# **Relational Data Model**

Truong Tuan Anh CSE-HCMUT

#### Contents

- 1 Relational Data Model
- 2 Main Phases of Database Design
- 3 ER-/EER-to-Relational Mapping

#### Contents

#### **1** Relational Data Model

- 2 Main Phases of Database Design
- 3 ER-/EER-to-Relational Mapping

#### **Relational Data Model**

- Basic Concepts: relational data model, relation schema, domain, tuple, cardinality & degree, database schema, etc.
- Relational Integrity Constraints
  - key, primary key & foreign key
  - entity integrity constraint
  - referential integrity
- Update Operations on Relations

- The relational model of data is based on the concept of a relation
- A relation is a mathematical concept based on the ideas of sets
- The model was first proposed by Dr. E.F.
  Codd of IBM in 1970 in the following

paper:

"A Relational Model for Large Shared Data Banks," Communications of the ACM, June 1970

- Relational data model: represents a database in the form of relations (2-dimensional table with rows and columns of data)
  - A database may contain one or more such tables. A relation schema is used to describe a relation
- Relation schema: R(A1, A2,..., An) is made up of a relation name R and a list of attributes A1, A2, . . ., An
  - Each attribute Ai is the name of a role played by some domain D in the relation schema R. R is called the **name** of this relation

- The degree of a relation is the <u>number of</u> <u>attributes</u> n of its relation schema.
- Domain D: D is called the domain of Ai and is denoted by dom(Ai). It is a set of atomic values and a set of integrity constraints
  - STUDENT(Name, SSN, HomePhone, Address, OfficePhone, Age, GPA)
  - Degree = ??
  - dom(GPA) = ??

- Tuple: row/record in table
- Cardinality: number of tuples in a table
- Database schema S = {R1, R2,..., Rm}

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno	
DEPARTM	IENT				O DEPT_LOCATIONS					
Dname Dnumber Mgr_ssn			_ssn	Mgr_start_date Dnumber D			Dlocation			
			1	)						
PROJECT						WOR	KS_ON			
Pname	Pnumb	er Ploc	ation	Dnum		Ess	n Pno	Hours		
								Schema di	agram for	
DEPENDENT						the C	OMPANY			
Essn	Depend	ent_name	Sex	Bdate	Relations	ship		relational	database schema	

- A relation r (or relation state, relation instance) of the relation schema R(A1, A2, ..., An), also denoted by r(R), is a set of n-tuples r = {t1, t2, ..., tm}.
  - Each n-tuple t is an ordered list of n values t = <v1, v2, ..., vn>, where each value vi, i=1..n, is an element of dom(Ai) or is a special null value. The i<sup>th</sup> value in tuple t, which corresponds to the attribute Ai, is referred to as t[Ai]

Relational data model Database schema Relation schema Relation Tuple Attribute

- A relation can be conveniently represented by a table
  - The columns of the tabular relation represent attributes
  - Each attribute has a distinct name, and is always referenced by that name, never by its position
  - Each row of the table represents a tuple. The ordering of the tuples is immaterial and all tuples must be distinct

#### **Relation: Example**

	Relation na	ame	Attributes					
	STUDENT	Name	SSN	HomePhone	Address	OfficePhone	Age	GPA
	×	Benjamin Bayer	305-61-2435	373-1616	2918 Bluebonnet Lane	nul	19	3.21
	/ *	Katherine Ashly	381-62-1245	375-4409	125 Kirby Road	nul	18	2.89
	1	Dick Davidson	422-11-2320	nul	3452 Elgin Road	749-1253	25	3.53
Tuples 🛓	$ \rightarrow $	Charles Cooper	489-22-1100	376-9821	265 Lark Lane	749-6492	28	3.93
	-	Barbara Benson	533-69-1238	839-8461	7384 Fontana Lane	null	19	3.25

• Alternative Terminology for Relational Model						
Formal Terms	Informal Terms					
Relation	Table					
Attribute	Column Header					
Domain	All possible Column Values					
Tuple	Row					
Schema of a Relation	Table Definition					
State of the Relation	Populated Table					

# **Relational Integrity Constraints**

- Constraints are conditions that must hold on all valid relation instances. There are three main types of constraints:
  - Key constraints
  - Entity integrity constraints
  - Referential integrity constraints

# **Relational Integrity Constraints**

#### Null value

- Represents value for an attribute that is currently unknown or inapplicable for tuple
- Deals with incomplete or exceptional data
- Represents the absence of a value and is not the same as zero or spaces, which are values

# **Key Constraints**

- Superkey of R: A set of attributes SK of R such that no two tuples in any valid relation instance r(R) will have the same value for SK. That is, for any distinct tuples t1 and t2 in r(R), t1[SK] ≠ t2[SK]
- Key of R: A "minimal" superkey; that is, a superkey K such that removal of any attribute from K results in a set of attributes that is not a superkey

### **Key Constraints**

Example: The CAR relation schema:

- CAR(State, Reg#, SerialNo, Make, Model, Year) has two keys
- Key1 = {State, Reg#}

Key2 = {SerialNo}

**Note:** {SerialNo, Make} is a superkey but not a key

 If a relation has several candidate keys, one is chosen arbitrarily to be the primary key. The primary key attributes are <u>underlined</u>.

