Chapter 1:
Database System Concepts and Architecture

Outline

- File-based Approach and Database Approach

- Three-Schema Architecture and Data Independence

- Database Languages

- Data Models, Database Schema, Database State

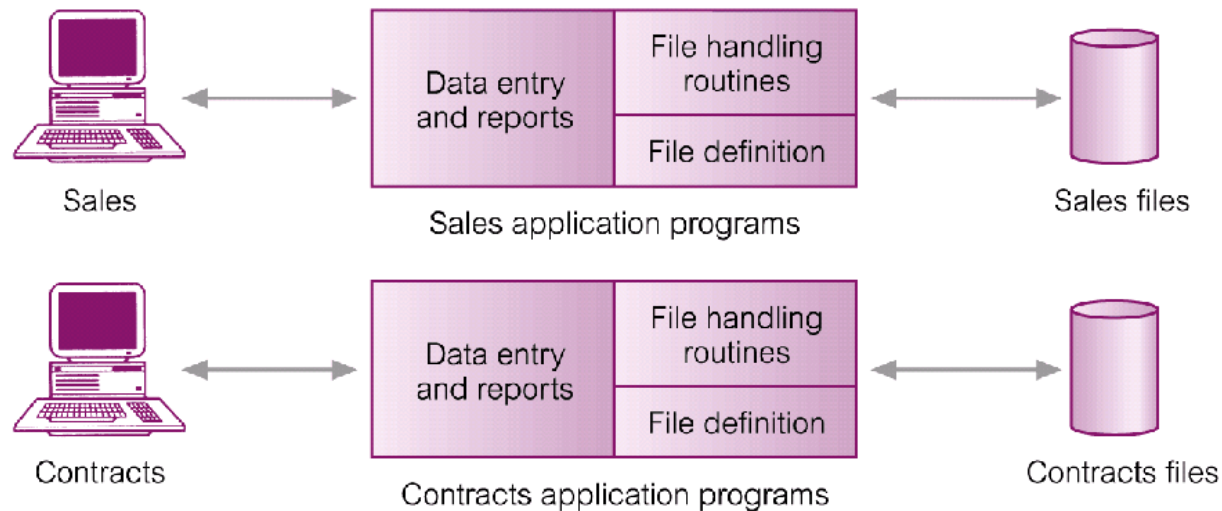- Data Management Systems Framework

Outline

- **File-based Approach and Database Approach**

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File-based Approach

- Data is stored in **one or more separate** computer files

- Data is then processed by computer programs - **applications**

File-based Approach

Sales Files

PropertyForRent (propertyNo, street, city, postcode, type, rooms, rent, ownerNo)

PrivateOwner (ownerNo, fName, lName, address, telNo)

Client (clientNo, fName, lName, address, telNo, prefType, maxRent)

Contracts Files

Lease (leaseNo, propertyNo, clientNo, rent, paymentMethod, deposit, paid, rentStart, rentFinish, duration)

PropertyForRent (propertyNo, street, city, postcode, rent)

