

BỘ GIÁO DỤC VÀ ĐÀO TẠO  
HCMC University of Technology and Education



**MAINTENANCE IN INDUSTRY**  
**CHAPTER 4 RELIABILITY – AVAILABILITY – OEE**

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### Requirement

- Defects (khiếm khuyết) and Failure (hỏng hóc) classification
- Calculation
  - Reliability (độ tin cậy)
  - Availability (khả năng sẵn sàng)
  - Overall Equipment Effectiveness (hiệu suất tổng thể thiết bị)
- Can solve problems in the given situation

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### Contents

- I. Defects and Failures
- II. Reliability & Availability
- IV. Overall Equipment Effectiveness

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### I. Defects and Failures

Definition and classification

**Solutions**

- Six sigma
- Production process
- Standardize work

**DEFECTS**

**FAILURES**

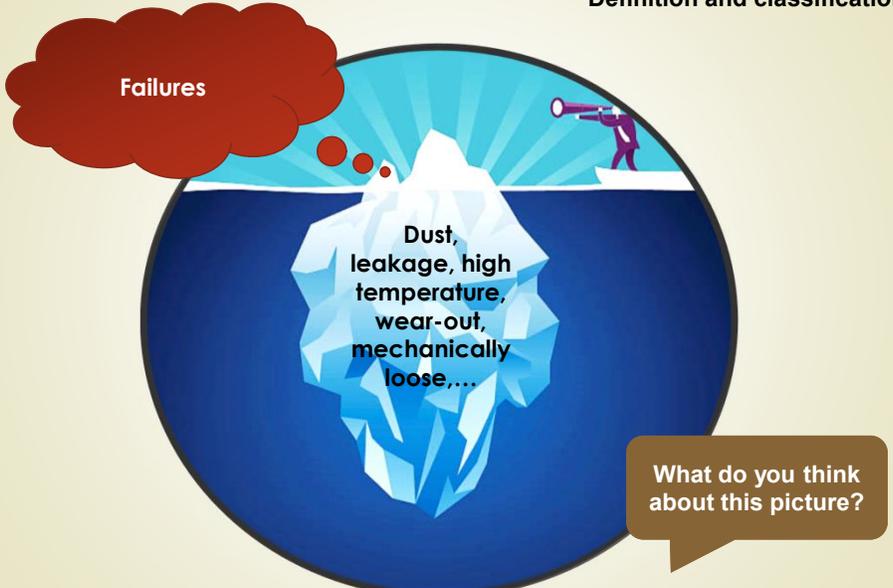
- Minor
- Important or catastrophic

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5 **I. Defects and Failures**

Definition and classification



The image features a large iceberg floating in a dark blue sea. The tip of the iceberg, which is above the water line, is labeled with the word "Failures" in a red thought bubble. The much larger part of the iceberg, which is submerged below the water line, is labeled with a list of defects: "Dust, leakage, high temperature, wear-out, mechanically loose, ...". A small figure of a person on a boat is visible on the right side of the water, looking through a telescope towards the iceberg. A speech bubble at the bottom right of the iceberg asks, "What do you think about this picture?".

What do you think about this picture?

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**Chapter 4**

**Reliability – Availability – Overall Equipment Effectiveness**

- I** ✓ **Defects and Failures**
- II Reliability & Availability
- III Overall Equipment Effectiveness

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7 **III. Reliability & Availability** Definition



**Reliability**

Defining the probability that an item will continue to perform its intended function **without failure** for **a specified period of time** under stated conditions.

Reliability is a performance expectation

It's usually defined at design



**Availability**

Depends on upon **Operation uptime** and **Operating cycle**.