# **Key Constraints**

 The CAR relation, with two candidate keys: License\_Number and Engine\_Serial\_Number

#### CAR

License_number	Engine_serial_number	Make	Model	Year
Texas ABC-739	A69352	Ford	Mustang	02
Florida TVP-347	B43696	Oldsmobile	Cutlass	05
New York MPO-22	X83554	Oldsmobile	Delta	01
California 432-TFY	C43742	Mercedes	190-D	99
California RSK-629	Y82935	Toyota	Camry	04
Texas RSK-629	U028365	Jaguar	XJS	04

# **Entity Integrity Constraints**

- Relational Database Schema: A set S of relation schemas that belong to the same database. S is the name of the database: S = {R1, R2, ..., Rn}
- Entity Integrity: primary key attributes PK of each relation schema R in S cannot have null values in any tuple of r(R) because primary key values are used to identify the individual tuples: t[PK] ≠ null for any tuple t in r(R)
  - Note: Other attributes of R may be similarly constrained to disallow null values, even though they are not members of the primary key

# **Referential Integrity Constraints**

- A constraint involving two relations
- Used to specify a *relationship* among tuples in two relations: the referencing relation and the referenced relation
- Tuples in the referencing relation R<sub>1</sub> have attributes FK (called foreign key attributes) that reference the primary key attributes PK of the referenced relation R<sub>2</sub>. A tuple t<sub>1</sub> in R<sub>1</sub> is said to reference a tuple t<sub>2</sub> in R<sub>2</sub> if t<sub>1</sub>[FK] = t<sub>2</sub>[PK]
- A referential integrity constraint can be displayed in a relational database schema as a directed arc from R<sub>1</sub>.FK to R<sub>2</sub>

#### **Referential Integrity Constraints**

DEPARTMENT			
DNAME	DNUMBER	MGRSSN	MGRSTARTDATE
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

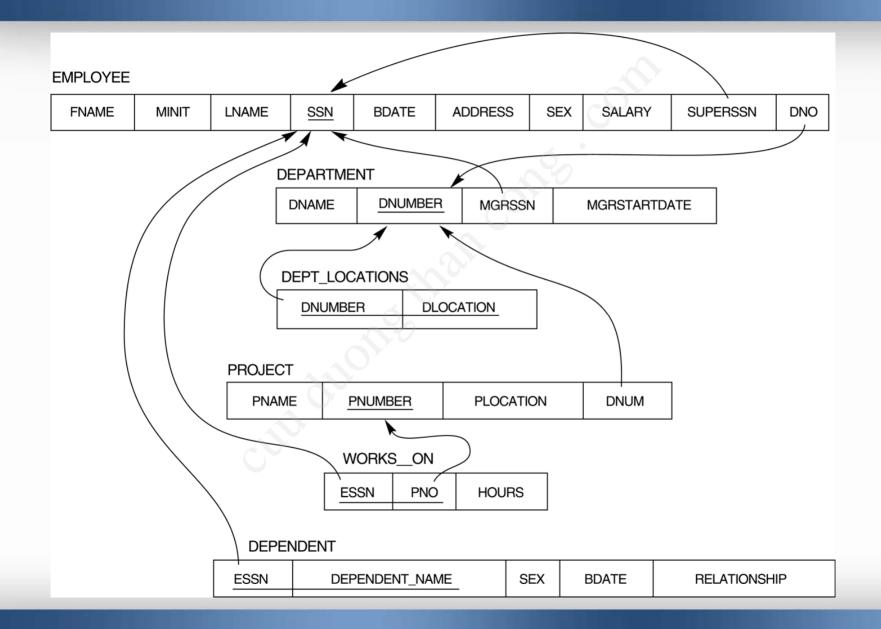
EMPLOYEE

									_
FNAME	MINIT	LNAME	<u>SSN</u>	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	К	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	E	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	nuli	1

# **Referential Integrity Constraints**

- The value in the foreign key column (or columns) FK of the the referencing relation R<sub>1</sub> can be <u>either</u>:
  - (1) a value of an existing primary key value of the corresponding primary key PK in the referenced relation R<sub>2</sub>, or
  - (2) a NULL
- In case (2), the FK in R<sub>1</sub> should <u>not</u> be a part of its own primary key

#### Example



#### **Other Types of Constraints**

- Semantic Integrity Constraints:
  - based on application semantics and cannot be expressed by the model
  - E.g., "the max. no. of hours per employee for all projects he or she works on is 56 hrs per week"
  - A constraint specification language may have to be used to express these
  - SQL-99 allows triggers and ASSERTIONS to allow for some of these
- State/static constraints (so far)
- Transition/dynamic constraints: e.g., "the salary of an employee can only increase"

# **Operations on Relations**

- INSERT a tuple
- DELETE a tuple
- MODIFY a tuple

 Integrity constraints should not be violated by the update operations

- **Insertion**: to insert a new tuple t into a relation R. When inserting a new tuple, it should make sure that the database constraints are not violated:
  - The value of an attribute should be of the correct data type (i.e. from the appropriate domain).
  - The value of a prime attribute (i.e. the key attribute) must not be null
  - The key value(s) must not be the same as that of an existing tuple in the same relation
  - The value of a foreign key (if any) must refer to an existing tuple in the corresponding relation

- Deletion: to remove an existing tuple t from a relation R. When deleting a tuple, the following constraints must not be violated:
  - The tuple must already exist in the database
  - The referential integrity constraint is not violated

 Modification: to change values of some attributes of an existing tuple t in a relation R

- In case of integrity violation, several actions can be taken:
  - Cancel the operation that causes the violation (REJECT option)
  - Perform the operation but inform the user of the violation
  - Trigger additional updates so the violation is corrected (CASCADE option, SET NULL option)
  - Execute a user-specified error-correction routine

# Main Phases of Database Design

#### Three main phases

- Conceptual database design
- Logical database design
- Physical database design