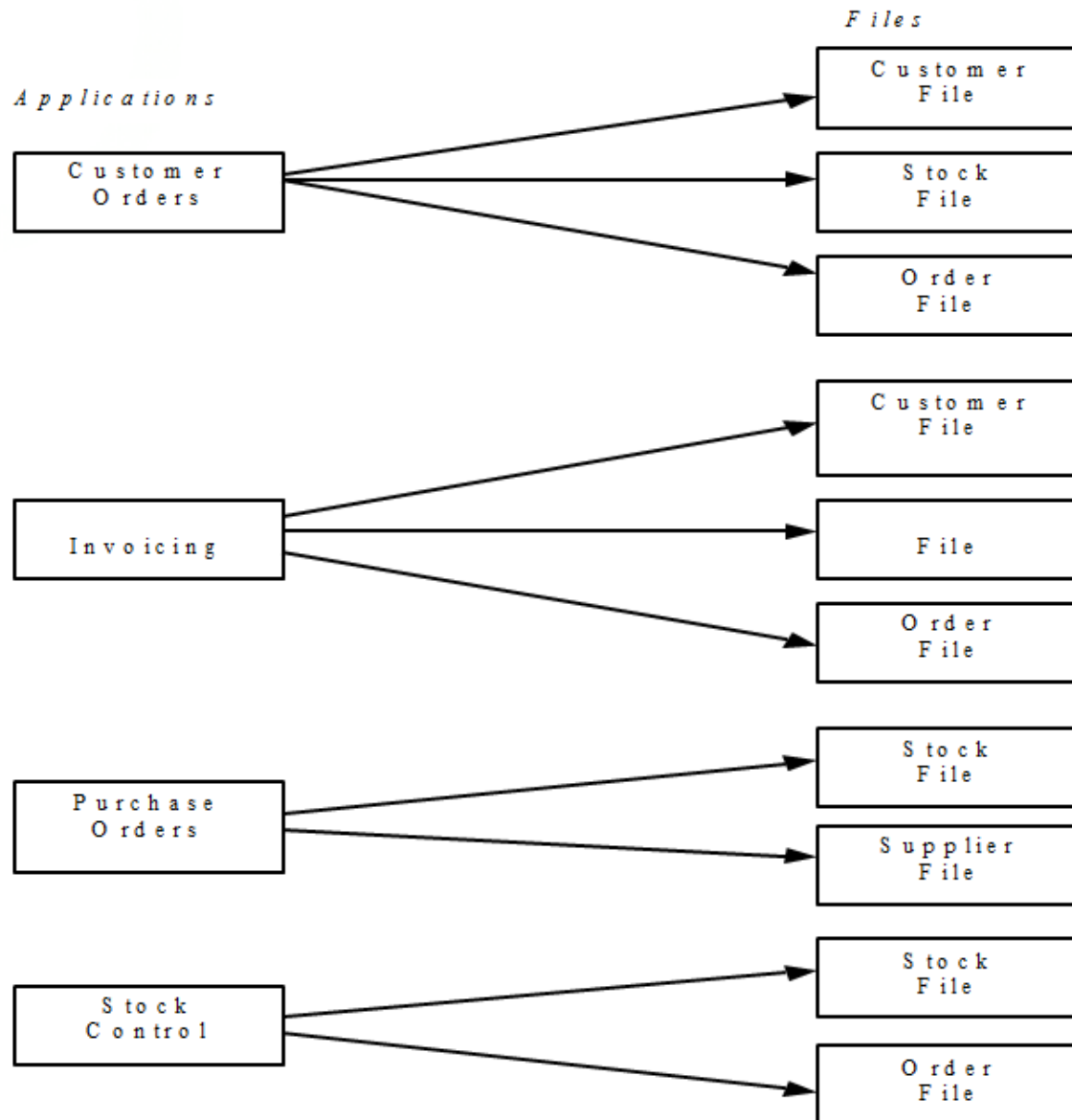**Client** (clientNo, fName, lName, address, telNo)

File-based Approach

- Problems/Limitations
  - Data Redundancy
  - Data Inconsistency
  - *More details: see [2]*

File-based Approach

File-based Approach

- ## Shared File Approach
  - Data (files) is ***shared*** between different applications

  - **Data redundancy** problem is alleviated.
  - **Data inconsistency** problem across different versions of the same file is solved.

File-based Approach

- Shared File Approach
  - Other problems:
    - ***Rigid data structure:*** If applications have to share files, the file structure that suits one application might not suit another.
    - ***Physical data dependency:*** If the structure of the data file needs to be changed in some way, this alteration will need to be reflected in all application programs that use that data file.
    - ***No support of concurrency control:*** While a data file is being processed by one application, the file will not be available for other applications or for ad hoc queries.