Availability is a performance result

Equipment history will tell us the availability

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8 **III. Reliability & Availability** Availability

**Some math**

$$\text{Inherent Availability} = \frac{MTBF}{MTBF + MTTR}$$

- **Inherent Availability:** consider only corrective downtime (chỉ tính những lần sửa chữa không có kế hoạch)
- **MTBF:** Mean Time Between Failures (khoảng thời gian trung bình giữa các lần dừng máy đột xuất)
- **MTTR:** Mean Time To Repair (khoảng thời gian trung bình để khắc phục)

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9 **III. Reliability & Availability** **Availability**

**Some math**

$$\text{Inherent Availability} = \frac{MTBF}{MTBF + MTTR}$$

$$\text{Achieved Availability} = \frac{MTBM}{MTBM + M}$$

$$\text{Operational Availability} = \frac{\text{Uptime}}{\text{Operation Cycle}}$$

- **Operational Availability:** ratio of the system uptime and total time
- **Achieved Availability:** consider corrective and preventive maintenance (tính cả bảo trì sửa chữa và bảo trì có kế hoạch)
- **MTBM:** Mean Time Between Maintenance actions (khoảng thời gian trung bình giữa những lần dừng máy)
- **M:** Maintenance Mean Downtime (including preventive and planned corrective downtime)

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10 **III. Reliability & Availability** **Availability**

**Example**

Historically, an equipment has performance as shown in below Figure

$\cdot MTBF = \frac{250 + 360 + 200 + 120}{4} = 232.5 \text{ (days)}$   
 $\cdot MTTR = \frac{9 + 6 + 2}{3} = 5.67 \text{ (days)}$   
 $\cdot \text{Inherent Availability} = \frac{232.5}{(232.5 + 5.67)} = 97.62\%$   
 $\cdot \text{Operational Availability} = \frac{250 + 360 + 200 + 120}{947} = 98.2\%$

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11 **III. Reliability & Availability**

**Availability**

**Example**

Historically, an equipment has performance as shown in below Figure

894 days

→ Downtime 9 → Planned 3 → 2

$$. MTBM = \frac{300 + 250 + 120 + 210}{4} = 220 \text{ (days)}$$

$$. M = \frac{9 + 3 + 2}{3} = 4.67 \text{ (days)}$$

$$. \text{Achieved Availability} = \frac{220}{(220 + 4.67)} = 97.92\%$$

$$. \text{Operational Availability} = \frac{300 + 250 + 120 + 210}{894} = 98.43\%$$

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12 **III. Reliability & Availability**

**Availability**

$$\text{Achieved Availability} \uparrow = \frac{MTBM \uparrow}{MTBM + M \downarrow}$$

**To improve Achieved Availability:**

- **Improve MTBM:**
  - ✓ Reduce Preventive Programs to a minimum or have Preventive intervals as well defined as possible.
  - ✓ Using Predictive techniques whenever possible
  - ✓ Implementing Maintenance Engineering (RCM, TPM...)
- **Minimize M:**
  - ✓ Implementing Maintenance Engineering (Planning, Logistics...)
  - ✓ Improving personnel technical skills (training)
  - ✓ Developing Integrated Planning (Mntce + Ops + HSE + Inspection+...)

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### III. Reliability & Availability

Reliability

#### **Failure Rate ( $\lambda$ ) (tần suất hư hỏng)**

Failure rate ( $\lambda$ ) is defined as the reciprocal of MTBF:

$$\lambda = \frac{1}{MTBF}$$

#### **Reliability: $R(t)$**

Let  $P(t)$  be the probability of failure between 0 and  $t$ ; reliability is defined as:

$$R(t) = 1 - P(t)$$

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### III. Reliability & Availability

Reliability

Considering rate failure ( $\lambda$ ) constant, that  $R(t)$ , meaning the probability of having operated until instant  $t$ , is given by:

$$R(t) = e^{-\lambda.t}$$

This reinforces the idea that Reliability is **function of time, it is not a definite number**. So, it's incorrect to affirm: "This equipment has a 0.97 reliability factor...". We should rather say: "This equipment has **97%** reliability for running, let's say, **240 days**..."

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### III. Reliability & Availability

Reliability

#### Example

Historically, an equipment has 4 failures per year. Which is the reliability of this equipment for a 100 days run?

$$\lambda = \frac{4}{365} \leftrightarrow \lambda = 0.011/\text{day} \rightarrow R(100) = e^{-0.011 \times 100} = 0.333 = 33.3\%$$

The probability of having no failure until 100 days is 33.3%

Some upgrades have been made, so failure rate now is 2 per year (meaning that MTBF has doubled). Which is the reliability for a 100 days run?

$$\lambda = \frac{2}{365} \leftrightarrow \lambda = 0.0055/\text{day} \rightarrow R(100) = e^{-0.0055 \times 100} = 0.577 = 57.7\%$$

The probability of having no failure until 100 days is 57.7%.



