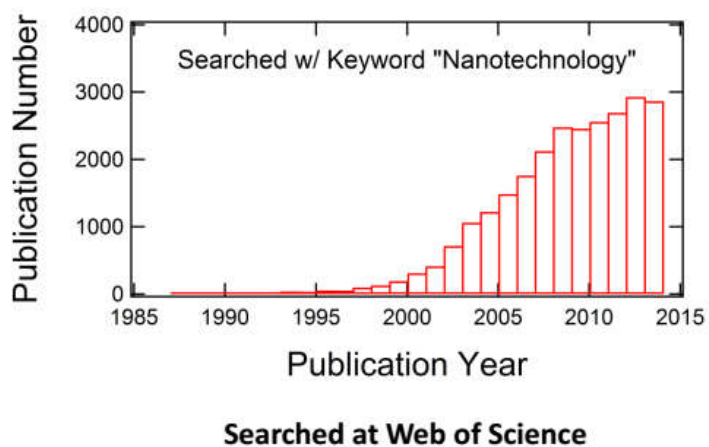
**“Nanoscience will change the nature of almost every human-made object in the next century”**

- National Science and Technology Council, 2000

## Nanotechnology: Extensively Studied

Various specialized journals:

Nature Nanotechnology, Nano Letters, ACS Nano, etc.



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## Nanotechnology Applications

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# Nanotechnology Applications



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Khan, Orient. J. Chem. 2013, 29, 1399-1408

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## Nanotechnology Applications

### Automobile



Nanocomposites for lighter and stronger materials

### Sporting goods



SiO<sub>2</sub> nanoparticles  
For high strength, and light weight

### Functional Coating



TiO<sub>2</sub> nanoparticles  
For Self-cleaning properties

### Sensors



Chemical sensors made with CNTs  
Can detect explosives

### Cosmetic



TiO<sub>2</sub> + ZnO nanoparticles  
: protection from UV w/o producing a thick residue

### Food Packaging



Clay nanoparticles  
For reducing O<sub>2</sub> permeability

<sup>4/2</sup> <http://news.stanford.edu/news/2009/september21>

**These are mostly Nanoparticles based...**

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## Then, what about polymers..?



**(Q) Polymers are Nanomaterials?**

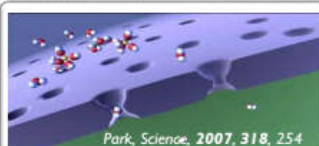
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Maybe...but..

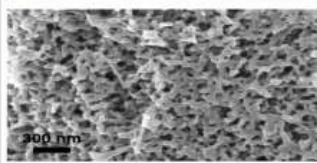
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We are mostly interested in “nanostructure” of polymers

### Separation membranes



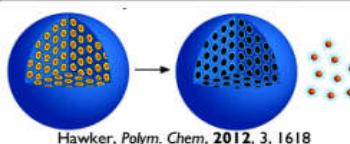
Park, *Science*, **2007**, *318*, 254



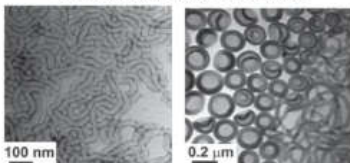
Hillmyer *J. Am. Chem. Soc.* **2010**, *132*, 8230

- Pore size, porosity,
- Surface area

### Drug-delivery micelles



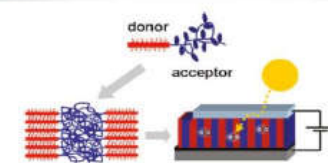
Hawker, *Polym. Chem.* **2012**, *3*, 1618



Davis, *Macromol. Rapid. Commun.* **2014**, *35*, 417

- Shape, size
- Surface functionality

### Organic solar cells



Segalman, *Macromolecules*, **2009**, *42*, 9205

- Morphology  
(Domain size, connectivity orientation)
- Interface

### Next-Generation Polymeric Materials

**Synthesis &  
Characterization**



**Direct & Manipulate  
Nanoscale Assembly**



**Understand  
Dynamics & Interactions**

## How to make “nanostructured” polymer?

*“Self-Assembly or Self-Organization”*

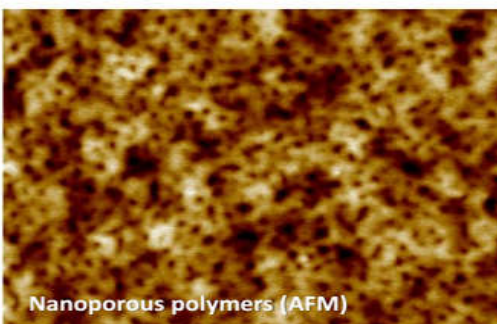
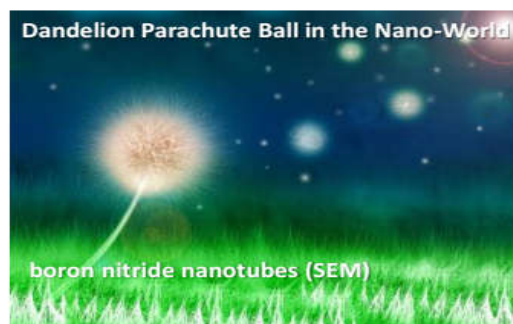
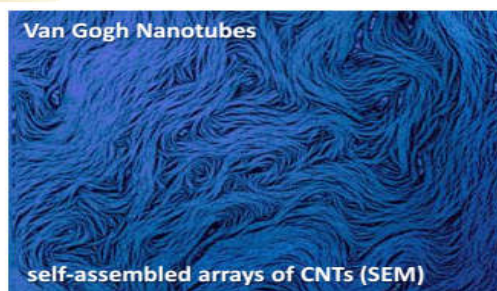
(bottom-up approach)

*:Governed by different intermolecular interactions*

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### Nanotechnology Images



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# Part 2

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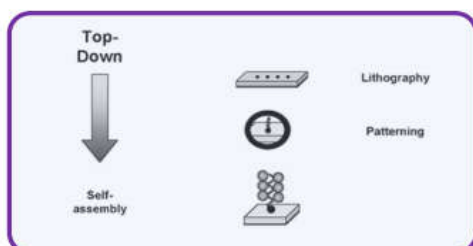
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## Manufacturing Nanostructured Materials

### Top down approach

fabricate nanostructured materials via **etching away bulk material** until the desired (micro or nano) structure is obtained.

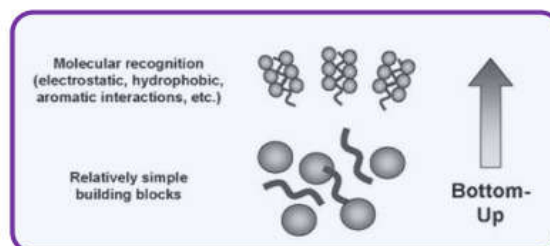
- Conventional Lithography
  - Photo, e-beam
- Unconventional Lithography
  - Nanoimprint, soft



### Bottom up approach

build nanostructured materials using **nanometer sized building blocks** (atoms, molecules, monomers), and putting them together piece by piece until the overall macroscopic device is constructed.