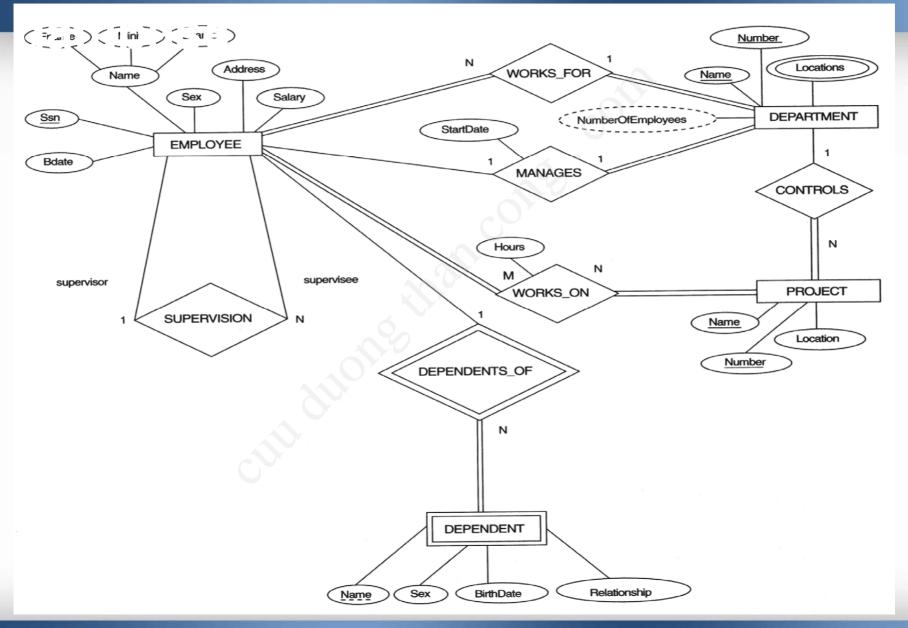
- Conceptual database design
  - The process of constructing a model of the data used in an enterprise, independent of all physical considerations
  - Model comprises entity types, relationship types, attributes and attribute domains, primary and alternate keys, structural and integrity constraints

- Logical database design
  - The process of constructing a model of the data used in an enterprise based on a specific data model (e.g. relational), but independent of a particular DBMS and other physical considerations
  - ER- & EER-to-Relational Mapping
  - Normalization

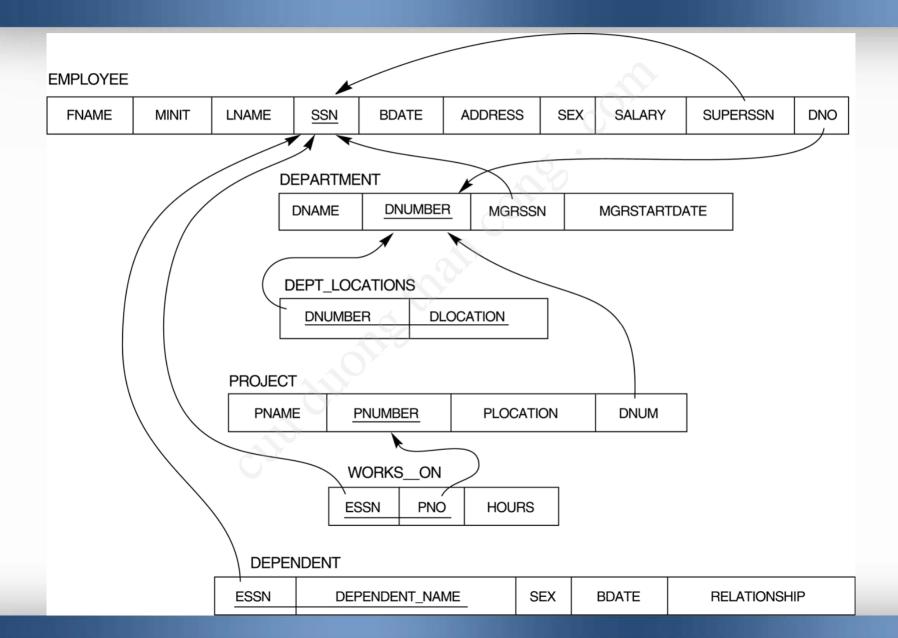
#### Physical database design

 The process of producing a description of the implementation of the database on secondary storage; it describes the base relations, file organizations, and indexes design used to achieve efficient access to the data, and any associated integrity constraints and security measures

#### The ERD for the COMPANY



#### **Corresponding Relational Schema**



# **ER- & EER-to-Relational Mapping**

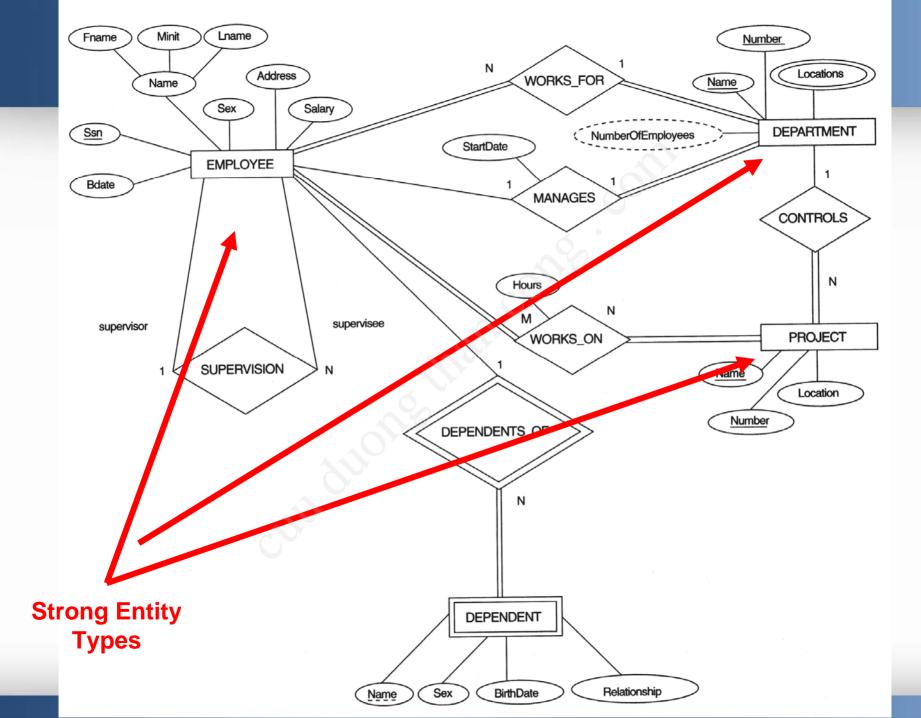
#### • ER-

- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relationship Types
- Step 4: Mapping of Binary 1:N Relationship Types
- Step 5: Mapping of Binary M:N Relationship Types
- Step 6: Mapping of Multivalued attributes
- Step 7: Mapping of N-ary Relationship Types