As seen, doubling MTBF **doesn't double** reliability.

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### III. Reliability & Availability

Reliability

#### Example

Historically, an equipment has a MTBF = 200 days. To improve 10% its reliability to operate on a 100 days run, which percent should MTBF be improved?

$$\lambda = \frac{1}{200} = 0.005/\text{day} \rightarrow R(100) = e^{-0.005 \times 100} = 0.607 = 60.7\%$$

To improve this reliability in 10%, new reliability should be:

$$R'(100) = 1.1 \times 0.607 = 0.668 = e^{-\lambda' \times 100}$$

$$\ln 0.668 = -\lambda' \times 100 \leftrightarrow -0.403 = -\lambda' \times 100 \leftrightarrow \lambda' = 0.00403$$

$$\frac{1}{MTBF'} = 0.00403 \leftrightarrow MTBF' = 248 \text{ days}$$

$$\rightarrow \frac{248}{200} = 1.24 \rightarrow MTBF \text{ should improve } 24\%$$

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### III. Reliability & Availability

Reliability

#### Example

As per the manufacturer, an equipment has a 90% reliability to run over one year. If you want to have a 95% confidence that it will not fail, how long should it take until the equipment undergo a Preventive maintenance or some predictive technique?

$$0.9 = e^{-\lambda \times 365} \rightarrow \ln 0.9 = -\lambda \times 365 \leftrightarrow -0.1054 = -\lambda \times 365 \leftrightarrow \lambda = 2.89 \times 10^{-4} / \text{day}$$

$$0.95 = e^{-\lambda \times t} \leftrightarrow \ln 0.95 = -\lambda \times t \leftrightarrow -0.0513 = -2.89 \times 10^{-4} \times t \leftrightarrow t = 177.5 \text{ days}$$

For practical purposes, this equipment could be in a semester preventive / predictive program.

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### III. Reliability & Availability

Reliability



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**SYSTEM IN SERIES**



Let  $P_1 = 5\%$ ,  $P_2 = 10\%$  and  $P_3 = 20\%$  be the failure probability of each component of this system, in a certain period. Which is the reliability of this system, in series?

This system will run, provided that **ALL** its components run. So, their **reliabilities are multiplied.**

$$R_1 = 1 - P_1 = 1 - 0.05 = 0.95$$

$$R_2 = 1 - P_2 = 1 - 0.10 = 0.90$$

$$R_3 = 1 - P_3 = 1 - 0.20 = 0.80$$

$$R = R_1 \times R_2 \times R_3 = 0.95 \times 0.90 \times 0.80 = \mathbf{0.6840 = 68.4\%}$$

System failure probability  $\rightarrow$  **31.6%**

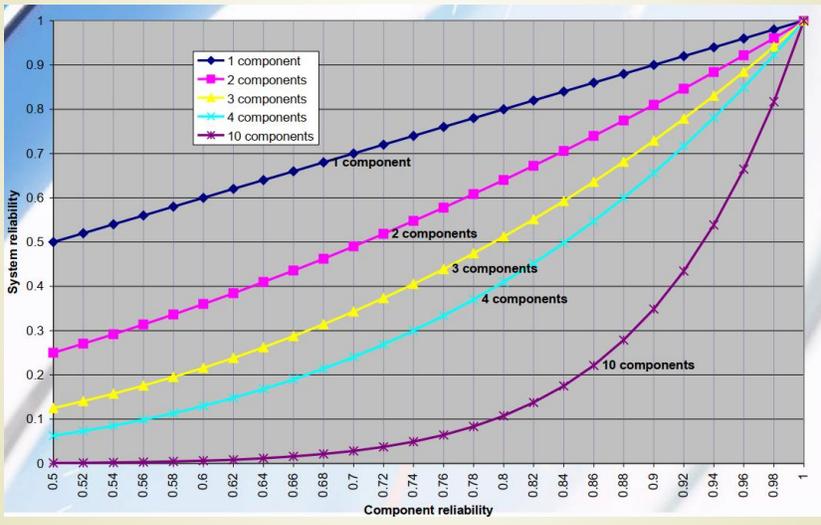
**$\rightarrow$  System failure probability is bigger than each individual component. System reliability is less than each component.**