- Supramolecular chemistry
- Synthetic methods (chemical or biological)
- Surface science
  - Self-assembled monolayers
  - Langmuir Blodgett films



E Gazit, Chem. Soc. Rev., 2007, 36, 1263

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## (Top down) Photolithography

(1) Prepare wafer

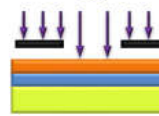


(2) Spin coat photoresist (PR)

ex) PMMA, phenol formaldehyde resin



(3) Expose UV



**Positive**  
(make PR more soluble)

**Negative**  
(make PR less soluble)

(4) Develop



(5) Etch  
: remove oxide layer



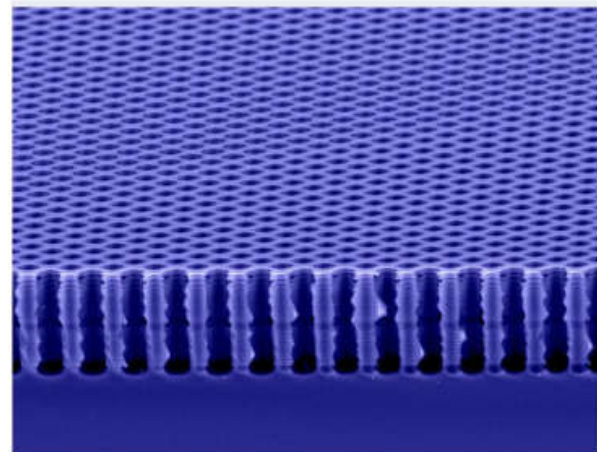
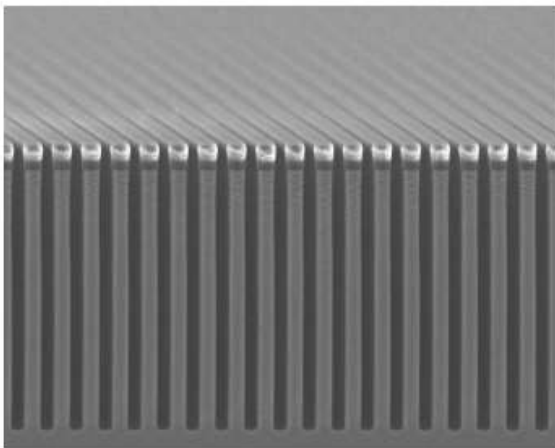
(6) Strip  
: remove PR layer



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## (Top down) Photolithography

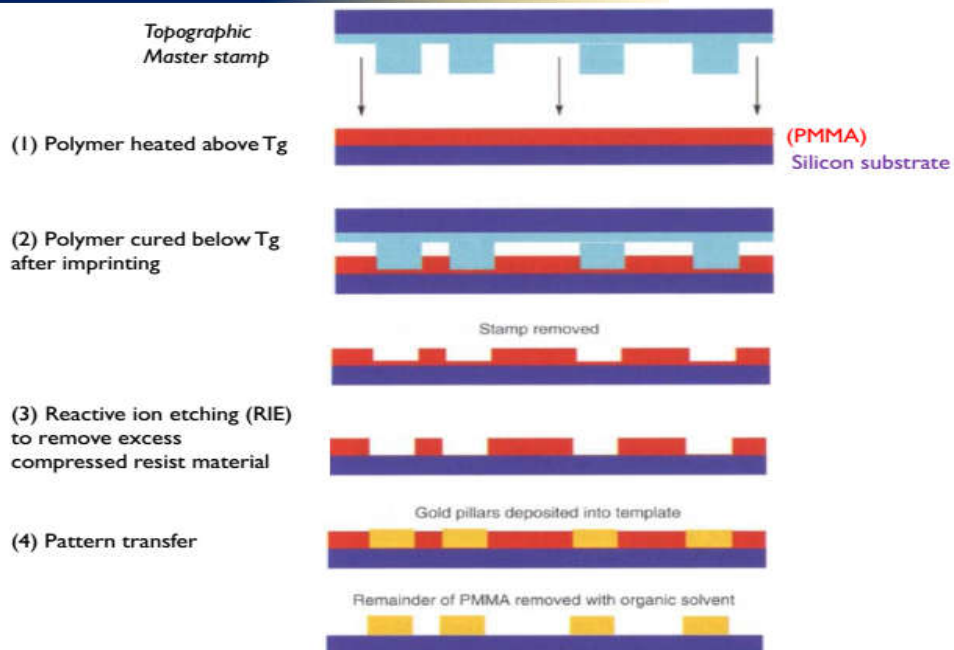


<http://www.psi.ch/lmn/grating-fabrication>

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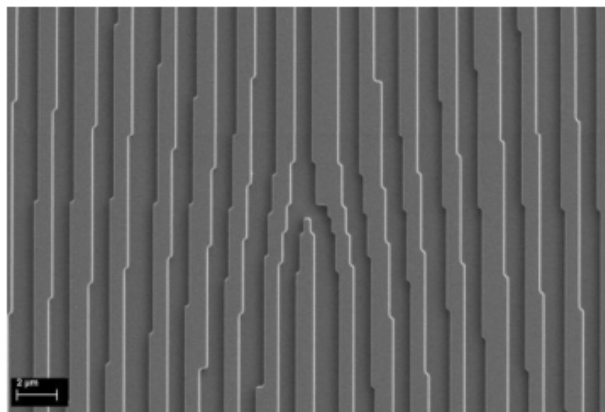
34

## (Top down) Nanoimprint lithography

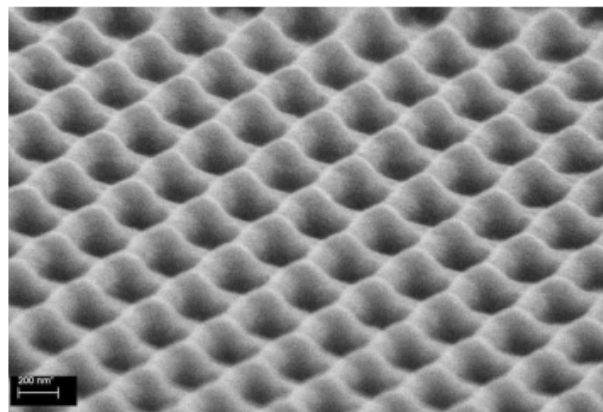


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## (Top down) Nanoimprint lithography



SEM image of a corrugated grating structure

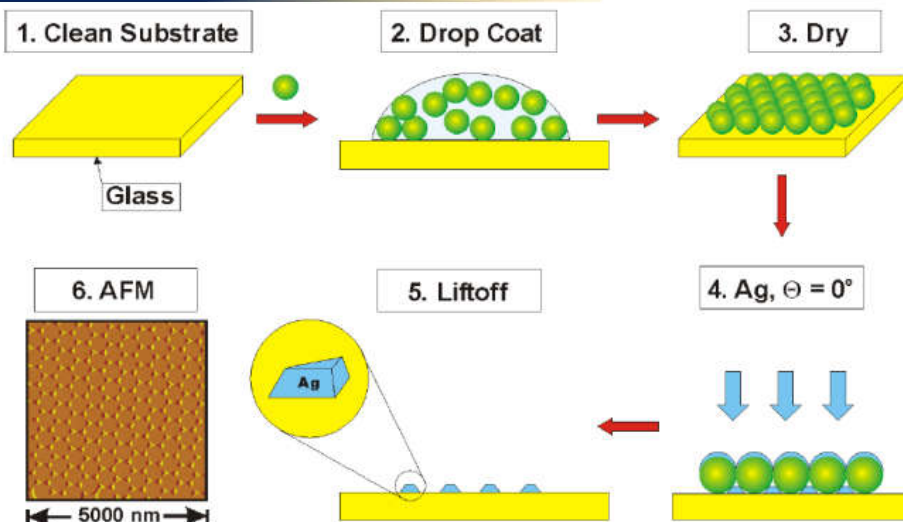


a patterned surfaces showing an anti-reflective structure patterned on a flexible substrate