Shared file approach
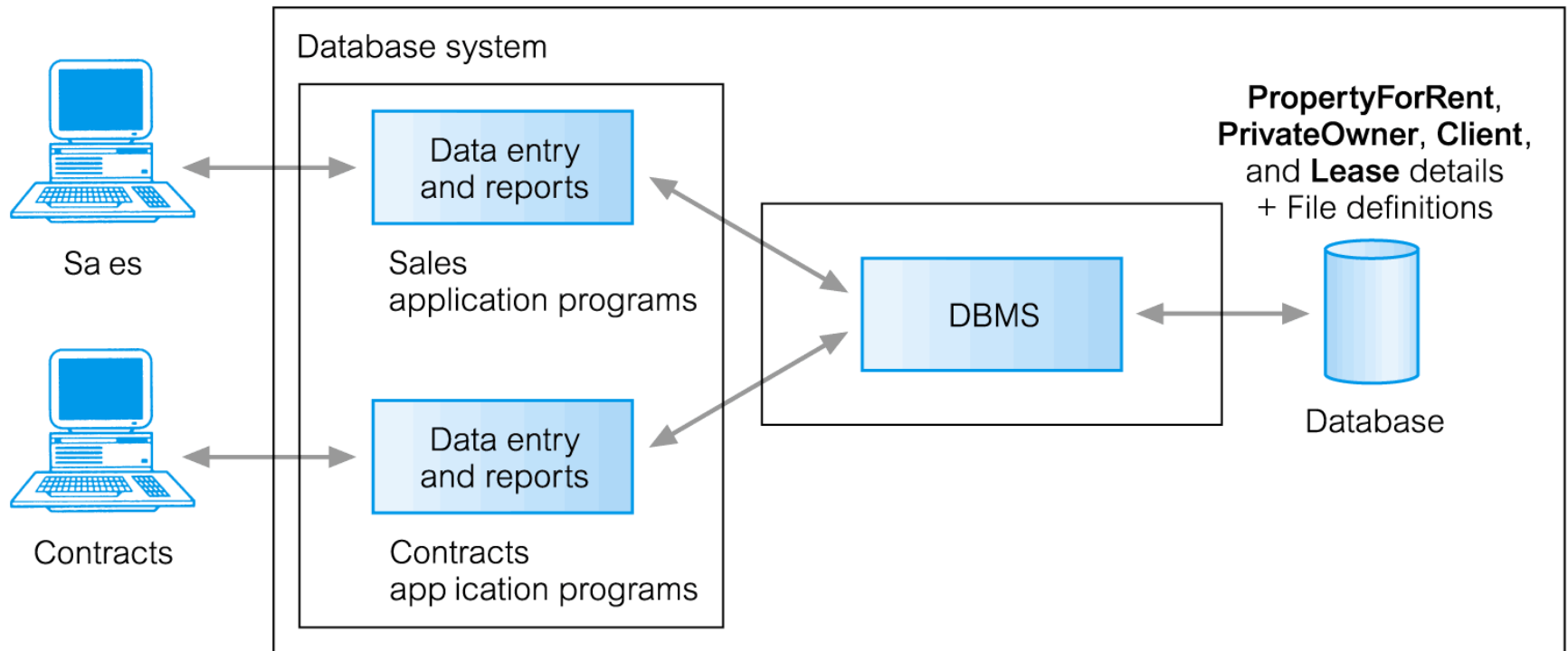
Database Approach

- Arose because:
  - Definition of data was embedded in application programs, rather than being stored separately and independently
  - No control over access and manipulation of data beyond that imposed by application programs
- Result:
  - **The Database and Database Management System (DBMS).**

Database Approach

PropertyForRent (propertyNo, street, city, postcode, type, rooms, rent, ownerNo)
PrivateOwner (ownerNo, fName, lName, address, telNo)
Client (clientNo, fName, lName, address, telNo, prefType, maxRent)
Lease (leaseNo, propertyNo, clientNo, paymentMethod, deposit, paid, rentStart, rentF nish)

Database Approach

- Data
  - Known facts that can be recorded and that have implicit meaning
  - Information? Knowledge?
  - More: www.whatis.com
- Database: Shared collection of logically related data and a description of this data, designed to meet the information needs of an organization

Database Approach

- **System catalog (metadata)** provides description of data to enable program−data independence.

- Logically related data comprises entities, attributes, and relationships of an organization's information.

- **D**ata**B**ase **M**anagement **S**ystem (**DBMS**): a general-purpose software system that facilitates the processes of defining, constructing, manipulating, and sharing databases among various users and applications (*or a software system that enables users to define, create, maintain, and control access to the database*)

Database Approach

- ## Data Definition Language (DDL)
  - Permits specification of data types, structures and any data constraints to be stored in the database
  - All specifications are stored in the database

- ## Data manipulation language (DML).
  - Query language: retrieve (query), update (insert, delete, modify)

- ## Controlled access to database may include:
  - a security system
  - an integrity system
  - a concurrency control system
  - a recovery control system
  - a user-accessible catalog