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20 **III. Reliability & Availability** Reliability

**SYSTEM IN SERIES**



Component Reliability	1 component	2 components	3 components	4 components	10 components
0.5	0.50	0.25	0.12	0.06	0.01
0.6	0.60	0.36	0.18	0.09	0.01
0.7	0.70	0.49	0.27	0.14	0.01
0.8	0.80	0.64	0.39	0.22	0.01
0.9	0.90	0.81	0.55	0.33	0.01
1.0	1.00	1.00	1.00	1.00	1.00

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21 **III. Reliability & Availability** Reliability

**SYSTEM IN PARALLEL**

Let  $P_1 = 5\%$ ,  $P_2 = 10\%$  and  $P_3 = 20\%$  be the failure probability of each component of this system, in parallel, in a given period. Which is the reliability of the system, in parallel?

This system will run until **ALL** components fail. In this case, the **failure probabilities are multiplied**.

$$P = P_1 \times P_2 \times P_3 = 0.05 \times 0.10 \times 0.20 = 0.0010$$

$$R = 1 - P = 0.999 = 99.9\%$$

System failure probability  $\rightarrow 0.1\%$

**$\rightarrow$  System failure probability is less than each component. System reliability is bigger than each component.**

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22 **III. Reliability & Availability** Reliability

**SYSTEM IN PARALLEL**

Component Reliability	1 component	2 components	3 components	4 components	10 components
0.5	0.50	0.50	0.50	0.50	0.50
0.6	0.60	0.65	0.70	0.75	0.80
0.7	0.70	0.78	0.85	0.90	0.95
0.8	0.80	0.88	0.95	0.98	0.99
0.9	0.90	0.95	0.98	0.99	0.995
1.0	1.00	1.00	1.00	1.00	1.00

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23 **III. Reliability & Availability**

**MIXED SYSTEM** Reliability

If  $P_1 = 10\%$ ,  $P_2 = 5\%$ ,  $P_3 = 15\%$ ,  $P_4 = 2\%$  and  $P_5 = 20\%$ , which is the system reliability?

$R_1 = 1 - 0.10 = 0.90$       •  $R_{123} = 0.9 \times 0.95 \times 0.85 = 0.7268$   
 $R_2 = 1 - 0.05 = 0.95$       •  $P_{123} = 0.2733$   
 $R_3 = 1 - 0.15 = 0.85$   
  
 $R_4 = 1 - 0.02 = 0.98$       •  $R_{45} = 0.98 \times 0.80 = 0.7840$   
 $R_5 = 1 - 0.20 = 0.80$       •  $P_{45} = 0.2160$   
  
 $P_{\text{system}} = 0.2733 \times 0.2160 = 0.0590$   
 $R_{\text{system}} = 1 - 0.0590 = 0.941 = \mathbf{94.1\%}$

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**Chapter 4**

**Reliability – Availability – Overall Equipment Effectiveness**

- I Defects and Failures
- II Reliability & Availability**
- III Overall Equipment Effectiveness
  - Definition
  - Calculating OEE
  - World-class OEE

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25 **III. Overall Equipment Effectiveness - OEE**

**Definition**

OEE (Overall Equipment Effectiveness) is the gold standard for measuring manufacturing productivity

OEE  
100%

Only **Good Parts**
As **fast** as possible
No **Stop Time**

Quality  
100%
Performance  
100%
Availability  
100%

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26 **III. Overall Equipment Effectiveness - OEE**

**Calculating OEE**

**Understanding of waste in the manufacturing process**

A	PLANNED PRODUCTION TIME	
B	OPERATING TIME	Downtime Loss
C	NET OPERATING TIME	Speed Loss Availability Loss
D	FULLY PRODUCTION TIME	Quality Loss Performance Loss