#### • EER-

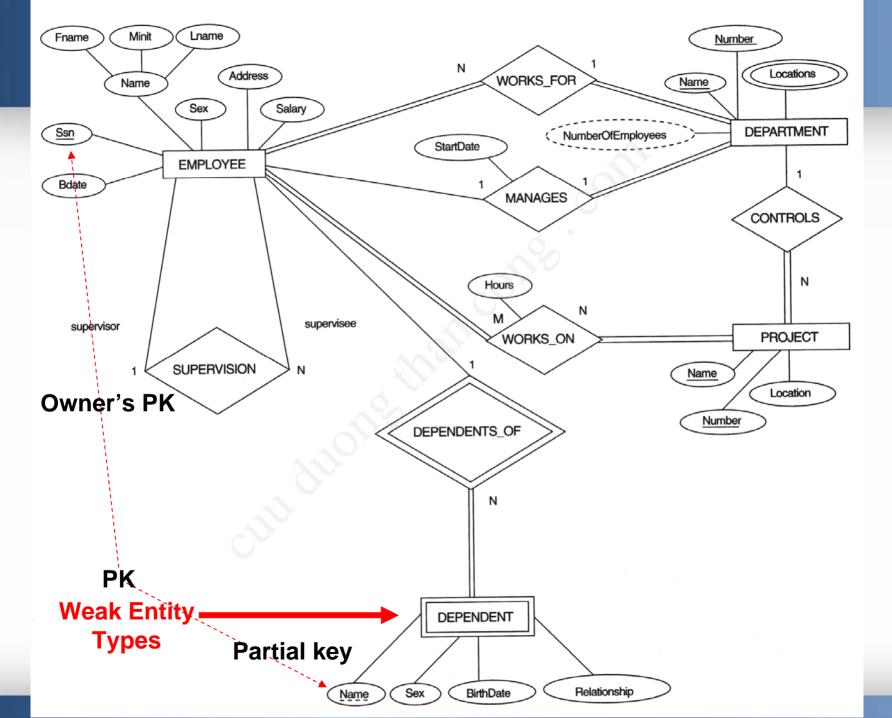
- Step 8: Options for Mapping Specialization or Generalization.
- Step 9: Mapping of Union Types (Categories)

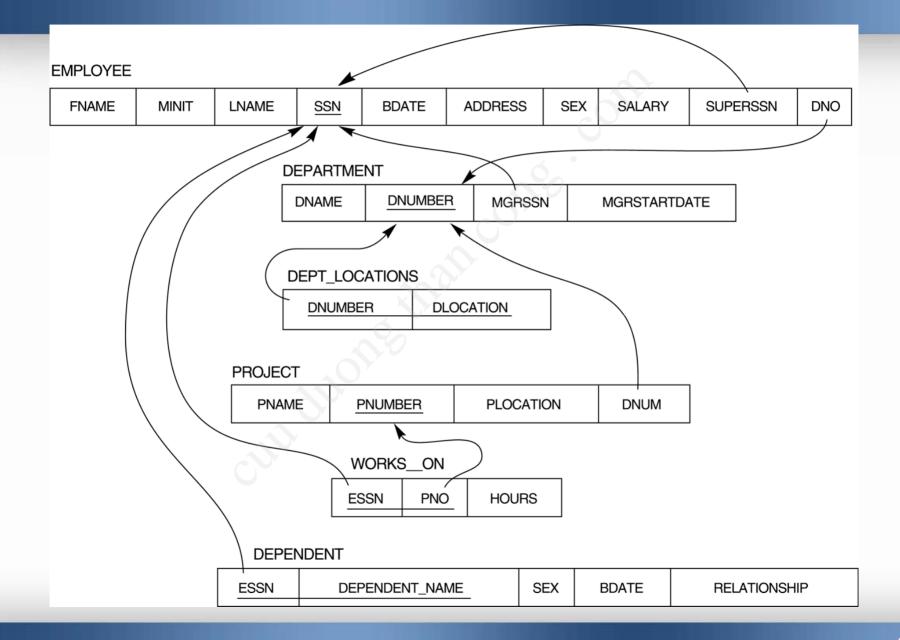
- Step 1: Mapping of Regular Entity Types
  - Entity --> Relation
  - Attribute of entity --> Attribute of relation
  - Primary key of entity --> Primary key of relation
  - Example: We create the relations EMPLOYEE, DEPARTMENT, and PROJECT for the regular entities in the ER diagram. SSN, DNUMBER, and PNUMBER are the primary keys for the relations



#### • Step 2: Mapping of Weak Entity Types

- For each weak entity type W in the ER schema with owner entity type E, create a relation R and include all simple attributes (or simple components of composite attributes) of W as attributes of R
- Foreign key attributes of R is the primary key of the relation(s) that correspond to the owner entity type(s)
- The primary key of R is the combination of the primary key(s) of the owner(s) and the partial key of the weak entity type W, if any
- Example: Create the relation DEPENDENT in this step to correspond to the weak entity type DEPENDENT. Include the primary key SSN of the EMPLOYEE relation as a foreign key attribute of DEPENDENT (renamed to ESSN) The primary key of the DEPENDENT relation is the combination {ESSN, DEPENDENT\_NAME} because DEPENDENT\_NAME is the partial key of DEPENDENT
- Note: CASCADE option as implemented





#### • ER-

- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relationship Types
- Step 4: Mapping of Binary 1:N Relationship Types
- Step 5: Mapping of Binary M:N Relationship Types
- Step 6: Mapping of Multivalued attributes
- Step 7: Mapping of N-ary Relationship Types
- Transformation of binary relationships: depends on *functionality* of relationship and *membership class* of participating entity types