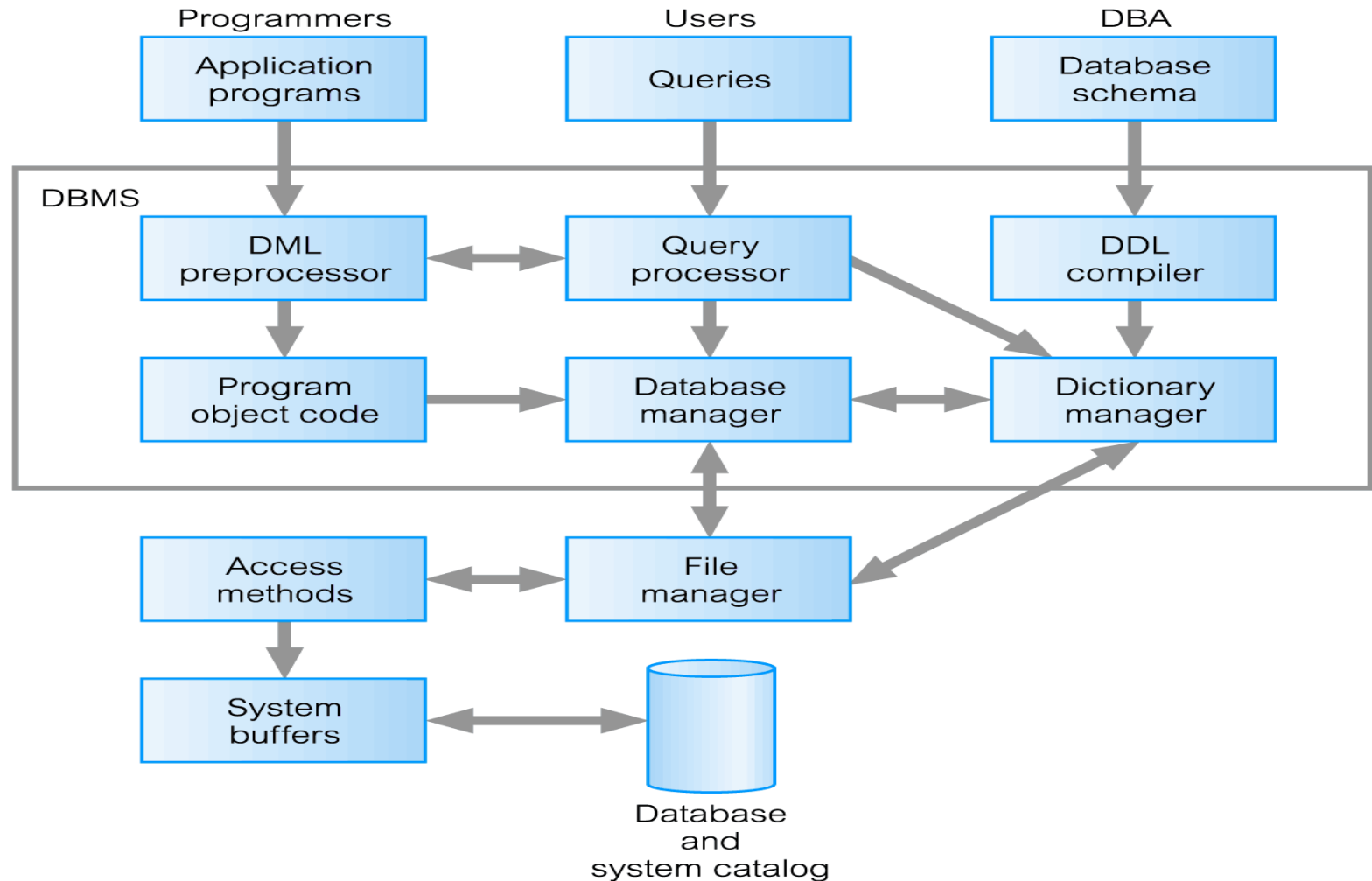- ## **Database System = the Database + DBMS software**

Database Approach

- **Roles in the Database Environment**
  - Database Administrator (DBA): responsible for
    - authorizing access to DB
    - coordinating & monitoring its use
    - acquiring software and hardware resources
    - security breach, poor response time
  - Database Designers: responsible for:
    - identifying the data to be stored in DB
    - choosing appropriate structures to represent and store this data
  - Application Programmers
  - End Users
  - More details: see [1,2]-chapter 1