Quality Loss

Availability  
**B/A**

X

Performance  
**C/B**

X

Quality  
**D/C**

=

OEE

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### III. Overall Equipment Effectiveness - OEE

#### Calculating OEE



It is calculated as the ratio of **Actual Operating Time** (*thời gian hoạt động thực tế*) to **Planned Production Time** (*thời gian sản xuất theo kế hoạch*):

$$\text{Availability} = \frac{\text{Actual Operating Time}}{\text{Planned Production Time}} = \frac{T - (T_1 + T_2)}{T}$$

- **Planned Production Time** = Plant Operating Hours – Planned Shut Down Time
- **Plant Operating Hours**: Uptime of equipment
- **Planned Shut Down Time**: Periods when not scheduled (required) to produce (e.g. weekends, off-shifts, breaks, lunch, etc). If your scheduled to run during breaks and lunches this is not considered Planned Shutdown Time.
- **T<sub>1</sub>**: Total Breakdown Time (*tổng thời gian dừng máy không kế hoạch*)
- **T<sub>2</sub>**: Total Planned Shutdown Time (*tổng thời gian dừng máy có kế hoạch*)

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### III. Overall Equipment Effectiveness - OEE

#### Calculating OEE



It is calculated as the ratio of **Net Run Time** (*năng suất thực tế*) to **Run Time** (*năng suất thiết kế*). In practice, it is calculated as:

$$\text{Performance} = \frac{\text{Net Run Time}}{\text{Run Time}} = \frac{q}{S}$$

- **q**: Total product.
- **S**: number of products that the machine can produce according to the design.

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### III. Overall Equipment Effectiveness - OEE

Calculating OEE



Quality is calculated as:

$$\text{Quality} = \frac{\text{Good Parts}}{\text{Total Parts}} = \frac{q - q_1}{q}$$

- $q_1$ : Total not good count

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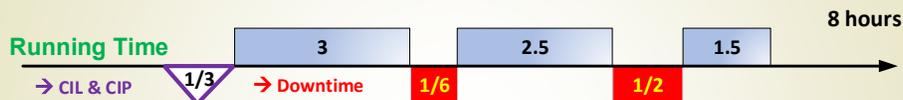
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### III. Overall Equipment Effectiveness - OEE

Calculating OEE

#### Example

Historically, an equipment has performance in the shift as shown in below Figure.



Equipment has produced total 20.000 products, whereas there are 1000 not good product.

The rate capacity of equipment is 3000 pph (products per hours). Define OEE score

$$A = \frac{T - (T_1 + T_2)}{T} = \frac{8 - \left(\frac{1}{6} + \frac{1}{2} + \frac{1}{3}\right)}{8} = 87.5\% \quad Q = \frac{\text{Good Product}}{\text{Total Product}} = \frac{20000 - 1000}{20000} = 95\%$$

$$P = \frac{q}{S} = \frac{20000}{3000 \times \left(8 - \left(\frac{1}{6} + \frac{1}{2} + \frac{1}{3}\right)\right)} = 95.24\%$$