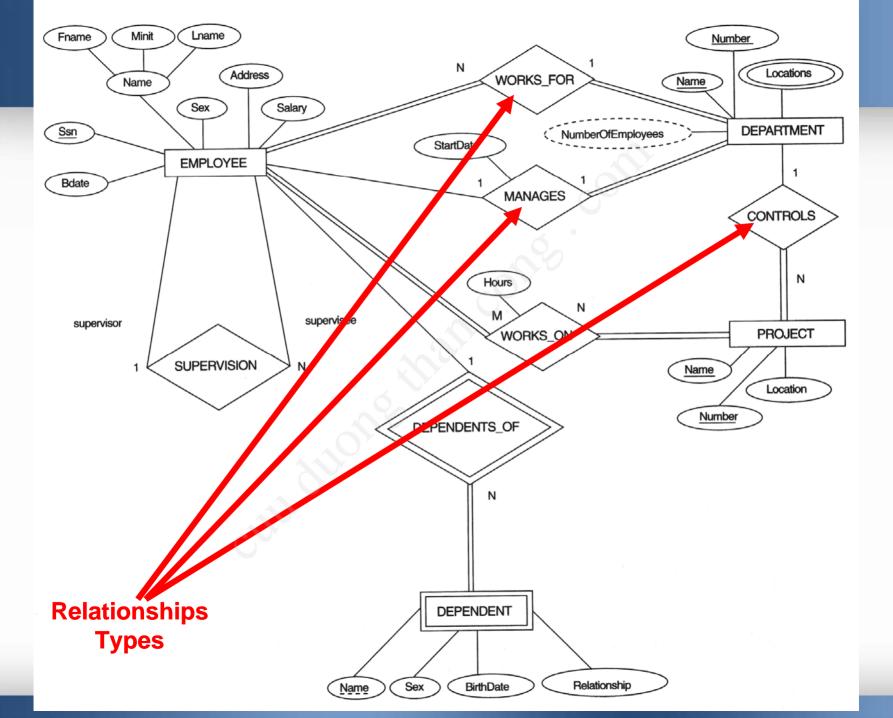
#### Mandatory membership class

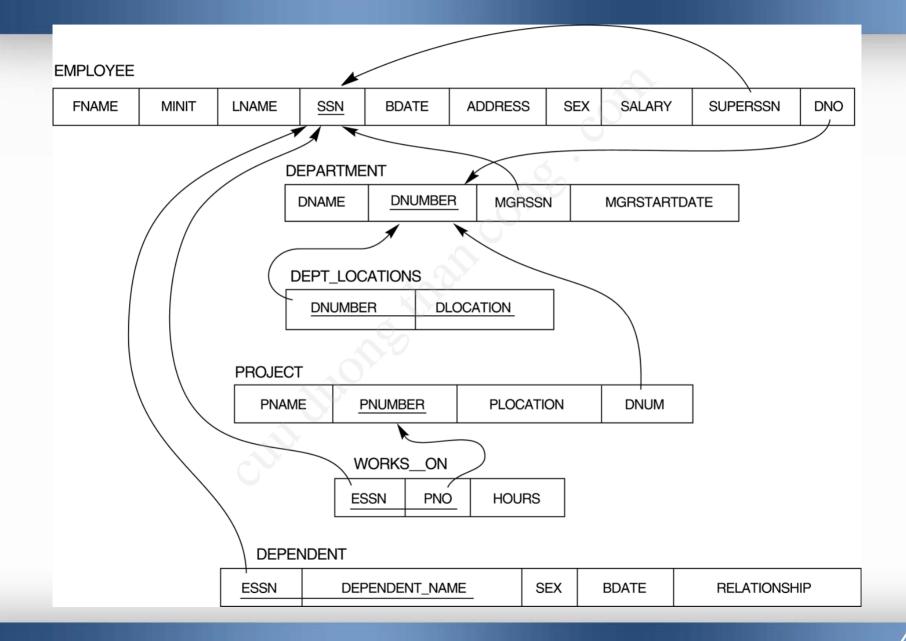
- For two entity types E1 and E2: If E2 is a mandatory member of an N:1 (or 1:1) relationship with E1, then the relation for E2 will include the prime attributes of E1 as a foreign key to represent the relationship
- 1:1 relationship: If the membership class for E1 and E2 are both mandatory, a foreign key can be used in either relation for E1 or E2
- N:1 relationship: If the membership class of E2, which is at the N-side of the relationship, is *optional* (i.e. partial), then the above guideline is not applicable



 Assume every module must be offered by a department, then the entity type MODULE is a mandatory member of the relationship OFFER. The relation for MODULE is:

MODULE(MDL-NUMBER, TITLE, TERM, ..., DNAME)