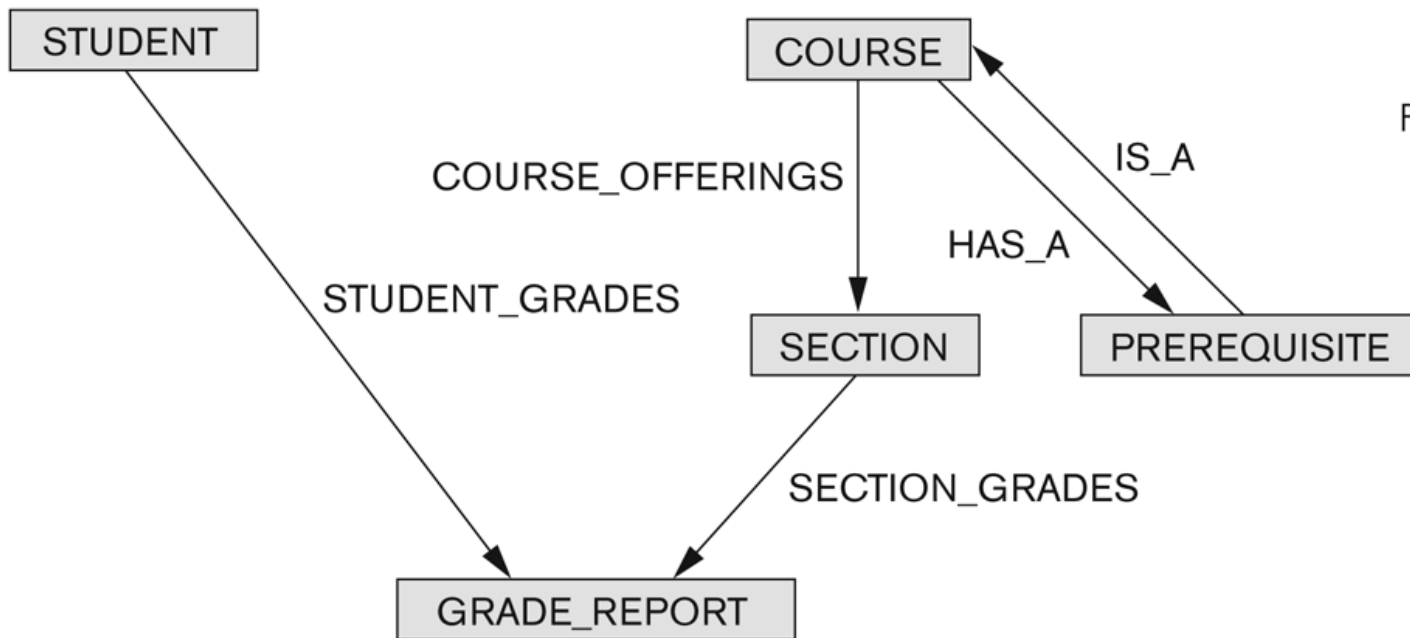# Database Approach

- DBMS components:

Database Approach

- Characteristics of the Database Approach:
  - Self-describing nature of a database system
  - Insulation between programs and data, and data abstraction
    - Program-data independence + Program-operation independence = Data abstraction
    - A data model is a type of data abstraction
  - Support of multiple views of the data
  - Sharing of data and multi-user transaction processing
  - Other advantages of using the DBMS approach: see [1]-1.6

Database Approach

- History of database systems
  - First generation: Hierarchical and Network
  - Second generation: Relational
  - Third generation: Object-Relational, Object-Oriented
- Brief history of database applications
  - see [1]-section 1.7

Example of Network Model Schema

Figure 2.8
The schema of Figure 2.1 in network model notation.

Example of Relational Model Schema

COURSE

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	CS
Data Structures	CS3320	4	CS
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	CS

SECTION

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	04	King
92	CS1310	Fall	04	Anderson
102	CS3320	Spring	05	Knuth
112	MATH2410	Fall	05	Chang
119	CS1310	Fall	05	Anderson
135	CS3380	Fall	05	Stone

GRADE_REPORT

Student_number	Section_identifier	Grade
17	112	B
17	119	C
8	85	A
8	92	A
8	102	B
8	135	A