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## (Top down) Nanosphere lithography

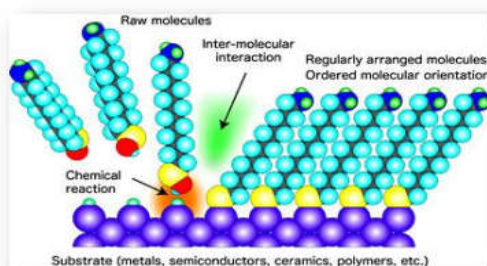
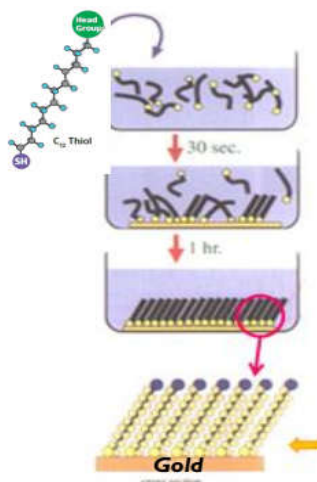


Mark Hersam (2005), "Introduction to Nanometer Scale Science & Technology," <https://nanohub.org/resources/179>.

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## (Bottom up) Self-Assembled Monolayer (SAM)

Ex) organic molecules having -SH end groups on the Gold (Au) surface



<http://www.mtl.kyoto-u.ac.jp/english/laboratory/nanosopic/nanosopic.htm>

### ❖ Molecular Interactions involved...

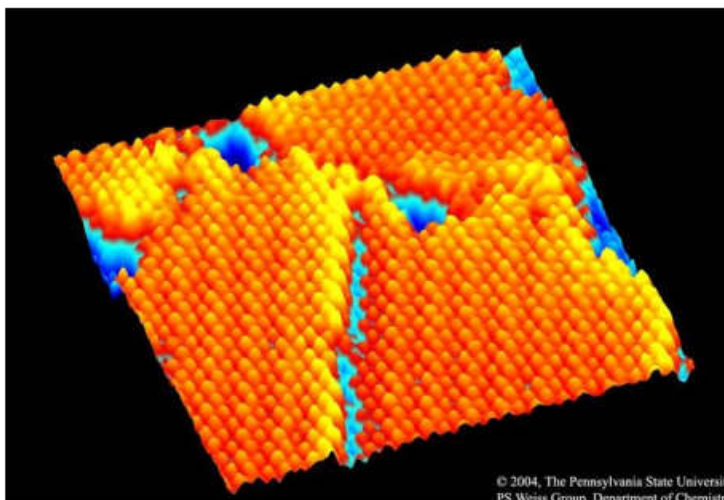
- Covalent interactions (-SH and Au surface)
- Repulsive interactions (other electrons surrounding S)
- Attractive interactions (VDW interactions among alkyl chains)

- Reason for Tilt : to maximize VDW interactions

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<http://nano.quimica.unlp.edu.ar/research/sams.php>

## (Bottom up) Self-Assembled Monolayer (SAM)



A STM image (15 nm x 15 nm) of a self-assembled monolayer of n-decanethiolate on Au {111}. The individual molecules closely pack into a hexagonal array.

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## Hybrid approach (Top-down + bottom-up)

### ❖ Templated Self-assembly of block copolymers

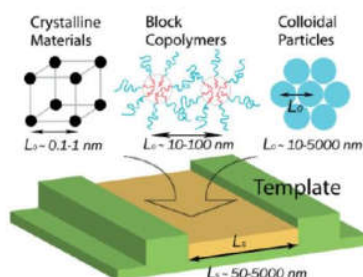
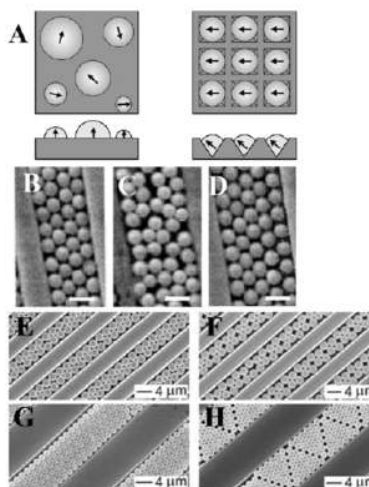


Figure 1. Illustration of some types of TSA systems. Characteristic lengths ( $L_0$ ) of crystalline materials, block copolymers, and colloid assemblies and the characteristic length ( $L_s$ ) of the template are indicated.

E. L. Thomas, *Adv Mater*, **2006**, 18, 2505



Molecules can self-assemble (bottom up), guided by the periodic patterns (or templates) fabricated by lithographic methods (top-down)

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## What is the self-assembly (SA)?

### ❑ Self-assembly

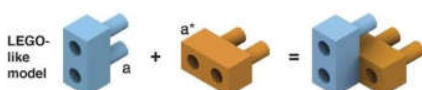
- spontaneous association of molecules into defined three-dimensional (3D) geometry under a defined condition.
- “Self”: building units come close together
- “Assembly”: form aggregates

Building units (BU)

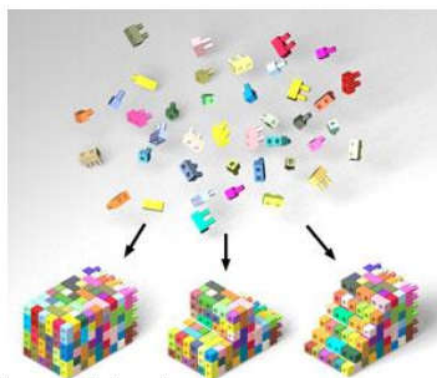
“Self-assembly process”

Spontaneously assemble

### ❖ Analogy to Lego block



- Simple, diverse, easy to assemble
- Complementary segments



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<http://theolduvaigorge.tumblr.com/post/36880512253/ulaulaman-lego-and-dna-yonggang-ke-luvena-l>  
<http://www.rsc.org/chemistryworld/2012/11/dna-lego-bricks-origami>