$$\text{OEE} = A \times P \times Q = 79\%$$

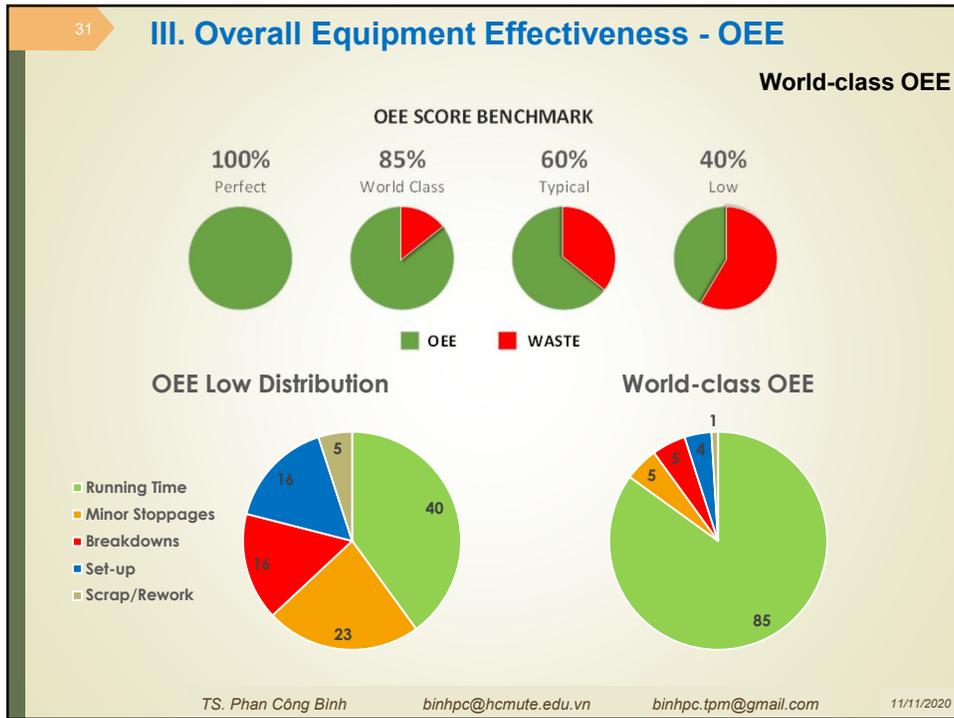
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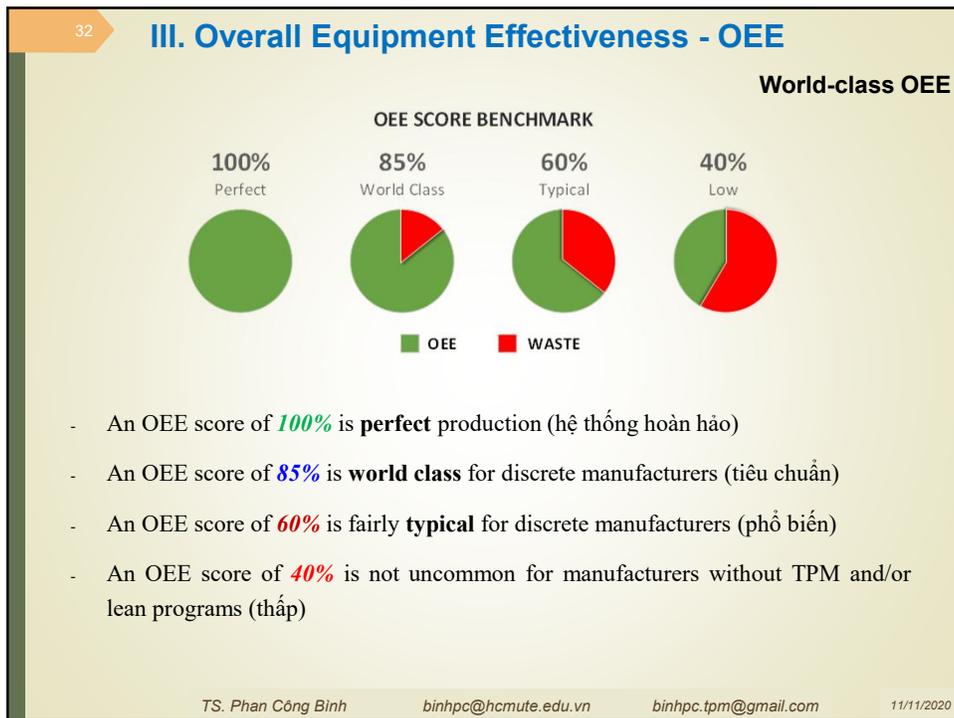