PREREQUISITE

Course_number	Prerequisite_number
CS3380	CS3320
CS3380	MATH2410
CS3320	CS1310

Figure 1.2
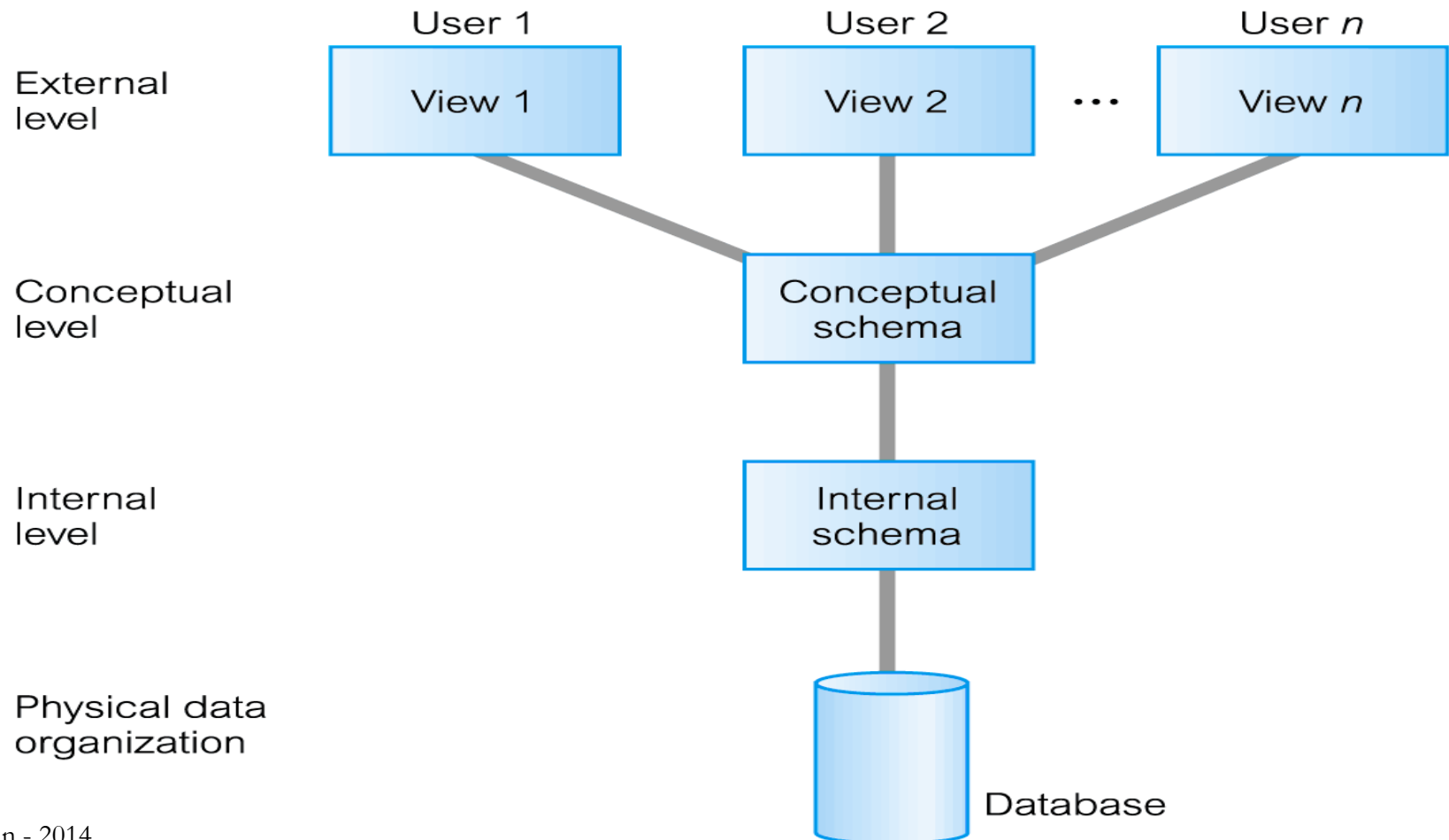A database that stores student and course information.