45

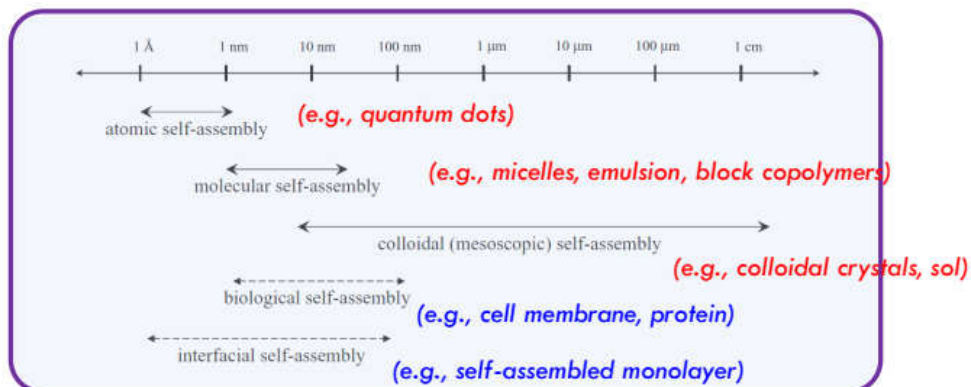
## Classification of self-assembly

### 1. By size/nature of building unit:

- Atomic, molecular (traditional), and colloidal

### 2. By system where is occurs:

- Biological and interfacial



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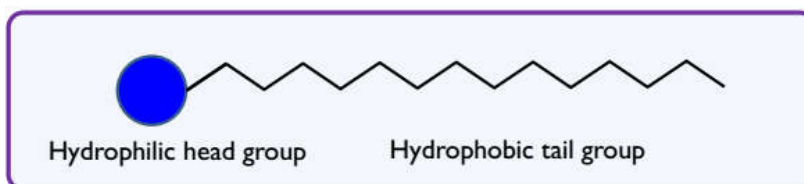
### Self-Assembled System: (I) Surfactant micelles

#### ◆ Surfactant: Surface Active Agent

1) Compounds that **lower the surface tension** (or interfacial tension) between two liquids or between a liquid and a solid. Surfactants may act as detergents, wetting agents, emulsifiers, foaming agents, and dispersants.

2) Surfactants are composed of a polar head group that is hydrophilic and a nonpolar tail group that is hydrophobic.

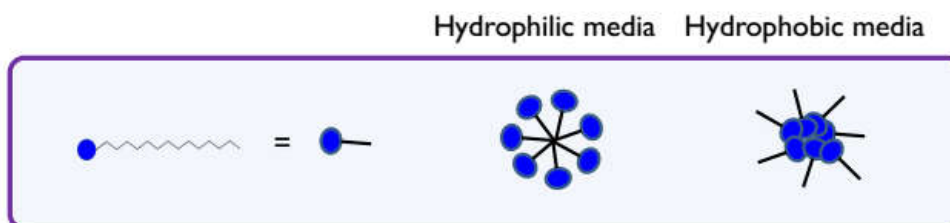
- i) A surfactant contains both a water-insoluble (or oil-soluble) component and a water-soluble component.
- ii) The head groups can be anionic, cationic, zwitterionic, or nonionic.
- iii) The tail group can be a hydrocarbon, fluorocarbon, or a siloxane



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### Self-Assembled System: (I) Surfactant micelles

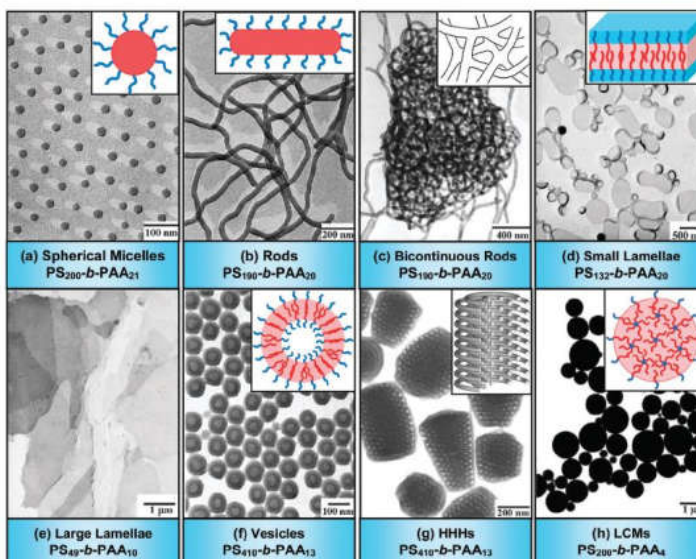
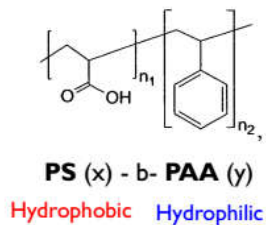
- Surfactant molecules exist in solution as individual molecules at **low concentrations**.
- **Micelles:** the surfactant molecules saturate the surface and start forming aggregates in solution at higher surfactant concentrations
- **Critical micelle concentration (CMC):** Micelles are formed, once the surfactant exceeds a certain concentration



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### Self-Assembled System: (2) Block copolymer in solution

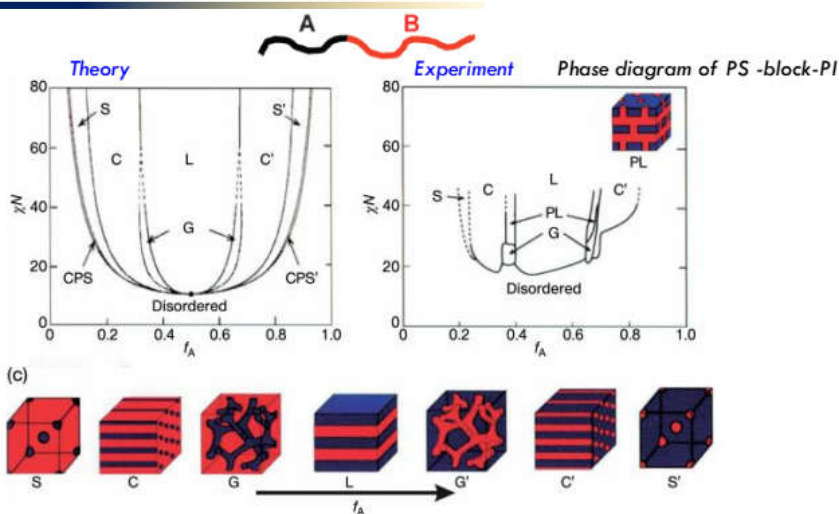


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A. Eisenberg,  
Chem. Soc. Rev. 2012, 41, 5969

Solution morphologies can be tuned depending on compositions, concentration, solvent composition, additives (ions or homopolymers)

### Self-Assembled System: (3) Block copolymer in bulk



- 1)  $\chi$  = strength of A-B monomer repulsion
- 2)  $N$  = degree of polymerization
- 3)  $f_A$  = volume fraction of polymer A

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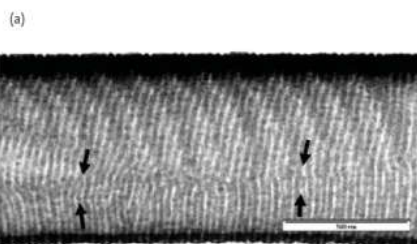
A. Eisenberg, Chem. Soc. Rev. 2012, 41, 5969



### Self-Assembled System: (4) Block copolymer thin-films

On thin film, **interfacial interactions** become significant (e.g., btw substrate and polymers)