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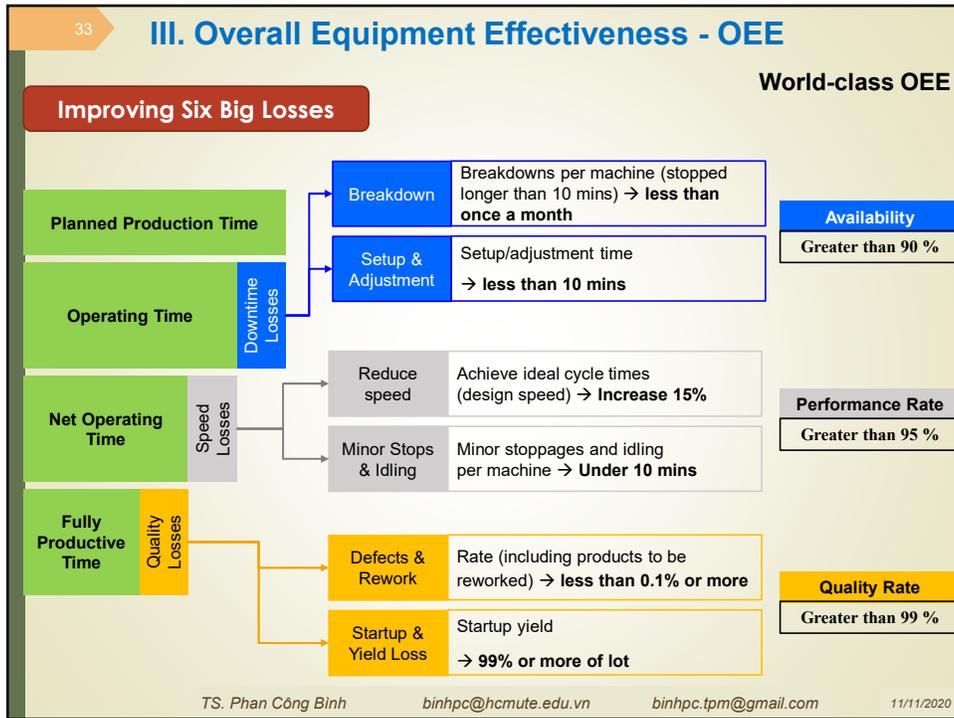
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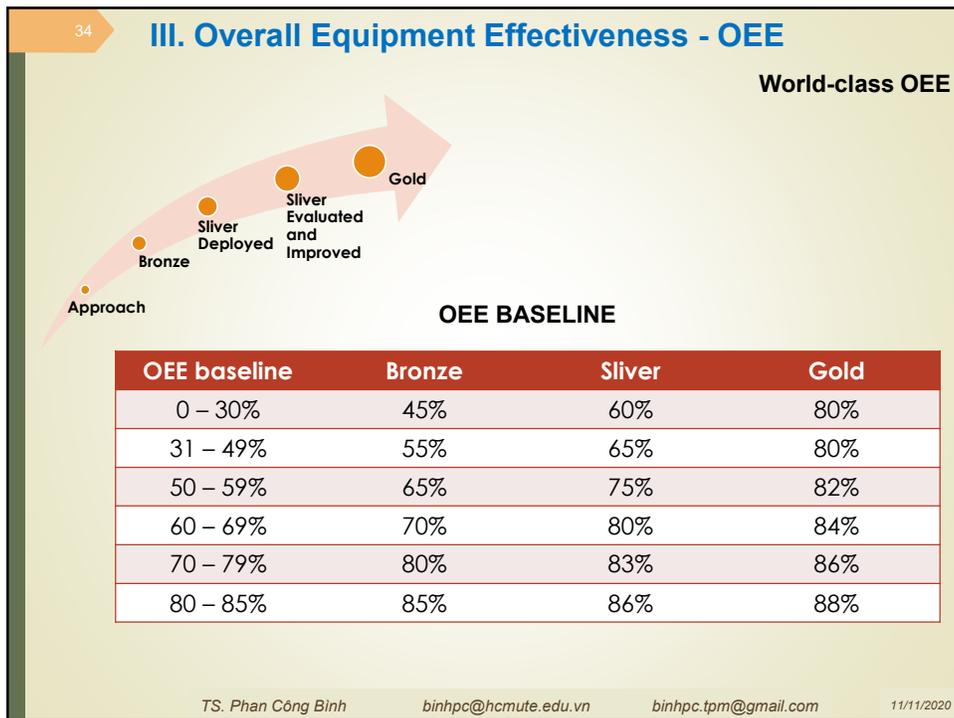
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