Outline

- File-based Approach and Database Approach

- **Three-Schema Architecture and Data Independence**

- Database Languages

- Data Models, Database Schema, Database State

- Data Management Systems Framework

Three-Schema Architecture and Data Independence

- Objectives of Three-Schema Architecture:
  - All users should be able to access same data
  - Users should not need to know physical database storage details
  - DBA should be able to change database storage structures without affecting the users' views
  - Internal structure of database should be unaffected by changes to physical aspects of storage
  - DBA should be able to change conceptual structure of database without affecting all users

Three-Schema Architecture and Data Independence

- Three-level architecture and data independence

Three-Schema Architecture and Data Independence

- External Level
  - Users' view of the database
  - Describes that part of database that is relevant to a particular user
- Conceptual Level
  - Community view of the database
  - Describes what data is stored in database and relationships among the data

Three-Schema Architecture and Data Independence

- Internal Level
  - Physical representation of the database on the computer.
  - Describes how the data is stored in the database

Three-Schema Architecture and Data Independence

External view 1

sNo	fName	lName	age	salary

External view 2

staffNo	lName	branchNo

Conceptual level

staffNo	fName	lName	DOB	salary	branchNo

Internal level

```
struct STAFF {
    int staffNo;
    int branchNo;
    char fName [15];
    char lName [15];
    struct date dateOfBirth;
    float salary;
    struct STAFF *next;            /* pointer to next Staff record */
};
index staffNo; index branchNo;    /* define indexes for staff */
```
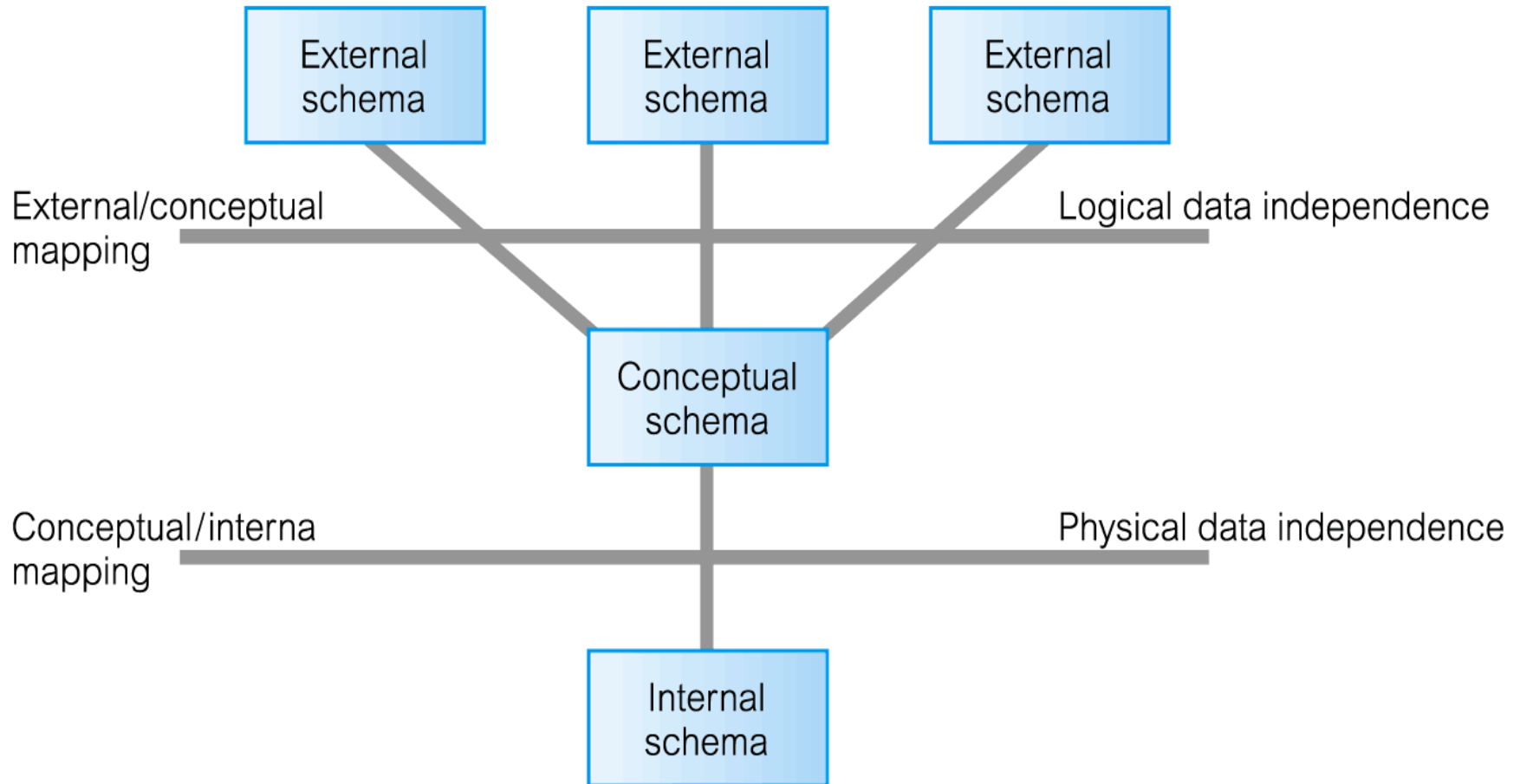
Three-Schema Architecture and Data Independence