(a) **PS - b - PMMA**  
On a **neutral** substrate



(b) **PS - b - PI**  
on **PS-preferential** substrate

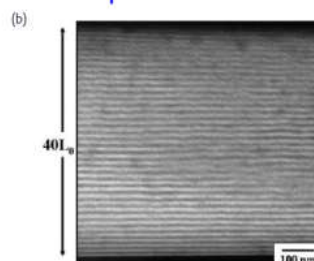
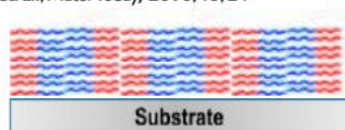
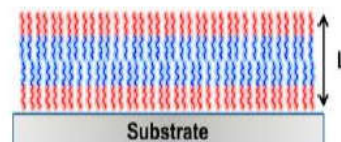


Fig. 2 (a) Cross-sectional TEM image of a  $\approx 900$  nm thick cylinder-forming PS-*b*-PMMA film on a neutral substrate surface annealed at 230 °C. Scale bar represents 500 nm. Defect line  $\approx 200$  nm ( $6 \times L_c$ ) into the film (arrows) indicates where neutral substrate and neutral free surface field effects intersect. Both fields align cylinders perpendicular to the substrate surface. (b) Cross-sectional TEM image of a  $\approx 1400$  nm thick lamellar PS-*b*-PI film on a PS-preferential substrate. (a) Reprinted in part with permission from<sup>37</sup> © 2009 American Chemical Society. (b) Reprinted with permission from<sup>37</sup> © 2008 American Chemical Society.

T Epps et. al., *Mater Today*, 2010, 13, 24



Perpendicular to the substrate



Parallel to the substrate

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## Self-assembly through Force Balance

❖ Three major forces (or interactions) for self-assembly

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## Intermolecular interactions for self-assembly

### □ Major interactions

: relatively **weak** intermolecular or colloidal forces

TABLE 1.1. Representative intermolecular/colloidal attractive and repulsive forces for self-assembly.

Attractive Force	Repulsive Force
Van der waals <sup>a</sup>	Electric double-layer <sup>b</sup>
Solvation	Solvation
Depletion	Hydration
Bridging	Steric
Hydrophobic	
$\pi$ - $\pi$ stacking	
Hydrogen bond	
Coordination bond <sup>c</sup>	

(& also Directional force)

## Three fundamental segments

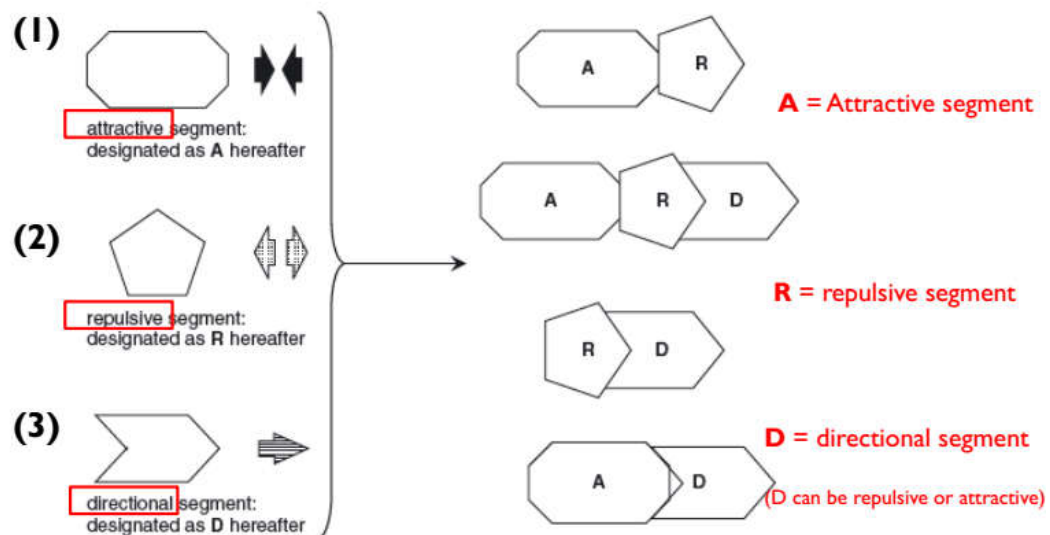


Figure 1-3. Three fundamental segments of self-assembly building units and their possible combinations. Arrows represent the direction of the force between the segments during self-assembly.

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### Example of Attractive, Repulsive & Directional Segment

TABLE 1-1. Intrinsic components that can be each of the three fundamental segments. Physical, chemical, and conditional factors that can act as fundamental segments are also shown. A, R, and D refer to attractive, repulsive, and directional segments, respectively.

	Intrinsic Component	Physical Factor	Chemical Factor	Conditional Factor
A	hydrocarbon chain fluorocarbon chain hydrophobic surface charged atom charged surface structure recognizable group	surface charge solvation physisorption	surface thiolation surface silylation chemisorption surface oxidation surface reduction other surface reactions	concentration evaporation temperature pressure pH
R	bulky group charged atom hydrated atom charged surface	surface charge solvation desorption hydration		concentration temperature pressure pH
D	hydrogen bonding group coordination bonding group structure recognizable group DNA biological group <u>Also, <math>\pi</math>-<math>\pi</math> interactions</u>	electric field magnetic field flow physisorption	surface thiolation surface silylation chemisorption surface oxidation surface reduction other surface reactions	evaporation temperature pressure pH

#### ❖ Directional segment

: (attractive or repulsive) interactions between **complementary** groups

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### Two additional segments

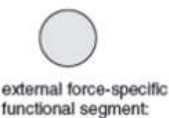


asymmetric packing segment

#### (4) Asymmetric packing segment (AP)

: a segment (within the self-assembly building unit) that induces the packing of the building unit with an asymmetric nature

Ex) many biological and biomimetic self-assembly building units → Chirality



external force-specific functional segment

#### (5) External force specific functional segment (EF-F)

: a segment (within the self-assembly building unit) that has a functionality whose function is exclusive on a specific signal (or stimulus)



external force-induced directional factor: the external fields that impacts on the directionality of entire self-assembly process

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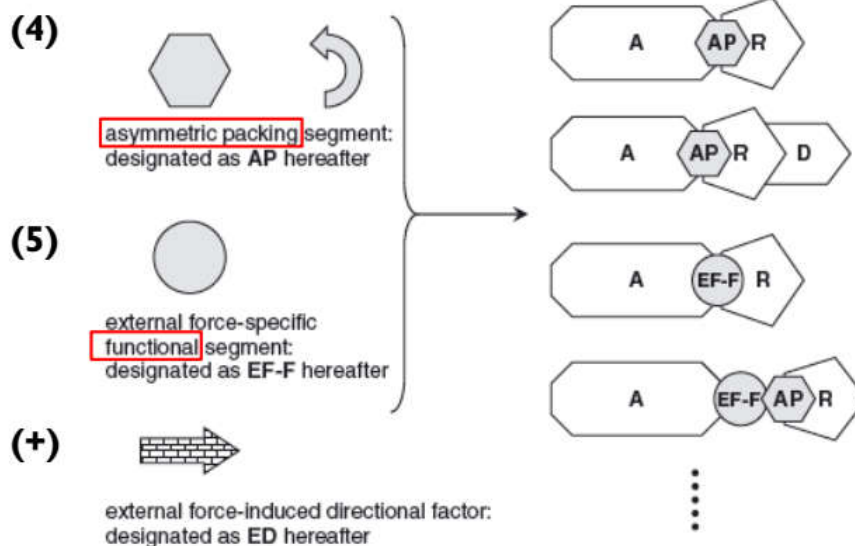
## Two additional segments

TABLE 1-2. Intrinsic components that can be each of the additional segments. Physical, chemical, and conditional factors that can act as additional segments are also shown. AP, EF-F, and ED refer to asymmetric packing segment, external force-specific functional segment, and external force-induced directional factor, respectively.