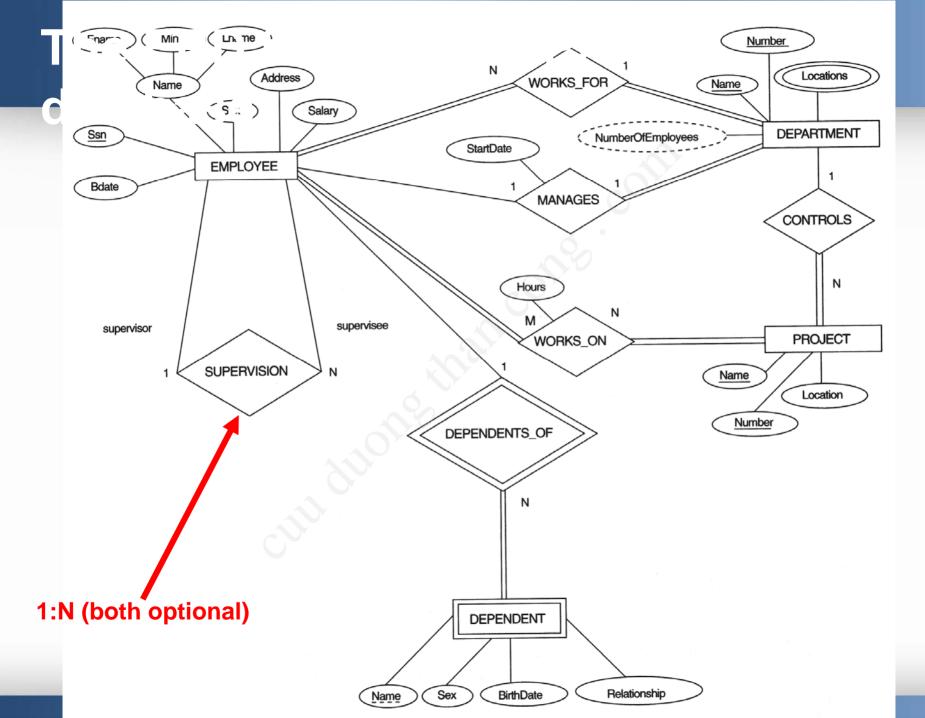


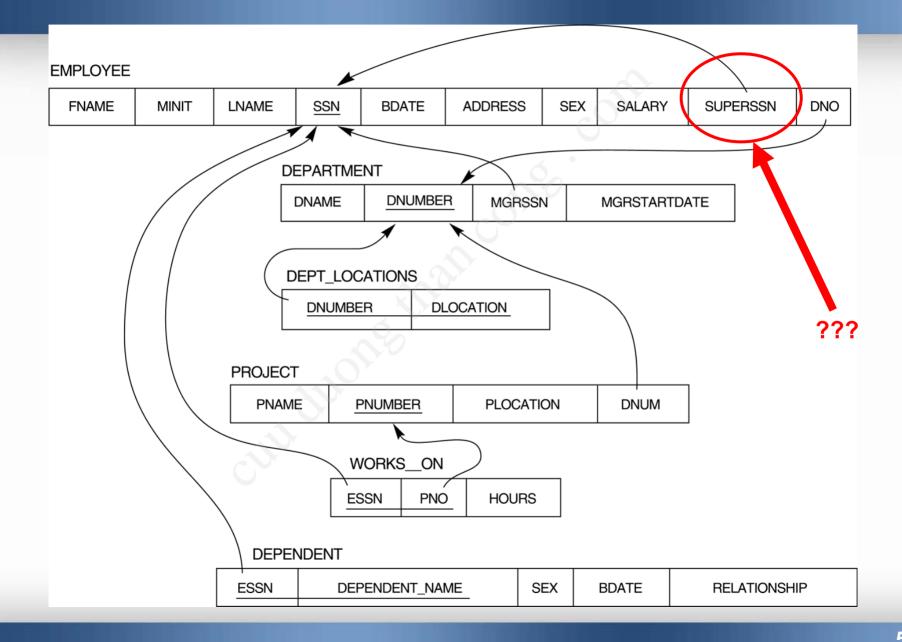


- Optional membership classes
  - If entity type E2 is an optional member of the N:1 relationship with entity type E1 (i.e. E2 is at the N-side of the relationship), then the relationship is usually represented by a new relation containing the prime attributes of E1 and E2, together with any attributes of the relationship. The key of the entity type at the Nside (i.e. E2) will become the key of the new relation
  - If both entity types in a 1:1 relationship have the optional membership, a new relation is created which contains the prime attributes of both entity types, together with any attributes of the relationship. The prime attribute(s) of either entity type will be the key of the new relation



- One possible representation of the relationship: BORROWER(<u>BNUMBER</u>, NAME, ADDRESS, ...) BOOK(<u>ISBN</u>, TITLE, ..., **BNUMBER**)
- A better alternative: BORROWER(<u>BNUMBER</u>, NAME, ADDRESS, ...) BOOK(<u>ISBN</u>, TITLE, ...) ON\_LOAN(<u>ISBN</u>, BNUMBER)