- **Data Independence** is the capacity to change the schema at one level of a database system without having to change the schema at the next higher level

- **Logical Data Independence**
  - Refers to immunity of external schemas to changes in conceptual schema
  - Conceptual schema changes (e.g. addition/removal of entities) should not require changes to external schema or rewrites of application programs

Three-Schema Architecture and Data Independence

- **Physical Data Independence**

  - Refers to immunity of conceptual schema to changes in the internal schema

  - Internal schema changes (e.g. using different file organizations, storage structures/devices) should not require changes to conceptual or external schemas

Three-Schema Architecture and Data Independence

Outline

- File-based Approach and Database Approach

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Database Languages

- **Data Definition Language (DDL)** allows the DBA or user to describe and name entities, attributes, and relationships required for the application plus any associated integrity and security constraints

- **Data Manipulation Language (DML)** provides basic data manipulation operations on data held in the database

- **Data Control Language (DCL)** defines activities that are not in the categories of those for the DDL and DML, such as *granting privileges to users*, and defining when proposed changes to a databases should be irrevocably made

Database Languages

- Procedural DML allows user to tell system exactly how to manipulate data (e.g., Network and hierarchical DMLs)
- Non-Procedural DML (declarative language) allows user to state what data is needed rather than how it is to be retrieved (e.g., SQL, QBE)
- Fourth Generation Languages (4GLs)
  - Non-procedural languages: SQL, QBE, etc.
  - Application generators, report generators, etc. (see [2])

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- Database Languages

- **Data Models, Database Schema, Database State**

- Data Management Systems Framework

Data Models, Database Schema, Database State

- Data Model: An integrated collection of concepts for describing data, relationships between data, and constraints on the data in an organization

- Categories of data models include:
  - Object-based (Conceptual)
    - ERD, Object-Oriented, …
  - Record-based (Representational)
    - Relational, Network, Hierarchical

    Describe data at the conceptual & external levels

  - Physical: used to describe data at the internal level

Data Models, Database Schema and Database State

- **Database Schema:** the description of a database, which is specified during database design and is not expected to change frequently

- **Schema Diagram:** a displayed schema

- **Database State (Snapshot):** the data in the database at a particular moment in time

Data Models, Database Schema and Database State

Figure 2.1

Schema diagram for the database in Figure 1.2.

STUDENT

Name	Student_number	Class	Major

COURSE

Course_name	Course_number	Credit_hours	Department

PREREQUISITE

Course_number	Prerequisite_number

SECTION

Section_identifier	Course_number	Semester	Year	Instructor

GRADE_REPORT

Student_number	Section_identifier	Grade

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- **Data Management Systems Framework**

Data Management Systems Framework

- **Where are we?**

Application Layer	Visualization, Collaborative Computing, Mobile Computing, Knowledge-based Systems
Data Management Layer	**Layer 3: information extraction & sharing** Data Warehousing, Data Mining, Internet DBs, Collaborative, P2P & Grid Data Management
	Layer 2: interoperability & migration Heterogeneous DB Systems, Client/Server DBs, Multimedia DB Systems, Migrating Legacy DBs
	Layer 1: DB technologies DB Systems, Distributed DB Systems
Supporting Layer	Networking, Mass Storage, Agents, Grid Computing Infrastructure, Parallel & Distributed Processing, Distributed Object Management

Data Management Systems Framework

- Extending database capabilities for new applications
  - Example applications: storage and retrieval of images, videos, data mining (large amounts of data need to be stored and analyzed), spatial databases, time series applications, …
  - More complex data structures than relational representation
  - New data types except for the basic numeric and character string types
  - New operations and query languages for new data types
  - New storage and retrieval methods
  - New security mechanisms
  - …

Summary

- File-based Approach and Database Approach
- Three-Schema Architecture and Data Independence
- Database Languages
- Data Models Database Schema and Database State
- Data Management Systems Framework
- Next week: ER Model

Q & A