	Intrinsic Component	Physical Factor	Chemical Factor	Conditional Factor
Asymmetric packing segment	AP hydrogen bonding group coordination bonding group asymmetric structure structure recognizable group	physisorption chirality	chemisorption	
External force specific functional segment	EF-F azo group disulfide group ferrocenyl group cis-trans group charged surface magnetic field-sensitive component electric field-sensitive component	Sensitive to light sensitive to catalytic Sensitive to electrochemical signal		even double hydrogen bond uneven double hydrogen bond
External force induced directional factor	ED	electric field magnetic field flow epitaxial matching		concentration evaporation temperature pressure pH ionic strength ultrasound UV, visible, laser

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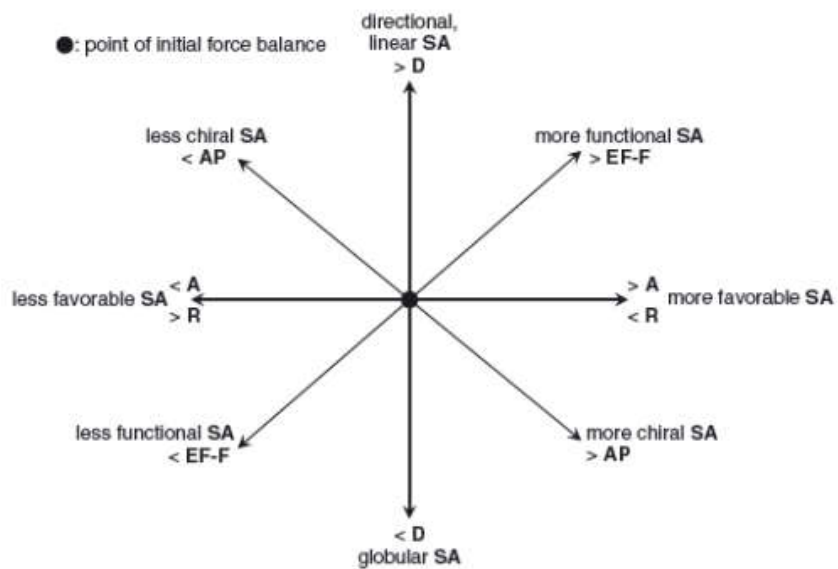
## Two additional segments



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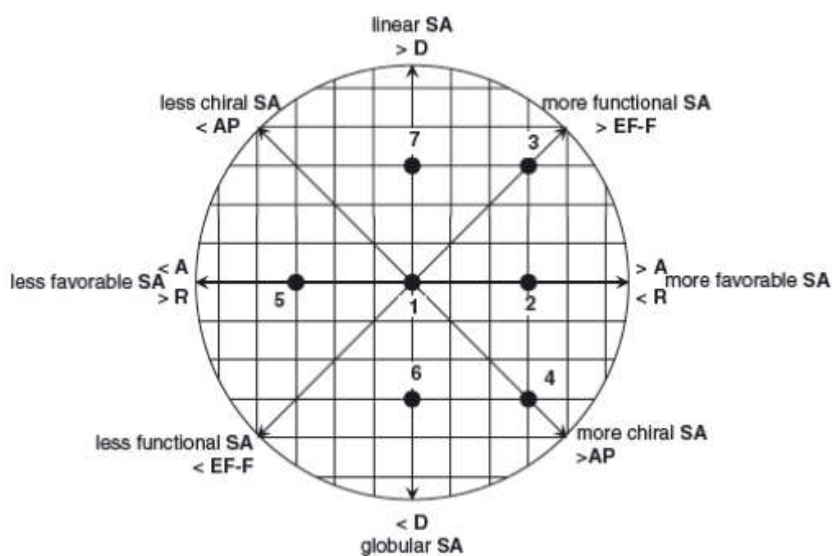
## General rules of SA from fundamental and additional segments



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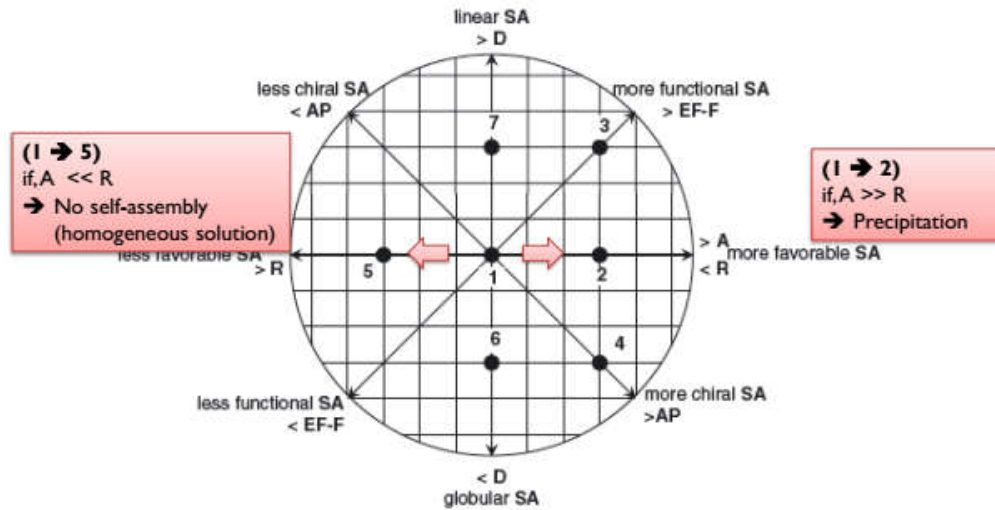
## Conceptual universal diagram for SA