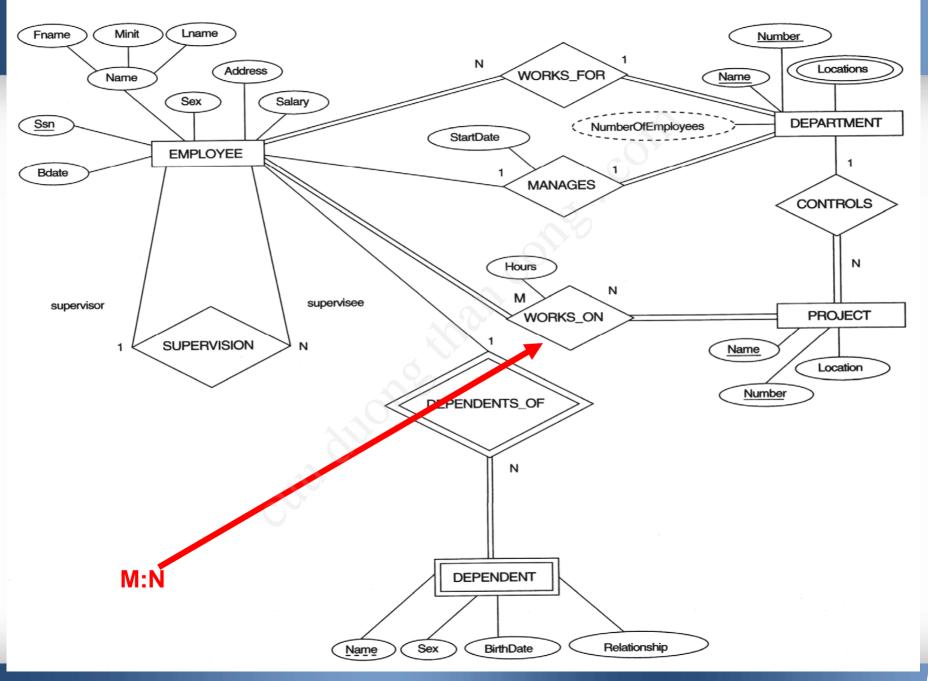


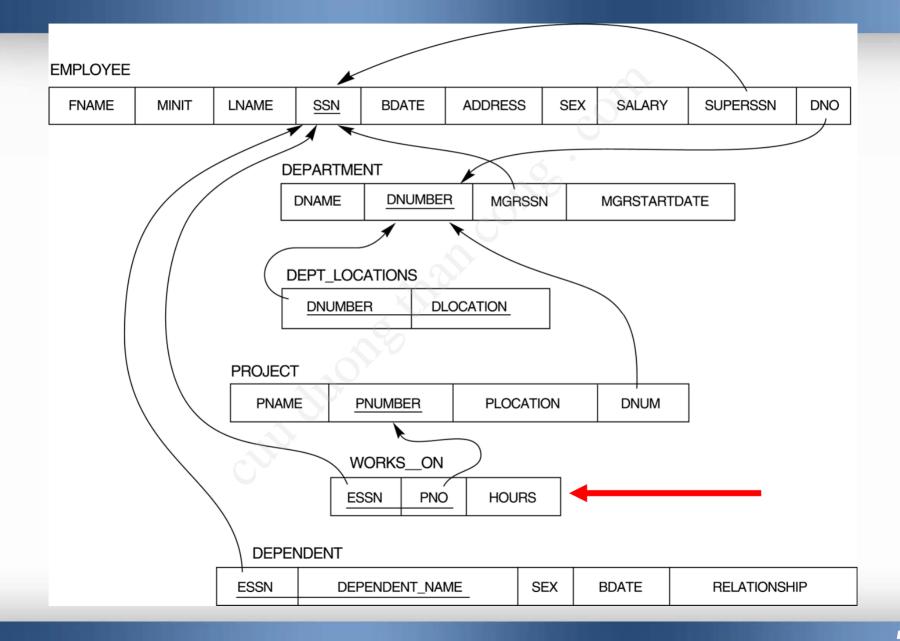


#### • N:M binary relationships:

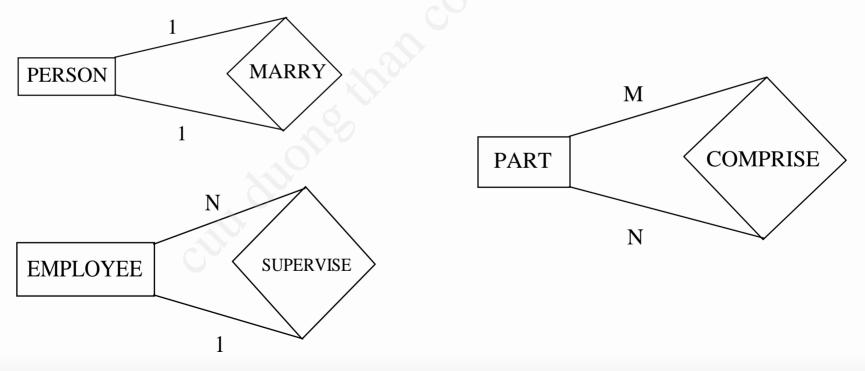
- An N:M relationship is always represented by a new relation which consists of the prime attributes of both participating entity types together with any attributes of the relationship
- The combination of the prime attributes will form the primary key of the new relation
- Example: ENROL is an M:N relationship between STUDENT and MODULE. To represent the relationship, we have a new relation:

ENROL(SNUMBER, MDL-NUMBER, DATE)





- Transformation of recursive/involute relationships
  - Relationship among different instances of the same entity
  - The name(s) of the prime attribute(s) needs to be changed to reflect the role each entity plays in the relationship



 Example 1: 1:1 involute relationship, in which the memberships for both entities are optional

PERSON(<u>ID</u>, NAME, ADDRESS, ...) MARRY(<u>HUSBAND-ID</u>, WIFE\_ID, DATE\_OF\_MARRIAGE)

#### • Example 2: 1:N involute relationship

 If the relationship is mandatory or almost mandatory: EMPLOYEE(<u>ID</u>, ENAME, ..., SUPERVISOR\_ID)

 If the relationship is optional: EMPLOYEE(ID, ENAME, ...) SUPERVISE(ID, START\_DATE, ..., SUPERVISOR\_ID)

 Example 3: N:M involute relationship PART(<u>PNUMBER</u>, DESCRIPTION, ...) COMPRISE(<u>MAJOR-PNUMBER, MINOR-PNUMBER</u>, QUANTITY)

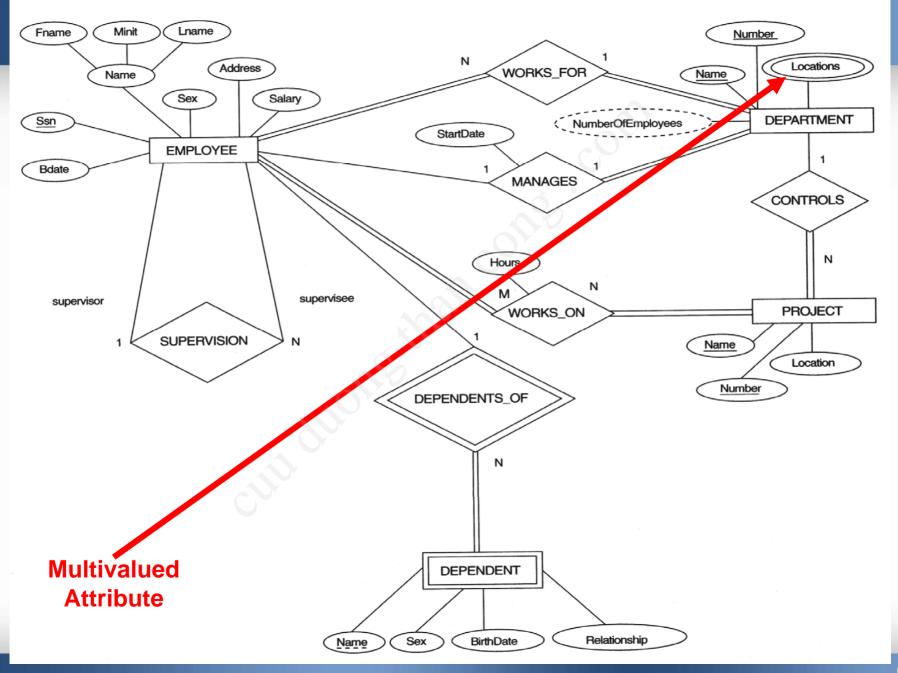
#### • ER-

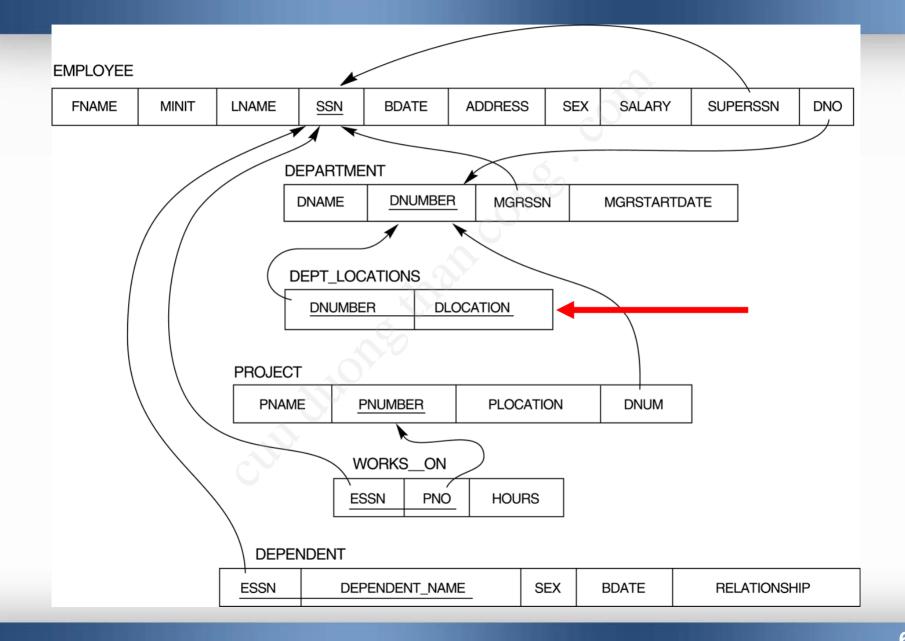
- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relationship Types
- Step 4: Mapping of Binary 1:N Relationship Types
- Step 5: Mapping of Binary M:N Relationship Types
- Step 6: Mapping of Multivalued attributes
- Step 7: Mapping of N-ary Relationship Types

#### • Step 6: Mapping of Multivalued attributes

- For each multivalued attribute A, create a new relation R. This relation R will include an attribute corresponding to A, plus the primary key attribute K-as a foreign key in R-of the relation that represents the entity type or relationship type that has A as an attribute
- The primary key of R is the combination of A and K. If the multivalued attribute is composite, we include its simple components

**Example:** The relation DEPT\_LOCATIONS is created. The attribute DLOCATION represents the multivalued attribute LOCATIONS of DEPARTMENT, while DNUMBER-as foreign key-represents the primary key of the DEPARTMENT relation. The primary key of R is the combination of {DNUMBER, DLOCATION}

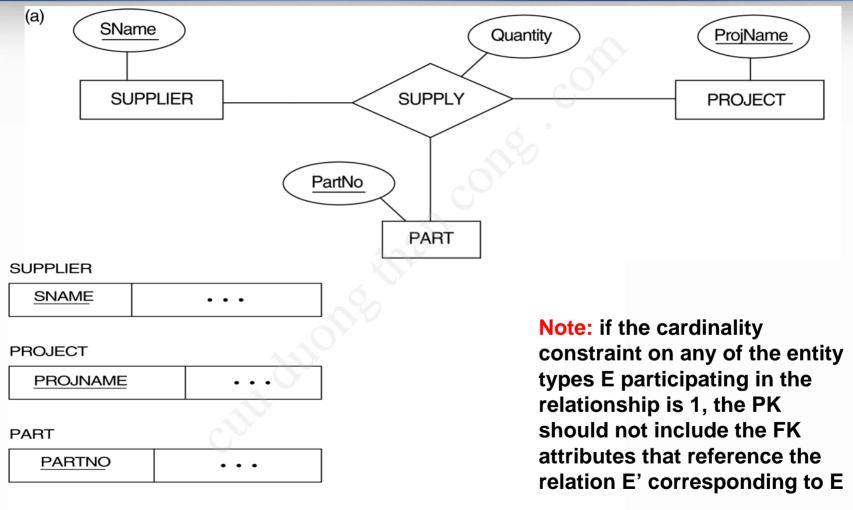




- Step 7: Mapping of N-ary Relationship Types
  - For each n-ary relationship type R, where n>2, create a new relationship S to represent R
  - Include as foreign key attributes in S the primary keys of the relations that represent the participating entity types
  - Also include any simple attributes of the n-ary relationship type (or simple components of composite attributes) as attributes of S

**Example:** The relationship type SUPPY in the ER below. This can be mapped to the relation SUPPLY shown in the relational schema, whose primary key is the combination of the three foreign keys {SNAME, PARTNO, PROJNAME}

**Ternary relationship types: The SUPPLY relationship** 



SUPPLY

SNAME	PROJNAME	PARTNO	QUANTITY

#### **ER-to-Relational Mapping** Correspondence between ER and Relational Models

#### **ER Model**

Entity type 1:1 or 1:N relationship type M:N relationship type *n*-ary relationship type Simple attribute Composite attribute Multivalued attribute Value set Key attribute

#### **Relational Model**

"Entity" relation Foreign key (or "relationship" relation) "Relationship" relation & 2 foreign keys "Relationship" relation & n foreign keys Attribute Set of simple component attributes Relation and foreign key Domain

Primary (or secondary) key

#### **Review questions**

- Define the following terms as they apply to the relational model of data: domain, attribute, n-tuple, relation schema, relation state, degree of a relation, relational database schema, and relational database state.
- 2) Discuss the entity integrity and referential integrity constraints. Why is each considered important?