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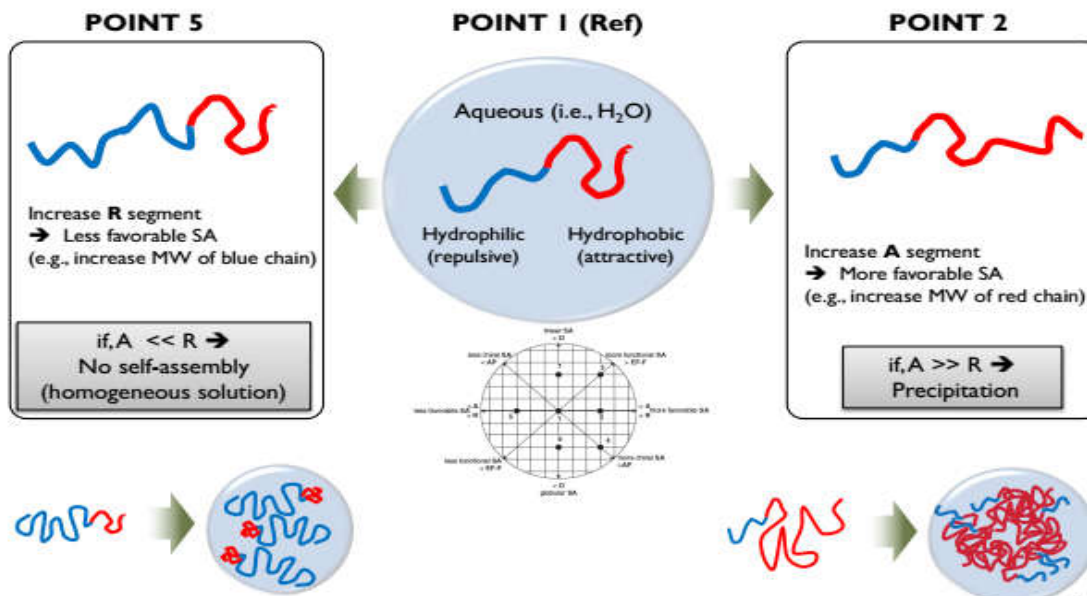
## Conceptual universal diagram for SA



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## Examples

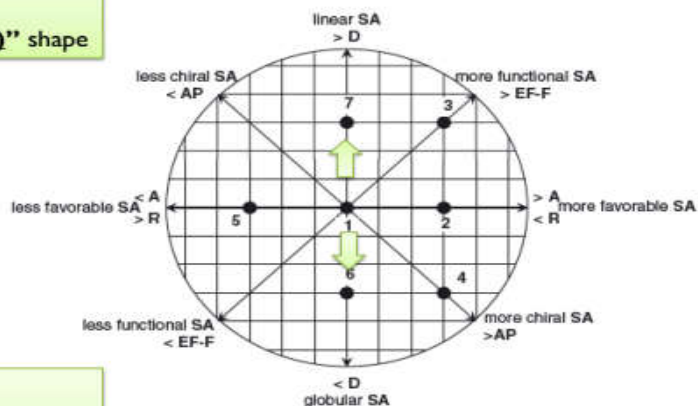


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## Conceptual universal diagram for SA

(1 → 7)

- CMC : lower (than 1)
- **"Linear (non-spherical)"** shape



(1 → 6)

- CMC : higher (than 1)
- Aggregation No : smaller
- Size of aggregation: smaller
- **"Globular (spherical)"** shape

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## Examples

POINT 7

Increase directionality  
(e.g., by H-bonding,  $\pi$ - $\pi$  stacking)

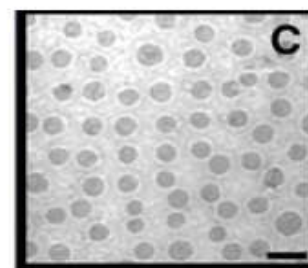
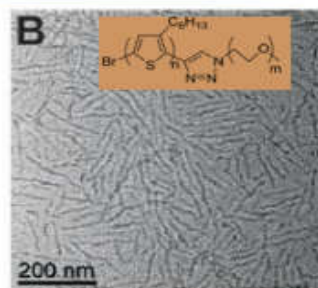
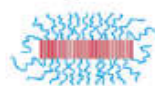
POINT 1  
(Ref)

Aqueous (i.e.,  $H_2O$ )

Hydrophilic (repulsive)    Hydrophobic (attractive)

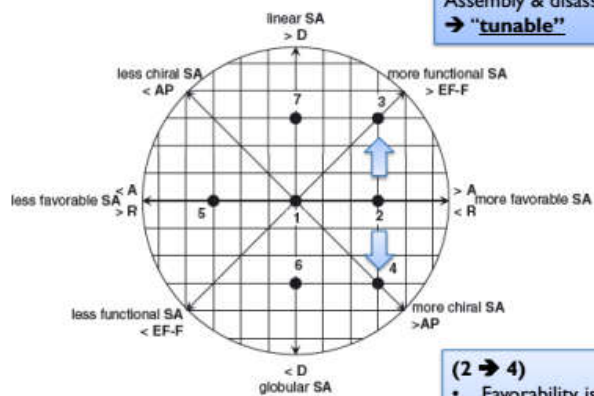
POINT 6

less directionality



Polym. Chem, 2011, 2, 1018 66

## Conceptual universal diagram for SA



(2 → 3)

- Favorability is impacted by the functional segment
- CMC/ Aggregation No/ Size & shape of Aggregation/ Assembly & disassembly
- "tunable"

(2 → 4)

- Favorability is impacted by AP segment
- Usually enforces a attractive & directional segment
- Lower CMC
- Higher aggregation No.
- **Diverse and bigger morphologies**

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## Examples

POINT 3

+ functionality

POINT 2

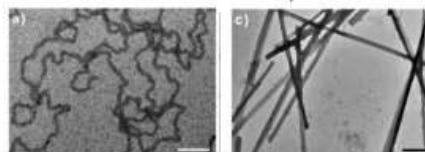
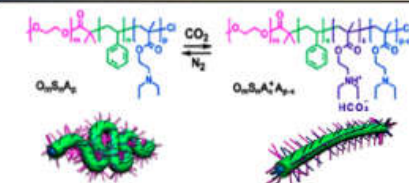
Aqueous (i.e., H<sub>2</sub>O)

Hydrophilic (repulsive)    Hydrophobic (attractive)

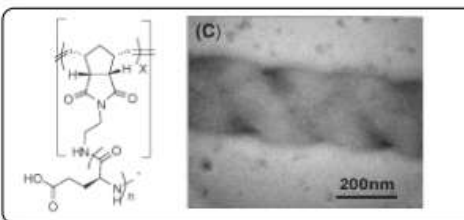
POINT 4

+ chirality

PBLG (polybenzylglutamate)  
→ Polypeptide (chiral unit)



Y Zhao, JACS, 2013, 135, 16300



Y. Lin, J Am. Chem. Soc. 2011, 133, 12906

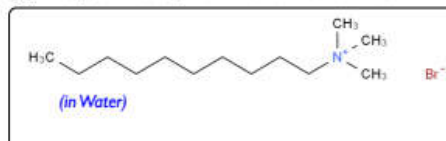
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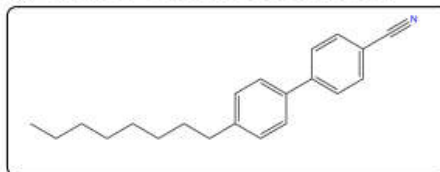


## (Q) Identification of force segments

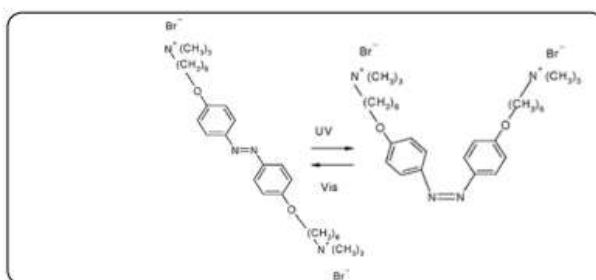
### (1) Decyltrimethyl ammonium bromide



### (2) 4-cyano-4'-octyl-1,1'-biphenyl (8CB)



### (3) 4,4'-bis (trimethylammoniumhexyloxy) azobenzene bromide



More examples can be found in  
the Section 1.5 of Chapter 1  
(Textbook 2, p24-30)

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N.Abbott, Langmuir 1999, 15, 4404 69

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## Self-assembly (SA): Thermodynamic & kinetic process

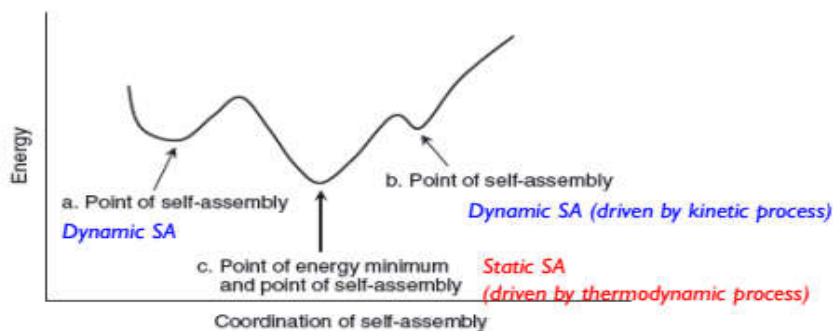


Figure 1-2. The concept of force balance can embrace a wider scope of self-assembly than the energy minimization approach.

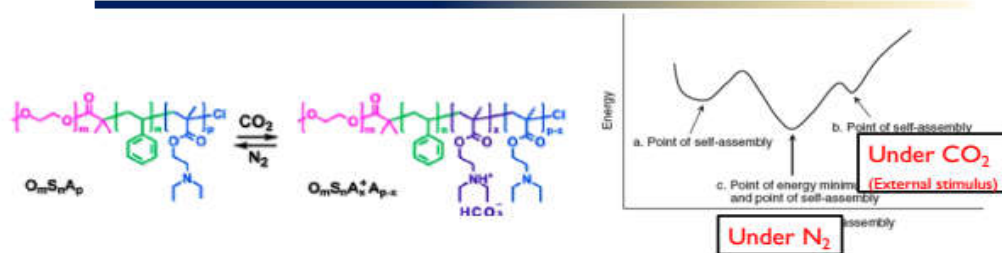
❖ Self-assembly process can be driven by both thermodynamic process & kinetic process

1) **Thermodynamic equilibrium** btw SA building units at the balance point will ensure self-assembly process (bring building units together & hold aggregates with structural integrity)

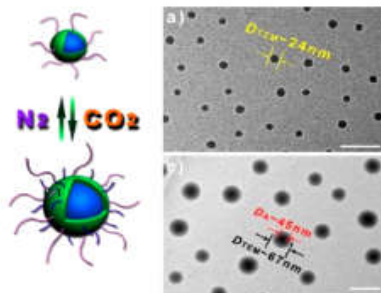
2) But, **Kinetic conditions** (i.e., under particular conditions) can also ensure the same aspects of self-assembled aggregates (bring building units together & hold aggregates with structural integrity)

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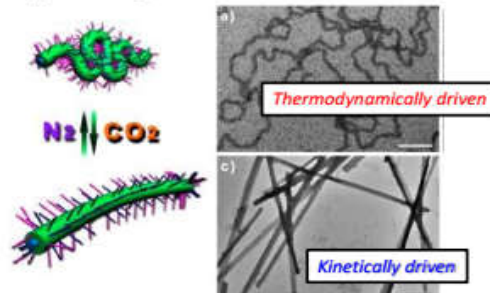
## Self-assembly (SA): Thermodynamic & kinetic process



### (1) Expansion



### (2) Stretching



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Y Zhao, "CO<sub>2</sub>-stimulated diversiform deformations of polymer assemblies" JACS, 2013, 135, 16300

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## Hierarchical Self-Assembly

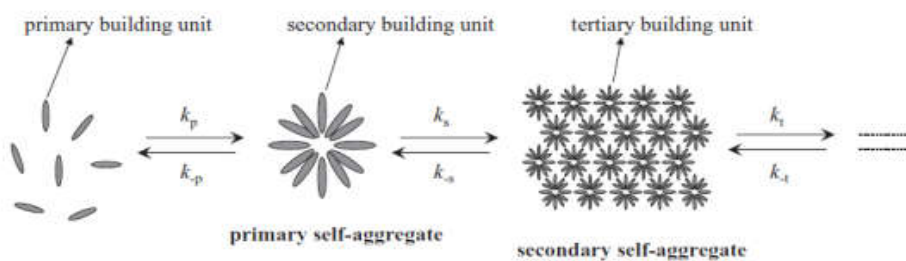


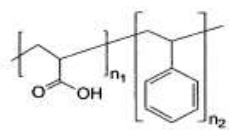
Figure 1.3. General scheme for self-assembly.

→ Develop **hierarchical structures**

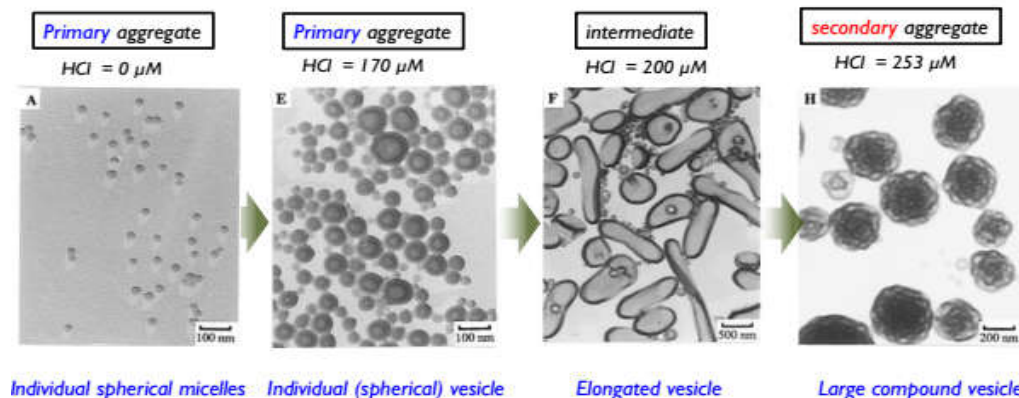
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## Ex) Formation of secondary aggregates



PS (410) - b- PAA (13)



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A Eisenberg, *Macromolecules* **1996**, 29, 8805  
A. Eisenberg, *Science* **1996**

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## Summary

### 1) Manufacturing Nanostructured Materials:

:Top down vs bottom up

### 2) Self-assembly through force balance

: Balance between Attractive, Repulsive and Directional forces

- Attractive segment (fundamental)
- Repulsive segment (fundamental)
- Directional segment (fundamental)
- Asymmetric packing segment (additional)
- Functional segment (additional)
- External force induced directional factor

### 3) Self-assembly can be driven by thermodynamic or kinetic process

### 4) Hierarchical Self-assembly

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